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(54) Title: METHODS FOR DIFFERENTIATING NEURAL STEM CELLS		
(57) Abstract Method for producing a population of mammalian neurons and/or smooth muscle cells comprising contacting at least one mammalian neural stem cell with a culture medium containing one or more growth factors from the TGF- β super family and detecting the differentiation of stem cell to a population of neurons or smooth muscle cells.		

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METHODS FOR DIFFERENTIATING NEURAL STEM CELLS

This is a continuation-in-part of U.S. patent application Serial No.08/188,286 filed 28 January 1994 which is a continuation-in-part of PCT Application No. PCT/US93/07000 filed July 26, 1993, which is a continuation-in-part of U.S. patent application Serial No. 07/996,088, filed October 29, 1992 which is a
5 continuation-in-part of U.S. patent application Serial No. 07/920,617, filed July 27, 1992.

Field of the Invention

The invention relates to methods for the differentiation of mammalian multipotent neural stem cells to neurons or smooth muscle cells.

10 Background

The neural crest is a transient embryonic precursor population, whose derivatives include cells having widely different morphologies, characteristics and functions. These derivatives include the neurons and glia of the entire peripheral nervous system, melanocytes, cartilage and connective tissue of
15 the head and neck, stroma of various secretory glands and cells in the outflow tract of the heart (for review, see Anderson, D.J. (1989) Neuron 3:1-

- 12). The neural crest generates most of the peripheral nervous system (PNS), skin melanocytes, and mesectodermal derivatives such as smooth muscle (SM) cells, bone, and cartilage Horstadius, S., London; Oxford University Press (1950); Le Douarin et al. (1994) Curr. Opin. Genet. Devel. 4:685-695;
- 5 Shah et al. (1996) Cell 85:331-343. Much of the knowledge of the developmental potential and fate of neural crest cells comes from studies in avian systems. Fate maps have been established in aves and provide evidence that several different crest cell derivatives may originate from the same position along the neural tube (Le Dourain, N.M. (1980) Nature
- 10 286:663-669). Schwann cells, melanocytes and sensory and sympathetic neurons can all derive from the truncal region of the neural tube. On the other hand, some derivatives were found to originate from specific regions of the crest, *e.g.*, enteric ganglia from the vagal and sacral regions. These studies also revealed that the developmental potential of the neural crest
- 15 population at a given location along the neural tube is greater than its developmental fate. This suggests that the new environment encountered by the migrating crest cells influences their developmental fate.

Single-cell lineage analysis *in vivo*, as well as clonal analysis *in vitro*, have reportedly shown that early avian neural crest cells are multipotential during,

20 or shortly after, their detachment and migration from the neural tube. In avian systems, certain clones derived from single neural crest cells in culture were reported to contain both catecholaminergic and pigmented cells (Sieber-Blum, M. *et al.* (1980) Dev. Biol. 80:96-106). Baroffio, A. *et al.* (1988) Proc. Natl. Acad. Sci. USA 85:5325-5329, reported that avian neural crest

25 cells from the cephalic region could generate clones which gave rise to highly heterogeneous progeny when grown on growth-arrested fibroblast feeder cell layers.

In vivo demonstration of the multipotency of early neural crest cells was reported in chickens by Bronner-Fraser, M. et al. (1989) Neuron 3:755-766. Individual neural crest cells, prior to their migration from the neural tube, were injected with a fluorescent dye. After 48 hours, the clonal progeny of injected cells were found to reside in many or all of the locations to which neural crest cells migrate, including sensory and sympathetic ganglia, peripheral motor nerves and the skin. Phenotypic analysis of the labeled cells revealed that at least some neural crest cells are multipotent *in vivo*.

Following migration from the neural tube, these early multipotent crest cells become segregated into different sublineages, which generate restricted subsets of differentiated derivatives. The mechanisms whereby neural crest cells become restricted to the various sublineages are poorly understood. The fate of neural crest derivatives is known to be controlled in some way by the embryonic location in which their precursors come to reside (Le Douarin, N.M. (1982) The Neural Crest, Cambridge University Press, Cambridge, UK). The mechanism of specification for neural crest cells derivatives is not known. In culture studies described above, investigators reported that clones derived from primary neural crest cells exhibited a mixture of phenotypes (Sieber-Blum, M. et al. (1980) *ibid*; Baroffio, A. et al. (1988) *ibid*; Cohen, A.M. et al. (1975) Dev. Biol. 46:262-280; Dupin, E. et al. (1990) Proc. Natl. Acad. Sci. USA 87:1119-1123). Some clones contained only one differentiated cell type whereas other clones contained many or all of the assayable crest phenotypes.

The observation that apparently committed progenitors and multipotent cells coexist in the neural crest may be interpreted to reflect a pre-existing heterogeneity in the population of primary crest cells or it may reflect asynchrony in a population of cells that undergoes a progressive restriction in developmental potential.

How growth factors influence the fate of multipotent progenitor cells is not well understood. Most hematopoietic growth factors act selectively as survival factors, rather than instructively as lineage determination signals. Shah et al.(1996) Cell 85:331-343.

- 5 Given the uncertainty in the art concerning the developmental potential of neural crest cells, it is apparent that a need exists for the isolation of neural crest cells in clonal cultures. Although culture systems have been established which allow the growth and differentiation of isolated avian neural crest cells thereby permitting phenotypic identification of their progeny, culture
- 10 conditions which allow the self-renewal of multipotent mammalian neural crest cells have not been reported. Such culture conditions are essential for the isolation of mammalian neural crest stem cells. Such stem cells are necessary in order to understand how multipotent neural crest cells become restricted to the various neural crest derivatives. In particular, culture
- 15 conditions which allow the growth and self-renewal of mammalian neural crest stem cells are desirable so that the particulars of the development of these mammalian stem cells may be ascertained. This is desirable because a number of tumors of neural crest derivatives exist in mammals, particularly humans. Knowledge of mammalian neural crest stem cell development is
- 20 therefore needed to understand these disorders in humans. Additionally, the ability to isolate and grow mammalian neural crest stem cells *in vitro* allows for the possibility of using said stem cells to treat peripheral neurological disorders in mammals, particularly humans. The ability to preferentially differentiate neural stem cells allows for a number of treatments which
- 25 require the growth or regeneration of damaged, injured or deficient neurons or smooth muscle cells.

It is therefore an object of the invention to provide methods utilizing growth factors from the TGF- β superfamily to preferentially differentiate neural stem cells to neurons and/or smooth muscle cells.

5 It is a further object of the invention to utilize growth factors from the TGF- β superfamily to preferentially increase the population of mammalian neurons and/or smooth muscle cells.

10 It is also an object of the invention to remove cells from a patient, treat the cells with growth factors from the TGF- β superfamily in order to preferentially increase the population of mammalian neurons and/or smooth muscle cells, and return the cells to the patient.

It is another object of the invention to treat a patient with growth factors from the TGF- β superfamily in order to preferentially increase the population of mammalian neurons and/or smooth muscle cells within said patient.

15 It is an additional object of the invention to introduce foreign (heterologous) nucleic acid into multipotent neural stem cells or their progeny and utilize growth factors from the TGF- β superfamily to preferentially differentiate such transformed neural stem cells to neurons and/or smooth muscle cells.

Summary of the Invention

20 In accordance with the forgoing objects, the invention includes a method for producing a population of mammalian neurons and/or smooth muscle cells comprising contacting at least one mammalian neural stem cell with a culture medium containing one or more growth factors from the TGF- β superfamily. The growth factor may be contained within an extract from mammalian tissue or may be substantially pure. The growth factor or combination of growth
25 factors may administered to cells *in vivo* or *ex vivo*.

The growth factors include the group of biologically active polypeptides which control the growth and differentiation of responsive cells. Examples of these growth factors include but are not limited to; the TGF- β series of growth factors as exemplified by TGF- β 1-TGF- β 4, the BMP series of growth factors as exemplified by BMP-2 and BMP-4.

Brief Description of the Drawings

Figure 1A depicts the migration of rat neural crest cells from the neural tube.

Figure 1B demonstrates the expression of LNGFR and nestin by neural crest cells.

Figures 1C and 1D show the FACS profile from neural crest cells stained with anti-LNGFR (1D) and a control showing the background staining of the secondary antibody (1C).

Figure 2 demonstrates the clonal expansion of LNGFR⁺, nestin⁺ rat neural crest cells.

Figure 3 is a flow chart summarizing experiments demonstrating the multipotency of mammalian neural crest cells.

Figure 4 demonstrates the expression of neuronal traits in clones derived from LNGFR⁺ founder cells.

Figure 5 demonstrates the expression of Schwann cell phenotype by neural crest-derived glia.

Figure 6 shows the expression of peripherin, GFAP, and O₄ in a clone derived from a LNGFR⁺ founder cell.

Figure 7 is a flow chart summarizing experiments demonstrating the self-renewal of mammalian neural crest cells.

Figure 8 demonstrates the self-renewal of multipotent neural crest cells.

Figure 9 demonstrates the multipotency of secondary founder cells.

- 5 Figure 10 provides a flow chart summarizing experiments demonstrating the substrate effect on the fate of mammalian neural crest cells.

Figure 11 demonstrates that the neuronal differentiation of multipotent neural crest cells is affected by their substrate.

- 10 Figure 12 summarizes the percentage of different clone types which result when founder cells are grown on either FN or FN/PDL substrates.

Figure 13 provides a flow chart summarizing experiments demonstrating the instructive effect of the substrate on neural crest cell fate.

- 15 Figure 14 summarizes the percentage of the different clone types which result when founder cells are treated with a PDL lysine overlay at 48 hours (panel A) or day 5 (panel B).

- 20 Figure 15 demonstrates the genetic-engineering of a multipotent neural stem cell. Panel A depicts the expression of *E. coli* β -galactosidase (*lacZ*) in neural crest stem cells following infection with a *lacZ*-containing retrovirus. β -galactosidase⁺ cells are indicated by the solid arrows. Panel B depicts neural crest stem cells in phase contrast, in the same microscopic field as shown in Panel A. Cells which do not express β -galactosidase are indicated by open arrows.

Figure 16 demonstrates the specificity of a supernatant from a hybridoma culture producing monoclonal antibody specific to mouse LNGFR.

Supernatants were screened using live Schwann cells isolated from mouse sciatic nerve. Panel A shows that most cells are stained with anti-LNGFR antibody (red staining; open arrows). Panel B shows Schwann cell nuclei counter stained with DAPI. Comparison with Panel A reveals a few cells not labeled by anti-LNGFR antibody (blue staining; open arrows).

Figures 17 A and B depict the identification of smooth muscle cells in neural crest cultures. Cultures of neural crest stem cells were fixed and double-labeled with antibodies to p75-LNGFR (Panel B, orange staining), and SMA (Panel B, green staining). The cultures were also labeled with DAPI, a nuclear dye (Panel B, blue ovals). A phase contrast image of the microscopic field is shown in Figure 17A. Note that the p75+ cells (Panel B, solid arrow) do not express SMA < whereas the SMA+ cells (Panel B, open arrows) do not express p75.

Figures 18 A and B demonstrate that individual neural crest cells can generate neurons, glia and smooth muscle cells. The figures illustrate three views of a clone derived from a single p75+ neural crest founder cell, grown for two weeks in standard medium. A neuron is identifiable in the clone by virtue of peripherin expression (Panel B, arrowhead) and long neurites (Panel A). Glia are identifiable by GFAP expression (Panel C, orange staining, open arrows), and a smooth muscle cell is identified by staining with anti-SMA (Panel C, green staining, closed arrow). Nuclei of all cells have been labeled blue with DAPI (Panel C).

Figures 19 A, B and C demonstrate that smooth muscle cell differentiation is promoted by fetal bovine serum. Shown are three views of a colony of neural crest cells grown in 5% fetal bovine serum. These cells do not

express p75-LNGFR under these conditions. Cells visible by phase-contrast (Panel A) express both SMA (Panel B, red staining) and also desmin (Panel C, green staining).

Figures 20 A and B demonstrate that neural crest-derived smooth muscle cells express calponin. The culture is similar to that in Fig. 19, except the cells were doubly-labeled with anti-SMA (Panel B, red staining) and calponin (Panel B, green staining). Cells that co-express both markers stain orange due to blending of the two colors (Panel B).

Figure 21 demonstrates that bone marrow phogenic protein 2 ("BMP2") induces autonomic neuronal differentiation of NCSCs. NCSCs were cultured in rBMP2 (Panels A-D) or control medium (Panels E and F), fixed and immunostained with antibody to peripherin (Panels B and F) or monoclonal antibody B2 (Panel D) followed by phycoerythrin conjugated second antibody and DAPI counterstain. Phase contrast views presented by way of comparison indicate neuronal phase-bright colonies in Panel A, which are peripherin+ (Panel B) in contrast to the colonies in Panels E and F which are peripherin- resemble undifferentiated neurons.

Figure 22 demonstrates that recombinant BMP2 or TGF β induce differentiation of NCSCs to smooth muscle. NCSCs were cultured in rBMP2 (Panels A-C) or rTGF β (Panels D-F), fixed and triply-labeled with peripherin (second antibody bound to HRP), anti-SMA (green staining) and calponin (red staining). Cells that co-express both SMA and calponin stain counterstain. Phase contrast views are presented for purposes of comparison in Panels A and D. 25% of colonies in rBMP-2 contained SM and neurons (Panels A-C) while 99% of colonies in contained SM-like cells (Panels D-F).

- Figure 23 demonstrates that recombinant BMP2 and TGF- β induce distinct responses over a wide range of doses. Cells cultured in rBMP2 (Panels A and B) or rTGF- β (Panel C) were fixed 4 days after the addition of factors, stained for the markers indicated, and the proportion of different colony types determined. In rBMP2, both neuron-only and SM-only colonies are obtained at essentially all doses. (Panels A and B)
- In rTGF- β , SM-only colonies are evident in a dose-dependent manner (Panel C). At no concentration of TGF β 1 were neurons observed (data not shown, see text Shah et al., 1996).
- Figure 24 demonstrates instructive effects of BMP2 and TGF β 1 based on serial observation of identified clones. Individual founder cells were identified and photographed at day 0 (DO) in control medium, and then rBMPS (Panel B) or rTGF β 1 (Panel C) was added to some plates while others were maintained as controls (Panel A). The same clones were photographed every 24 hr for the next 4 days (D1, D2, etc.) In rBMP2 ([Panel B] column), the founder cell divides and all of its progeny become neurons, whereas in rTGF β 1 ([Panel C] column), all the founder cell progeny become SM-like cells. No dying cells or cell carcasses are observed in any of the developing clones.
- Figure 25 demonstrate that BMP2 induces MASH1 expression in NCSCs based on labeling with an anti-MASH1 monoclonal antibody. NCSCs were grown at clonal density in rBMP2 (Panels A and B) or in control medium (Panels C and D) for 12 hr, then fixed and labeled with anti-MASH1 monoclonal antibody. At 12 hours, ~85% of the colonies cultures in BMP2 were MASH1+ (Panels A and B) as contrasted to those grown in control medium (Panels C and D) wherein very few NCSCs were MASH1+.

Detailed Description of the Invention

The invention is directed, in part, to the isolation and clonal propagation of non-transformed mammalian neural crest stem cells and to multipotent neural stem cells from other embryonic and adult tissue. The invention also

5 includes the production of neural crest stem cell and multipotent neural stem cell derivatives including progenitor and more differentiated cells of the neuronal and smooth muscle lineages. The invention is illustrated using neural crest stem cells isolated from the rat. The invention, however, encompasses all mammalian neural crest stem cells and multipotent neural stem cells and their derivatives and is not limited to neural crest stem cells
10 from the rat. Mammalian neural crest stem cells and multipotent neural stem cells and their progeny can be isolated from tissues from human and non-human primates, equines, canines, felines, bovines, porcines, lagomorphs, etc.

15 The invention encompasses several important methodological innovations: 1) the use of monoclonal antibodies to the low-affinity Nerve Growth Factor Receptor (LNGFR) as a cell surface marker to isolate and identify neural crest stem cells, a method extensible to other neural stem cell populations as well; 2) the development of cell culture substrates and medium compositions
20 which permit the clonal expansion of undifferentiated neural crest cells; 3) the development of culture substrates and medium compositions which permit the differentiation of mammalian neural crest cells into their differentiated derivatives (including but not restricted to peripheral neurons and smooth muscle cells) in clonal culture.

25 The invention also provides neural crest stem cells and other multipotent neural stem cells. It is important to understand that such cells could not be identified as stem cells without the development of the isolation and cell culture methodologies summarized above. The identification of a neural stem

cell requires that several criteria be met: 1) that the cell be an undifferentiated cell capable of generating one or more kinds of differentiated derivatives; 2) that the cell have extensive proliferative capacity; 3) that the cell be capable of self-renewal or self-maintenance (Hall et al. (1989)

5 Development 106:619; Potten et al. (1990) Crypt. Development 110:1001).

The concept of a stem cell as obligatorily capable of "unlimited" self-renewal is applicable only to regenerating tissues such as skin or intestine. In the case of a developing embryo stem cells may have limited self-renewal capacity but be stem cells nevertheless. Potten et al. (1990) Crypt.

10 Development 110:1001. The development of clonal culture methods permitted the demonstration of criteria 1 and 2 herein. The development of sub-clonal culture methods (*i.e.*, the ability to clone single neural stem cells, and then re-clone progeny cells derived from the original founder cell) further permitted the demonstration herein of criterion 3.

15 To appreciate the significance of this demonstration, consider an alternative hypothesis for cells from the neural crest: individual undifferentiated neural crest cells divide to generate both neurons and smooth muscle cells (*i.e.*, meet criteria 1 and 2 above), but the daughter cells produced by these initial cell divisions are committed to producing either neurons or smooth muscle
20 cells, but not both. In this case, the neural crest cell is a progenitor cell but not a stem cell, because it does not have self-renewal capacity. If this were the case, then upon sub-cloning of neural crest cell clones, the resulting "secondary" clones could contain either neurons or smooth muscle cells, but not both. This is not observed. Rather, under certain culture conditions,
25 essentially all of the secondary clones contain both neurons and smooth muscle cells, like their parent clones. This experiment thus provides the first definitive evidence that neural progenitor cells from any region of the nervous system have stem cell properties. In no other set of published experiments have these stringent criteria for stem cell properties been met,

despite claims that "stem cells" have been isolated or identified (Cattaneo et al. (1991) Trends Neurosci. 14:338; Reynolds et al. (1992) Science 255:1707) from the mammalian central nervous system. This in part reflects imprecise use of the term "stem cell" and in part the failure to perform

5 adequate experimental tests to support the existence of such cells.

As used herein, the term "non-transformed cells" means cells which are able to grow *in vitro* without the need to immortalize the cells by introduction of a virus or portions of a viral genome containing an oncogene(s) which confers altered growth properties upon cells by virtue of the expression of viral genes

10 within the transformed cells. These viral genes typically have been introduced into cells by means of viral infection or by means of transfection with DNA vectors containing isolated viral genes.

As used herein, the term "genetically-engineered cell" refers to a cell into which a foreign (*i.e.*, non-naturally occurring) nucleic acid, *e.g.*, DNA, has been introduced. The foreign nucleic acid may be introduced by a variety of techniques, including, but not limited to, calcium-phosphate-mediated

15 transfection, DEAE-mediated transfection, microinjection, retroviral transformation, protoplast fusion and lipofection. The genetically-engineered cell may express the foreign nucleic acid in either a transient or long-term

20 manner. In general, transient expression occurs when foreign DNA does not stably integrate into the chromosomal DNA of the transfected cell. In contrast, long-term expression of foreign DNA occurs when the foreign DNA has been stably integrated into the chromosomal DNA of the transfected cell.

As used herein, an "immortalized cell" means a cell which is capable of

25 growing indefinitely in culture due to the introduction of an "immortalizing gene(s)" which confers altered growth properties upon the cell by virtue of expression of the immortalizing gene(s) within the genetically engineered

cell. Immortalizing genes can be introduced into cells by means of viral infection or by means of transfection with vectors containing isolated viral nucleic acid encoding one or more oncogenes. Viruses or viral oncogenes are selected which allow for the immortalization but preferably not the transformation of cells. Immortalized cells preferably grow indefinitely in culture but do not cause tumors when introduced into animals.

As used herein, the term "transformed cell" refers to a cell having the properties of 1) the ability to grow indefinitely in culture and 2) causing tumors upon introduction into animals. "Transformation" refers to the generation of a transformed cell.

As used herein, the term "feeder-cell independent culture" or grammatical equivalents means the growth of cells *in vitro* in the absence of a layer of different cells which generally are first plated upon a culture dish to which cells from the tissue of interest are added. The "feeder" cells provide a substratum for the attachment of the cells from the tissue of interest and additionally serve as a source of mitogens and survival factors. The feeder-cell independent cultures herein utilize a chemically defined substratum, for example fibronectin (FN) or poly-D-lysine (PDL) and mitogens or survival factors are provided by supplementation of the liquid culture medium with either purified factors or crude extracts from other cells or tissues. Therefore, in feeder-cell independent cultures, the cells in the culture dish are primarily cells derived from the tissue of interest and do not contain other cell types required to support the growth of the cells derived from the tissue of interest.

As used herein, the term "clonal density" means a density sufficiently low enough to result in the isolation of single, non-impinging cells when plated in a culture dish, generally about 225 cells/100 mm culture dish.

As used herein, the term "neural crest stem cell" means a cell derived from the neural crest which is characterized by having the properties (1) of self-renewal and (2) asymmetrical division; that is, one cell divides to produce two different daughter cells with one being self (renewal) and the other being a cell having a more restricted developmental potential, as compared to the parental neural crest stem cell. The foregoing, however, is not to be construed to mean that each cell division of a neural crest stem cell gives rise to an asymmetrical division. It is possible that a division of a neural crest stem cell can result only in self-renewal, in the production of more developmentally restricted progeny only, or in the production of a self-renewed stem cell and a cell having restricted developmental potential.

As used herein, the term "multipotent neural stem cell" refers to a cell having properties similar to that of a neural crest stem cell but which is not necessarily derived from the neural crest. Rather, as described hereinafter, such multipotent neural stem cells can be derived from various other tissues including neural epithelial tissue from the brain and/or spinal cord of the adult or embryonic central nervous system or neural epithelial tissue which may be present in tissues comprising the peripheral nervous system. In addition, such multipotent neural stem cells may be derived from other tissues such as lung, bone and the like utilizing the methods disclosed herein. It is to be understood that such cells are not limited to multipotent cells but may comprise a pluripotent cell capable of regeneration and differentiation to different types of neurons, glia, or smooth muscle *e.g.*, PNS and CNS neurons, glia and smooth muscle or progenitors thereof. In this regard, it should be noted that the neural crest stem cells described herein are at least multipotent in that they are capable, under the conditions described, of self-regeneration and differentiation to some but not all types of neurons, glia and smooth muscle *in vitro*. Thus, a neural crest stem cell is a multipotent neural stem cell derived from a specific tissue, *i.e.*, the embryonic neural tube.

In most embodiments, neural crest stem cells are further characterized by a neural cell-specific surface marker. Such surface markers in addition to being found on neural crest stem cells may also be found on other multipotent neural stems derived therefrom, *e.g.*, glial and neuronal progenitor cells of the peripheral nervous system (PNS) and central nervous system (CNS). An example is the cell surface expression of a nerve growth factor receptor on neural crest stem cells. In rat, humans and monkeys this nerve growth factor receptor is the low-affinity nerve growth factor receptor (LNGFR). Such stem cells may also be characterized by the expression of nestin, an intracellular intermediate filament protein. Neural crest stem cells may be further characterized by the absence of markers associated with mature PNS neuronal or glial cells. In rat, humans and monkeys this nerve growth factor receptor is the low-affinity nerve growth factor receptor (LNGFR). Such stem cells may also be characterized by the expression of nestin, an intracellular intermediate filament protein. Neural crest stem cells may be further characterized by the absence of markers associated with mature PNS neuronal or, glial cells. In the rat, such markers include sulfatide, glial fibrillary acidic protein (GFAP) and myelin protein P₀ in PNS glial cells, and peripherin and neurofilament in PNS neuronal cells.

LNGFR is a receptor for nerve growth factor, a neurotrophic factor shown to be responsible for neuronal survival *in vivo*. LNGFR is found on several mammalian cell types including neural crest cells and Schwann cells (glial cells of the PNS) as well as on the surface of cells in the ventricular zone throughout the embryonic central nervous systems. (See, *e.g.*, Yan et al. (1988) J. Neurosci. 8:3481-3496 and Heuer, J.G et al. (1980) Neuron 5:283-296 which studied such cells in the rat and chick systems, respectively.) Antibodies specific for LNGFR have been identified for LNGFR from rat monoclonal antibodies 217c (Peng, W.W. et al. (1982) Science 215:1102-1104) and 192-Ig (Brockes, J.P. et al. (1977) Nature 266:364-366 and

- Chandler, C.E. et al. (1984) J. Biol. Chem. 259:6882-6889) and human (Ross, A.H. et al. (1984) Proc. Natl. Acad. Sci. USA 81:6681-6685; Johnson, et al. (1986) Cell 47:545-554; Loy et al. (1990) J. Neurosci Res. 27:651-644). The monoclonal antibody against human LNGFR has been
- 5 reported to cross-react with LNGFR from monkeys (Mufson, E.G. et al. (1991) J. Comp. Neurol. 308:555-575). The DNA sequence has been determined for rat and human LNGFR (Radeke, M.J. et al. (1987) Nature 325:593-597 and Chao, M.V. et al. (1986) Science 232:518-521, respectively) and is highly conserved between rat and human.
- 10 Using the following techniques, monoclonal antibodies specific for LNGFR from any desired mammalian species are generated by first isolating the nucleic acid encoding the LNGFR protein. One protocol for obtaining such nucleic acid sequences uses one or more nucleic acid sequences from a region
- 15 *e.g.*, rat and human, as a hybridization probe to screen a genomic library or a cDNA library derived from mammalian tissue from the desired species (Sambrook, J. *et al.* (1989) Cold Spring Harbor Laboratory Press. Molecular Cloning: A Laboratory Manual, 2nd Ed., pp. 8.3-8.80, 9.47-9.58 and 11.45-11.55). The cloned LNGFR sequences are then used to express
- 20 the LNGFR protein or its extracellular (ligand binding) domain in an expression host from which the LNGFR protein is purified. Purification is performed using standard techniques such as chromatography on gel filtration, ion exchange or affinity resins. The purified LNGFR is then used to immunize an appropriate animal (*e.g.*, mouse, rat, rabbit, hamster) to
- 25 produce polyclonal antisera and to provide spleen cells for the generation of hybridoma cell lines secreting monoclonal antibodies specific for LNGFR of the desired species (Harlow, E. *et al.* (1988) Cold Spring Harbor Laboratory Press, Antibodies: A Laboratory Manual, pp. 139-242).

- A novel screening method can be used to detect the production of antibody against LNGFR or any other surface marker which characterizes a multipotent neural stem cell or progeny thereof. The method can be practiced to detect animals producing polyclonal antibodies against a particular antigen or to identify and select hybridomas producing monoclonal antibodies against such antigens. In this method, serum from an immunized animal or supernatant from a hybridoma culture is contacted with a live neural cell which displays a surface marker characteristic of a particular neural cell line. Detection of whether binding has occurred or not is readily determined by any number of known methods. A particularly preferred method is to use labeled antibody which is specific for the immunoglobulins produced by the species which is immunized with the particular antigen and which is a source for polyclonal serum and spleen cells for hybridoma formation.
- The live neural cell used in the foregoing antibody assay is dependent upon the particular surface marker for which an antibody is desired. In the examples, a monoclonal antibody for mouse LNGFR was identified using a dissociated primary culture of Schwann cells. In conjunction with the assay disclosed in the examples, mouse fibroblasts acted as a negative control.
- However, primary cultures of other cell lines can be used to detect monoclonal antibodies to LNGFR. For example, forebrain cholinergic neurons or sensory neurons can be used. In addition, a primary culture of epithelial cells can be used as a negative control.
- Other markers found on neural cells include Platelet Derived Growth Factor Receptor (PDGFR), Fibroblast Growth Factor (FGF) and Stem Cell Factor Receptor (SCFR). Cells useful for detecting monoclonal antibodies to PDGFR and FGF include primary cultures of glial cells or fibroblasts. Negative controls include cultures of epithelial cells and neuroblastomas.

SCFR is expressed on a subset of neuronal cells. Primary cultures of melanocytes or melanoma cells can be used to detect monoclonal antibodies to this receptor. Negative controls include primary cultures of fibroblasts and glial cells.

- 5 It is not always necessary to generate polyclonal or monoclonal antibodies that are species specific. Monoclonal antibodies against an antigenic determinant from one species may react against that antigen from more than one species. For example, as stated above, the antibody directed against the human LNGFR molecule also recognizes LNGFR on monkey cells. When
10 cross-reactive antibodies are available, there is no need to generate antibodies which are species specific using the methods described above.

- Nestin, a second marker in the neural crest stem cell, is an intermediate filament protein primarily located intracellularly, which has been shown to be present in CNS neuroepithelial cells and Schwann cells in the peripheral
15 nervous system of rats (Friedman *et al.* (1990) J. Comp. Neurol. 295:43-51). Monoclonal antibodies specific for rat nestin have been isolated: Rat 401, (Hockfield, S. *et al.* (1985) J. Neurosci. 5(12):3310-3328). A polyclonal rabbit anti-nestin antisera has been reported which recognizes mouse nestin. Reynolds, D.A. *et al.* (1992) Science 255:1707-1710). The DNA sequences
20 encoding the rat nestin gene have been cloned. Lendahl, U. *et al.* (1990) Cell 60:585-595). These DNA sequences are used to isolate nestin clones from other mammalian species. These DNA sequences are then used to express the nestin protein and monoclonal antibodies directed against various mammalian nestins are generated as described above for LNGFR.

- 25 Glial fibrillary acidic protein (GFAP) is an intermediate filament protein specifically expressed by astrocytes and glial cells of the CNS and by Schwann cells, the glial cells of the PNS (Jessen, K.R. *et al.* (1984) J.

- Neurocytology 13:923-934 and Fields, K.L. et al. (1989) J. Neuroimmuno. 8:311-330). Monoclonal antibodies specific for GFAP have been reported (Debus et al. (1983) Differentiation 25:193-203). Mouse and human GFAP genes have been cloned (Cowan, N.J. et al. (1985) N.Y. Acad. Sci. 455:575-582 and Bongcamrudlowss, D. et al. (1991) Cancer Res. 51:1553-1560, respectively). These DNA sequences are used to isolate GFAP clones from other mammalian species. These DNA sequences are then used to express the GFAP protein and monoclonal antibodies directed against various mammalian GFAPs are generated as described above for LNGFR.
- 10 As used herein, the term "neuronal progenitor cell" refers to a cell which is intermediate between the fully differentiated neuronal cell and a precursor multipotent neural stem cell from which the fully differentiated neuronal cell develops. In general, such neuronal progenitor cells are derived according to the methods described herein for isolating such cells from various tissues
- 15 including adult and embryonic CNS and PNS tissue as well as other tissues which may potentially contain such progenitors.

As used herein, the term "PNS neuronal progenitor cell" means a cell which has differentiated from a mammalian neural crest stem cell which is committed to one or more PNS neuronal lineages and is a dividing cell but

20 does not yet express surface or intracellular markers found on more differentiated, non-dividing PNS neuronal cells. Such progenitor cells are preferably obtained from neural crest stem cells isolated from the embryonic neural crest which have undergone further differentiation. However, equivalent cells may be derived from other tissue. When PNS neuronal

25 progenitor cells are placed in appropriate culture conditions they differentiate into mature PNS neurons expressing the appropriate differentiation markers, for example, peripherin, neurofilament and high-polysialic acid neural cell adhesion molecule (high PSA-NCAM).

Peripherin, a 57 kDa intermediate filament protein, is expressed in adult rodents primarily in peripheral neurons. More limited expression of peripherin is found in some motoneurons of the spinal cord and brain stem and a limited group of CNS neurons. Peripherin is expressed in rat embryos primarily in neurons of peripheral ganglia and in a subset of ventral and lateral motoneurons in the spinal cord. Gorham, J.D. *et al.* (1990) Dev. Brain Res. 57:235-248. Antibodies specific for this marker have been identified in the rat (Portier, M. *et al.* (1983/84) Dev. Neurosci. 6:335-344). The DNA sequences encoding the rat peripherin gene have been cloned. Thompson, M.A. *et al.* (1989) Neuron 2:1043-1053. These DNA sequences are used to isolate DNA sequences for the peripherin gene in other mammals that are used to express the protein and generate antibodies directed against other mammalian peripherin proteins, as described above for LNGFR.

Neurofilaments are neuron-specific intermediate filament proteins. Three neurofilament (NF) proteins have been reported: NF68, a 68 kD protein also called NF-L (Light); NF160, a 160 kD protein also called NF-M (Medium); NF200, a 200 kD protein also called NF-H (Heavy). In general, there is coordinate expression of all three NF proteins in neurons. The DNA sequences encoding the rat NF200 and NF160 proteins have been cloned (Dautigny, A. *et al.* (1988) Biochem. Biophys. Res. Commun. 154:1099-1106 and Napolitano, E.W. *et al.* (1987) J. Neurosci. 7:2590-2599, respectively). All three NF protein genes have been cloned in mice and humans. Mouse NF68 nucleic acid sequences were reported in Lewis, S.A. *et al.* (1985) J. Cell Biol. 100:843-850. Mouse NF160 nucleic acid sequences were reported in Levy, E. *et al.* (1987) Eur. J. Biochem. 166:71-77. Mouse NF200 nucleic acid sequences were reported in Shneidman, P.S. *et al.* (1988) Mol. Brain Res. 4:217-231. In humans, nucleic acid sequences were reported for: NF68, Julien, J.-P. *et al.* (1987) Biochem. Biophys. Acta. 909:10-20; NF160, Myers, M.W. *et al.* (1987) EMBO J. 6:1617-1626;

NF200, Lee, J.F. et al. (1988) EMBO J. 7:1947-1955. These DNA sequences are used to produce the protein for the production of antibodies or to isolate other mammalian NF genes and the proteins expressed and antibodies generated for any desired species, as described above for LNGFR.

- 5 As used herein, the term "NF+" means expression of one or more of the three NF proteins.

As used herein, the term "factors permissive for PNS neuronal cell differentiation" means compounds, such as, but not limited to, protein or steroid molecules or substrates such as FN or PDL, which permit at least a
10 neural crest stem cell to become restricted to the PNS neuronal lineage. Such lineage-restricted progeny of neural crest stem cells include PNS neuronal progenitor cells, which are at least bipotential, in that they can divide to give rise to self, as well as, more mature, non-dividing PNS neurons.

- As used herein, the term "growth factors from the TGF- β superfamily"
15 means growth factors related to transforming growth factor beta-1 ("TGF β -1"). Such TGF- β superfamily growth factors may or may not exert a similar biological effect to TGF β -1, the prototypic member of the TGF- β superfamily. In recombinant TGF- β 1 ("rTGF- β 1") virtually all neural crest stem cell colonies differentiate to SM cells under specified culture conditions.
20 Shah et al.(1996) Cell 85:331-343. TGF β 2 and TGF β 3 yielded similar results as TGF β 1. Shah et al.(1996) Cell 85:331-343, data not shown. By way of example, members of the TGF- β superfamily of growth factors include but are not limited to naturally occurring analogues (e.g. TGF β -2, β -3, β 4),and any known synthetic or natural analogues of TGF β -1 in addition to related
25 growth factors exemplified by bone marrow phogenic proteins 2 and 4 ("BMP-2" and "BMP-4"). These compounds can be purified from natural sources or may be produced by recombinant DNA techniques and may or may not be substantially pure. Variants and fragments retaining the property

of causing differentiation are included in the definition of the members of this superfamily.

As used herein, the term bone marrow phogenic protein ("BMP") refers to a group of growth factors which are members of the TGF- β superfamily.

- 5 Under comparable culture conditions BMP2 and BMP4 produced neurons and some SM cells, while TGF β 1 produced only SM cells. Shah et al.(1996) Cell 85:331-343. As indicated in the examples, when neural stem cells are contacted with certain factors permissive for neuronal and glial cell differentiation, such cells differentiated into neurons, glia and a
- 10 subpopulation referred to as "O" cells. As disclosed in Example 10, these O cells are, in fact, smooth muscle cells. Thus, at least some of the factors which are permissive for differentiation to neuronal and/or glial cells are also permissive for the differentiation of neural stem cells to smooth muscle cells. However, as also indicated in Example 10, there are factors which are
- 15 instructive for smooth muscle cell differentiation. The growth factors described herein can be administered individually or in combination with each other.

- In this regard, the term "instructive factor" or grammatical equivalents refers to one or more factors which are capable of causing the differentiation of
- 20 neural stem cells primarily to a single lineage, *e.g.*, glial, neuronal or smooth muscle cell. Thus, a factor which is instructive for smooth muscle cell differentiation is one which causes differentiation of neural stem cells to smooth muscle cells at the expense of the differentiation of such stem cells into other lineages such as glial or neuronal cells. As indicated in Example
- 25 10, mammalian serum contains one or more factors which are instructive factors for the production of smooth muscle cells.

Having identified that mammalian serum contains one or more instructive factors for smooth muscle cell differentiation, such instructive factors can be identified by fractionating mammalian serum and adding back one or more such fractions to a neural stem cell culture to identify one or more fractions
5 containing instructive factors for smooth muscle cell differentiation. Positive fractions can then be further fractionated and reassayed until the one or more components required for instructive differentiation to smooth muscle cells are identified.

Mammalian neural crest stem cell compositions are provided which serve as a
10 source for neural crest cell derivatives such as neuronal and glial progenitors of the PNS which in turn are a source of PNS neurons and glia. Methods are provided for the isolation and clonal culture of neural crest stem cells, in the absence of feeder cells. In the examples provided, these methods utilize a chemically defined medium which is supplemented with chick embryo extract
15 as a source of mitogens and survival factors. Factors present in the extract of chicken embryos allow the growth and self renewal of rat neural crest stem cells. However, media used to isolate and propagate rat neural crest stem cells can be used to isolate and propagate neural crest stem cells from other mammalian species, such as human and non-human primates, equines,
20 felines, canines, bovines, porcines, lagomorphs, etc.

Culture conditions provided herein allow the isolation self-renewal and differentiation of mammalian neural crest stem cells and their progeny. These culture conditions may be modified to provide a means of detecting and evaluating growth factors relevant to mammalian neural crest stem cell
25 self-renewal and the differentiation of the stem cell and its progeny. These modifications include, but are not limited to, changes in the composition of the culture medium and/or the substrate and in the specific markers used to identify either the neural crest stem cell or their differentiated derivatives.

Culture conditions are provided which allow the differentiation of mammalian neural crest stem cells into the PNS neuronal, glial and smooth muscle lineages in the absence of feeder cell layers. In addition to liquid culture media, these culture conditions utilize a substratum comprising fibronectin alone or in combination with poly-D-lysine. In the examples provided, human fibronectin is utilized for the culturing of rat neural crest stem cells and their progeny. Human fibronectin can be used for the culturing of neural crest stem cells isolated from avian species as well as from any mammal, as the function of the fibronectin protein is highly conserved among different species. Cells of many species have fibronectin receptors which recognize and bind to human fibronectin.

In order to isolate the subject neural crest stem cells, it is necessary to separate the stem cell from other cells in the embryo. Initially, neural crest cells are obtained from mammalian embryos.

For isolation of neural crest cells from mammalian embryos, the region containing the caudal-most 10 somites are dissected from early embryos (equivalent to gestational day 10.5 day in the rat). These trunk sections are transferred in a balanced salt solution to chilled depression slides, typically at 4°C, and treated with collagenase in an appropriate buffer solution such as Howard's Ringer's solution. After the neural tubes are free of somites and notochords, they are plated onto fibronectin (FN)-coated culture dishes to allow the neural crest cells to migrate from the neural tube. Twenty-four hours later, following removal of the tubes with a sharpened tungsten needle, the crest cells are removed from the FN-coated plate by treatment with a Trypsin solution, typically at 0.05%. The suspension of detached cells is then collected by centrifugation and plated at an appropriate density, generally 225 cells/100mm dish in an appropriate chemically defined medium. This medium is preferentially free of serum and contains

components which permit the growth and self-renewal of neural crest stem cells. The culture dishes are coated with an appropriate substratum, typically a combination of FN and poly-D-lysine (PDL).

Procedures for the identification of neural crest stem cells include incubating
5 cultures of crest cells for a short period of time, generally 20 minutes, at
room temperature, generally about 25°C, with saturating levels of antibodies
specific for a particular marker, *e.g.*, LNGFR. Excess antibody is removed
by rinsing the plate with an appropriate medium, typically L15 medium
(Gibco) supplemented with fresh vitamin mix and bovine serum albumin (L-
10 15 Air). The cultures are then incubated at room temperature with a
fluorochrome labeled secondary antibody, typically Phycoerythrin R-
conjugated secondary antibody (TAGO) at an appropriate dilution for about
20 minutes. Excess secondary antibodies are then removed using an
appropriate medium, such as L-15 Air. The plates are then covered with the
15 chemically defined growth medium and examined with a fluorescence
microscope. Individual LNGFR⁺ clones are isolated by fluorescence
activated cell sorting (FACS) or, more typically, by marking the plate under
the identified clone. The markings are typically made to a diameter of 3-4
mm, which generally allows for the unambiguous identification of the
20 progeny of the founder cell at any time during an experiment. If desired,
individual LNGFR⁺ clones are removed from the original plate by
trypsinization with the use of cloning cylinders.

Procedures for permitting the differentiation of stem cells include the
culturing of isolated stem cells in a medium permissive for differentiation to a
25 desired lineage, such as Schwann cell differentiation (SCD) medium. Other
procedures include growth of isolated stem cells on substrates capable of
permitting differentiation, such as FN or FN and PDL.

Procedures for the serial subcloning of stem cells and their derivatives include the trypsinization of individual clones, as described above, followed by replating the clone on a desired substrate and culturing in a desired medium, such as a chemically defined medium suitable for maintenance of stem cells or SCD medium permissive for the differentiation of said neural crest stem cells. Crest cells may be identified following serial subcloning by live-cell labeling with an antibody directed against LNGFR, as described above.

It has been demonstrated that neurogulin/GGF can instructively influence multipotent, self-renewing rodent neural crest stem cells (NCSCs) (Stemple and Anderson (1992) Cell 71:973-985) to differentiate to glia *in vitro* (Shah et al. (1994) Cell 77:349-360; while this study demonstrated that the fate could be promoted by an environmental signal, it left open the question of how alternative fates might be chosen. For example, the neuronal fate of NCSCs, might be promoted by other extrinsic cues. Alternatively, neural crest cells might be predisposed to select a neuronal fate in the absence of extrinsic influence. Indeed, in many systems developmental decision have been suggested to involve one fate that is promoted by an extracellular signal and an alternative fate that is assumed in the absence of that signal, as if by default. (Raff (1989) Science 243:1450-1455; Kelly and Melton (1995) Trends Genet. 11:273-278; Shah et al. (1996) Cell 85:331-343.)

The mechanisms controlling binary fate decisions by developmentally equivalent cells are being rapidly elucidated by genetic analysis (Greenwald and Rubin (1992) Cell 68:271-281; Ghysen et al. (1993) Genes Dev. 7:723-733. In contrast, the process whereby a multiplicity of differentiated cell types is generated from pluripotent stem cells is less well understood. This problem has been studied in the context of hematopoiesis, in vertebrates (for reviews, see Dexter et al. (1990) Phil. Trans. R. Soc. Lond. (B) 327:85-98;

Shah et al.(1996) Cell 85:331-343). In this system, growth factors such as erythropoietin (EPO) have been isolated that influence the development of cells in a particular lineage (Clark and Kaman (1987) Science 236:1229-1237; Metcalf (1989) Nature 339:27-30; Krantz (1991) Blood 77:419-434. A major issue has been whether these growth factors act by instructing multipotent cells to commit to one lineage at the expense of others (Metcalf and Burgess (1982) Cell. Physiol. 111:27 5-283, or whether they prevent the death of lineage-committed progenitor that have been generated by a stochastic mechanism (see Ogawa (1993) Blood 81:2844-2853, and references therein). The available evidence favors the latter alternative. Shah et al.(1996) Cell 85:331-343.

The methods described herein provide the basis of functional assays which allow for the identification and production of cellular compositions of mammalian cells which have properties characteristic of neural crest stem cells, glial, neuronal, smooth muscle progenitor cells or multipotent stem cell precursor of such progenitor cells. In order to isolate such cells from tissues other than embryonic neural tubes, it is necessary to separate the progenitor and/or multipotent stem cells from other cells in the tissue. The methods presented in the examples for the isolation of neural crest stem cells from neural tubes can be readily adapted for other tissues by one skilled in the art. First, a single cell suspension is made from the tissue; the method used to make this suspension will vary depending on the tissue utilized. For example, some tissues require mechanical disruption of the tissue while other tissues require digestion with proteolytic enzymes alone or in combination with mechanical disruption in order to create the single cell suspension. Tissues such as blood already exists as a single cell suspension and no further treatment is required to generate a suspension, although hypotonic lysis of red blood cells may be desirable. Once the single cell suspension is generated it may be enriched for cells expressing LNGFR or other neural

cell-specific markers on their surface. One protocol for the enrichment for LNGFR⁺ cells is by incubating the cell suspension with antibodies specific for LNGFR and isolating the LNGFR⁺ cells. Enrichment for cells expressing a neural cell-specific surface marker is particularly desirable when

5 these cells represent a small percentage (less than 5%) of the starting population. The isolation of cells which have complexed with an antibody for a neural cell-specific surface marker such as is carried out using any physical method for isolating antibody-labeled cells. Such methods include fluorescent-activated cell sorting in which case the cells, in general, are

10 further labeled with a fluorescent secondary antibody that binds the anti-LNGFR antibody, *e.g.*, mouse anti-LNGFR and fluorescein label goat anti-mouse IgG; panning in which case the antibody-labeled cells are incubated on a tissue-culture plate coated with a secondary antibody; Avidin-sepharose chromatography in which the anti-LNGFR antibody is biotinylated prior to

15 incubation with the cell suspension so that the complexed cells can be recovered on an affinity matrix containing avidin (*i.e.*, where the antibody is an antibody conjugate with one of the members of a binding pair); or by use of magnetic beads coated with an appropriate anti-antibody so that the labeled LNGFR-expressing cells can be separated from the unlabeled cells with the

20 use of a magnet. All of the foregoing cell isolation procedures are standard published procedures that have been used previously with other antibodies and other cells.

The use of antibodies specific for neural stem cell-specific surface markers results in the isolation of multipotent neural stem cells from tissues other than

25 embryonic neural tubes. For example, as previously indicated, LNGFR is expressed in cells of the ventricular zone throughout the embryonic central nervous system of the rat and chick. This implies that other mammalian species have a similar pattern of LNGFR expression and studies in human with monoclonal antibodies against the human LNGFR (Loy, et al. (1990) J.

Neurosci. Res. 27:651-654) are consistent with this expectation. Since cells from the ventricular zone (Cattaneo et al. (1991) Trends Neurosci. 14:338-340; Reynolds et al. (1992) Science 255:1707-1710) are likely to be stem cells (Hall et al. (1989) Development 106:619-633; Potter et al. (1990) Development 110:1001-1020) antibodies to neural cell-specific surface markers should prove useful in isolating multipotent neural stem cells from the central and peripheral nervous systems and from other tissue sources.

Alternatively, or in conjunction with the above immuno-isolation step, the cells are plated at clonal density, generally 225 cells/100 mm dish, in an appropriate chemically defined medium on a suitable substrate as described in the examples for isolation of rat neural crest stem cells. The presence of neural crest-like stem cells (*e.g.*, a multipotent neural stem cell) is confirmed by demonstrating that a single cell can both self-renew and differentiate to members of at least the PNS neuronal, glial and smooth muscle lineages utilizing the culture conditions described herein. Other types of multipotent neural stem cells are identified by differentiation to other cell types such as CNS neural or glial cells or their progenitors. Depending upon the source of the tissue used in the foregoing methods, multipotent neural stem cells may not be obtained. Rather, further differentiated cell types such as glial, neuronal or smooth muscle progenitor cells may be obtained.

The identification of the large, flat cells that develop in the presence of TGF β 1 (and, to a lesser extent, in rBMP2) as SM cells is based on their morphology (Chamley-Campbell et al. (1979) Physiol. Rev. 59:1-61 and expression of two SM-specific markers, calponin and α SMA (Skalli et al. (1986) J. Cell Biol. 103:2787-2796; Gimona et al., (1990) FEBS Lett. 274:159-162). Although each of these markers can occasionally be expressed by some non-SM cells, the co-expression of both markers by many individual cells in cultures makes it likely that these cells are in fact SM.

SM cells are one of the normal derivatives of the neural crest, although in avians they derive from an anterior region of the neural crest (the cardiac neural crest; Kirby (1987) Pediatr. Res. 21:219-224), rather than from the trunk region (which corresponds to the region from which our NCSCs are obtained). The trunk crest has the capacity to give rise to SM if transplanted to anterior regions (Nakamura and Ayer-Le Lievre (1982) J. Embryol. Exp. Morphol. 70:1-19. Therefore, the ability to elicit SM differentiation from rodent trunk NCSCs may reflect a developmental potential that is available to these cells *in vivo*. The available fate mapping data (Serbedzija et al. (1990) Development 108:605-612) do not exclude a contribution of trunk neural crest to SM in mammals.

Although the development of SM cells is of considerable relevance for human disease (Kirby and Waldo (1990) Circulation 82:332-340, their development from precursor cells in mammals is poorly understood (see Schwartz et al. (1990; Owens, (1995) Physiol. Rev. 75:487-517 and references therein). While SM cell differentiation has been obtained from cell lines such as ES-like cells (Edwards et al. (1983) Mol. Cell. Biol. 3:2280-2286, the present invention represents the first case in which de novo differentiation of these cells from a naturally occurring precursor has been elicited *in vitro*. Such a system should open the way to further studies aimed at understanding the factors that control the differentiation and maturation of this important cell type. Chamley-Campbell et al. (1979) Physiol. Rev. 59:1-61.

TGF β 1 super family members may be utilized to instructively influence cell fate decisions, rather than selectively support survival of lineage-committed progenitors. Shah et al. (1996) Cell 85:331-343. Members of the TGF- β superfamily of growth factors are expressed at sites where autonomic neurons differentiate. Shah et al. (1996) Cell 85:331-343. For example, bone morphogenic protein 2 (BMP2) promotes rapid induction of the autonomic

lineage-specific basic-helix-loop-helix protein MASH1 and autonomic neurogenesis *in vitro*. Some SM cell differentiation is also observed in BMP2 in contrast to TGF β 1, the prototypic member of the TGF β superfamily, which drives virtually all NCSCs to a SM fate. Shah et al.(1996) Cell 85:331-343.

A clonal culture system has been developed(Stemple and Anderson (1992) Cell 71:973-985) which has permitted detailed investigation of the action of growth factors on rodent neural crest cells. Initially, the promotion of neuregulin/GGF on glial as opposed to neuronal differentiation was demonstrated. Shah et al. (1994) Cell 77:349-360. More recently, SM differentiation has been added to the NCSC repertoire and as being promoted by TGF β 1. In contrast, a related factor, BMP2/4, promotes primarily autonomic neuronal differentiation although some SM differentiation is observed. Clonal analysis and serial observations of living clones strongly indicates that both TGF β 1 and BMP2 act instructively rather than selectively. The expression patterns of BMP2/4 (Bitgood and McMahon (1995) Dev. Biol. 172:126-138; Lyons et al. (1995) Mech. Dev. 50:71-83, TGF β 1 (Akhurst et al.(1990) Development 108:645-656; Millan et al. (1991) Development 111:131-144 ; Dickson et al. (1993) Development 117:625-639 and neurogulin (Marchionni et al. (1993) Nature 362:312-318; Meyer and Burchmeier (1994) Proc. Natl. Sci. USA 91:1064-1068; Shah et al. (1994) Cell 77:349-360) *in vivo* are consistent with the roles suggested for them by these *in vitro* experiments.

Transplantation assay systems described herein provide the basis of functional assays which allow for the identification of mammalian cells which have properties characteristic of neural crest stem cells, multipotent neural stem cells and/or neuronal, glial or smooth muscle progenitor cells. Cells of interest, identified by either the *in vivo* or *in vitro* assays described above,

are transplanted into mammalian hosts using standard surgical procedures. Using standard techniques, it is possible to deliver neural crest cells to a developing mammalian or avian embryo or to any tissue or compartment of the adult animal (*e.g.*, brain, peritoneal cavity, etc.). The transplanted cells and their progeny are distinguished from the host cells by the presence of species specific antigens or by the expression of an introduced marker gene. The transplanted cells and their progeny are also stained for markers of mature neurons and glia in order to examine the developmental potential of the transplanted cells. This transplantation assay provides a means to identify neural crest stem cells by their functional properties in addition to the *in vitro* culture assays described above.

Additionally, the transplantation of cells having characteristics of multipotent neural stem cells, neural crest stem cells or progenitors of neuronal, glial or smooth muscle cells provides a means to investigate the therapeutic potential of these cells for neurological disorders of the PNS and CNS in animal models. Examples of PNS disorders in mice include the *trembler* and *shiverer* strains. The *trembler* mutation involves a defect in the structural gene for peripheral myelin protein 22 (PMP22). This mutation results in the defective myelination of axons in the PNS. An analogous disorder is seen in humans, Charcot-Marie-Tooth syndrome, which results in progressive neuropathic muscular atrophy.

The *shiverer* mutation in mice results in a severe myelin deficiency throughout the CNS and a moderate hypo-myelination in the PNS. Severe shivering episodes are seen 12 days after birth. An analogous disorder is seen in humans, Guillaum-Barre' disease, which is characterized by an acute febrile polyneuritis.

Cells having characteristics of multipotent neural stem cells, neural crest stem cells or neuronal, glial or smooth muscle progenitors of the PNS or CNS (identified by either *in vitro* or *in vivo* assays) are introduced into a mammal exhibiting a neurological disorder to examine the therapeutic potential of these cells. These cells are preferably isolated from a mammal having similar MHC genotypes or the host mammal is immunosuppressed using drugs such as cyclosporin A. The cells are injected into an area containing various peripheral nerves known to be effected in a particular mammal or into the spinal cord or brain for mammals which show involvement of the CNS. The cells are injected at a range of concentrations to determine the optimal concentration into the desired site. Alternatively, the cells are introduced in a plasma clot or collagen gel to prevent rapid dispersal of cells from the site of injection. The effect of this treatment on the neurological status of the model animal is noted. Desired therapeutic effects in the above mutant mice include the reduction or cessation of seizures or improved movement of lower motor extremities.

There is strong interest in identifying the multipotent neural stem cells such as the neural crest stem cell and defining culture conditions which allow the clonal propagation and differentiation of said stem cells. Having possession of a multipotent neural stem cell or a neural crest stem cell allows for identification of growth factors associated with self regeneration. In addition, there may be as yet undiscovered growth factors associated with (1) with the early steps of restriction of the stem cell to a particular lineage; (2) the prevention of such restriction; and (3) the negative control of the proliferation of the stem cell or its derivatives.

The multipotent neural stem cell, neural crest stem cell, progeny thereof or immortalized cell lines derived therefrom are useful to: (1) detect and evaluate growth factors relevant to stem cell regeneration; (2) detect and

isolate ligands, such as growth factors or drugs, which bind to receptors expressed on the surface of such cells or their differentiated progeny (*e.g.*, Glial Growth Factor (GGF), Heregulin and Neu Differentiation Factor (NDF)); (3) provide a source of cells which express or secrete growth factors specific to multipotent neural stem cells; (4) detect and evaluate other growth factors relevant to differentiation of stem cell derivatives, such as neurons, glia and smooth muscle; (5) produce various neural stem cell derivatives, including both the progenitors and mature cells of a given lineage and (6) provide a source of cells useful for treating neurological diseases of the PNS and CNS in model animal systems and in humans. The culture conditions used herein allow for the growth and differentiation of stem cells *in vitro* and provide a functional assay whereby mammalian tissues can be assayed for the presence of cells having the characteristics of neural stem cells. The transplantation assay described herein also provides a functional assay whereby mammalian neural stem cells may be identified.

Once identified and propagated, the stem cells may be subsequently preferentially differentiated into neurons or smooth muscle cells using media containing growth factors. The use of growth factors from the TGF- β superfamily is illustrated in Example 11, as exemplified by TGF- β and BMP2. The appropriate dose of TGF- β superfamily growth factors may be determined in a number of ways which will depend on the TGF- β superfamily growth factor used. As shown for TGF- β and BMP2 in Figure 24, an initial range of doses may be tested and an appropriate range set. For example, 0.0001 to about 100nM may be used with a preferred range from about 0.001 to about 10nM. The most preferred dose range may vary depending upon the TGF- β superfamily growth factor used. Shah et al.(1996) Cell 85:331-343.

It is understood by those skilled in the art that this dose may vary depending on the purity and particular preparation of the TGF- β superfamily growth factor. In the most preferred embodiment, the TGF- β superfamily growth factor is substantially pure. In other embodiments, the TGF- β superfamily growth factor is in culture media and may be one of many ingredients in the culture media.

While the examples have focused on stem cells derived from the peripheral nervous system (PNS), *i.e.* from the neural crest, those skilled in the art would appreciate that the use of TGF- β superfamily growth factors to differentiate stem cells into neurons and smooth muscle cells is not limited to PNS-derived cells. Rather, the method can be used on stem cells derived from the central nervous system (CNS). Putative CNS stem cells have been reported (see WO 93/01275 and Reynold et al., Science 255:1707 (1992)). The effective dosages for CNS stem cells can be determined in the same way as for PNS-derived stem cells.

As indicated in the examples, neural crest stem cells have been passaged for at least six-ten generations in culture. Although it may be unnecessary to immortalize those or other multipotent neural stem cell lines or progenitor cell lines obtained by the methods described herein, once a cell line has been obtained it may be immortalized to yield a continuously growing cell line useful for screening trophic or differentiation factors or for developing experimental transplantation therapies in animals. Such immortalization can be obtained in multipotent neural stem cells or progenitors of glial, neuronal and smooth muscle cells by genetic modification of such cells to introduce an immortalizing gene.

Examples of immortalizing genes include: (1) nuclear oncogenes such as *v-myc*, *N-myc*, T antigen and Ewing's sarcoma oncogene (Fredericksen et al.

- (1988) Neuron 1:439-448; Bartlett, P. et al. (1988) Proc. Natl. Acad. Sci. USA 85:3255-3259, and Snyder, E.Y. et al. (1992) Cell 68:33-51), (2) cytoplasmic oncogenes such as bcr-abl and neurofibromin (Solomon, E. et al. (1991) Science 254:1153-1160), (3) membrane oncogenes such as neu and ret (Aaronson, A.S.A (1991) Science 254:1153-1161), (4) tumor suppressor genes such as mutant p53 and mutant Rb (retinoblastoma) (Weinberg, R.A. (1991) Science 254:1138-1146), and (5) other immortalizing genes such as Notch dominant negative (Coffman, C.R. et al. (1993) Cell 23:659-671). Particularly preferred oncogenes include v-myc and the SV40 T antigen.
- 10 Foreign (heterologous) nucleic acid may be introduced or transfected into multipotent neural stem cells or their progeny. A multipotent neural stem cell or its progeny which harbors foreign DNA is said to be a genetically-engineered cell. The foreign DNA may be introduced using a variety of techniques. In a preferred embodiment, foreign DNA is introduced into
- 15 multipotent neural stem cells using the technique of retroviral transfection. Recombinant retroviruses harboring the gene(s) of interest are used to introduce marker genes, such as the *E. coli* β -galactosidase (lacZ) gene, or oncogenes. The recombinant retroviruses are produced in packaging cell lines to produce culture supernatants having a high titer of virus particles
- 20 (generally 10^5 to 10^6 pfu/ml). The recombinant viral particles are used to infect cultures of the neural stem cells or their progeny by incubating the cell cultures with medium containing the viral particles and 8 μ g/ml polybrene for three hours. Following retroviral infection, the cells are rinsed and cultured in standard medium. The infected cells are then analyzed for the uptake and
- 25 expression of the foreign DNA. The cells may be subjected to selective conditions which select for cells that have taken up and expressed a selectable marker gene.

In another preferred embodiment, the foreign DNA is introduced using the technique of calcium-phosphate-mediated transfection. A calcium-phosphate precipitate containing DNA encoding the gene(s) of interest is prepared using the technique of Wigler et al. (1979) Proc. Natl. Acad. Sci. USA 76:1373-

5 1376. Cultures of the neural stem cells or their progeny are established in tissue culture dishes. Twenty four hours after plating the cells, the calcium phosphate precipitate containing approximately 20 μ g/ml of the foreign DNA is added. The cells are incubated at room temperature for 20 minutes.

Tissue culture medium containing 30 μ M chloroquine is added and the cells
10 are incubated overnight at 37°C. Following transfection, the cells are analyzed for the uptake and expression of the foreign DNA. The cells may be subjected to selection conditions which select for cells that have taken up and expressed a selectable marker gene.

The following is presented by way of example and is not to be construed as a
15 limitation on the scope of the invention. Further, all references referred to herein are expressly incorporated by reference.

EXAMPLE 1

Preparation of Neural Crest Cells

For a given preparation 5-10 timed pregnant female Sprague-Dawley rats
20 (Simonson Laboratories, Gilroy, California) were killed by CO₂ asphyxiation. Embryos were removed and placed into Hank's Balanced Salt Solution (HBSS) (Gibco, Grand Island, New York) at 4°C for 2-4 hours.

Under a dissecting microscope, at room temperature, a block of tissue from a region corresponding to approximately the caudal most 10 somites was
25 dissected from each embryo using an L-shaped electrolytically sharpened tungsten needle. Trunk sections were transferred in HBSS into one well of a

3 well depression slide that had been chilled to 4°C. Trunk sections were treated with collagenase (152 units/mg) (Worthington Biochemical, Freehold, New Jersey) made to a concentration of 0.75 mg/ml in Howard's Ringer's solution (per 1 liter of dH₂O: NaCl 7.2g; CaCl₂ 0.17g; KCl 0.37g) and
5 sterilized, by passage through a 0.22 µm filter prior to use. The collagenase solution was exchanged at least 3 times and with each exchange the trunk sections were vigorously triturated by passage through a pasteur pipet. After incubation at 37°C for 20 minutes in humidified CO₂ atmosphere, the trunk sections were triturated very gently until most of the neural tubes were free
10 and clean of somites and notochords. The collagenase solution was quenched by repeated exchanges with cold complete medium (described below). The neural tubes were plated onto fibronectin-coated (substrate preparation is described below) 60mm tissue culture dishes (Corning, Corning, New York) that had been rinsed with complete medium. After a 30 minute incubation to
15 allow the neural tubes to attach, dishes were flooded with 5 ml of medium. After a 24 hour culture period, using an L-shaped electrolytically sharpened tungsten needle and an inverted phase contrast microscope equipped with a 4X objective lens, each neural tube was carefully scraped away from the neural crest cells that had migrated onto the substrate. Crest cells were
20 removed by a 2 minute 37°C treatment with 0.05% Trypsin solution (Gibco). The cells were centrifuged for 4 minutes at 2000 r.p.m. and the pellet was resuspended into 1 ml of fresh complete medium. Typically the cells were plated at a density of 225 cells/100 mm dish.

Substrate Preparation

25 A. Fibronectin (FN) Substrate

Tissue culture dishes were coated with human plasma fibronectin (New York Blood Center, New York, New York) in the following way. Lyophilized fibronectin was resuspended in sterile distilled water (dH₂O) to a concentration of 10 mg/ml and stored at -80°C until used. The fibronectin

stock was diluted to a concentration of 250 mg/ml in Dulbecco's phosphate buffered saline (D-PBS) (Gibco). The fibronectin solution was then applied to tissue culture dishes and immediately withdrawn.

B. Poly-D-Lysine (PDL) and FN Substrate

- 5 Sterile poly-D-Lysine (PDL) was dissolved in dH₂O to as concentration of 0.5 mg/ml. The PDL solution was applied to tissue culture plates and immediately withdrawn. The plates were allowed to dry at room temperature, rinsed with 5 ml of dH₂O and allowed to dry again. Fibronectin was then applied, as described above, over the PDL.

10

EXAMPLE 2

Development of a Defined Medium for
the Growth of Rat Neural Crest Stem Cells

- A serum-free, chemically defined basal medium was developed based on the formulations of several existing defined media. This basal medium consists of L15-CO₂ formulated as described by Hawrot, E. *et al.* (1979) Methods in Enzymology 58:574-583 supplemented with additives described by Bottenstein, J.E. *et al.* (1979) Proc. Natl. Acad. Sci. USA 76:514-517 and further supplemented with the additives described by Sieber-Blum, M. *et al.* (1985) Exp. Cell Res. 158:267-272. The final recipe is given here: to L15-CO₂ add, 100 µg/ml transferrin (Calbiochem, San Diego, California), 5 µg/ml insulin (Sigma, St. Louis, MO), 16 µg/ml putrescine (Sigma), 20 nM progesterone (Sigma), 30 nM selenious acid (Sigma), 1 mg/ml bovine serum albumin, crystallized (Gibco), 39 pg/ml dexamethasone (Sigma), 35 ng/ml retinoic acid (Sigma), 5 µg/ml α-d, 1-tocopherol (Sigma), 63 µg/ml β-hydroxybutyrate (Sigma), 25 ng/ml cobalt chloride (Sigma), 1 µg/ml biotin (Sigma), 10 ng/ml oleic acid (Sigma), 3.6 mg/ml glycerol, 100 ng/ml α-

melanocyte stimulating hormone (Sigma), 10 ng/ml prostaglandin E1 (Sigma), 67.5 ng/ml triiodothyronine (Aldrich Chemical Company, Milwaukee, Wisconsin), 100 ng/ml epidermal growth factor (Upstate Biotechnology, Inc., Lake Placid, New York), 4 ng/ml bFGF (UBI), and 20 ng/ml 2.55 NGF (UBI).

To allow the growth and regeneration of neural crest stem cells in feeder cell-independent cultures, it was necessary to supplement the basal medium with 10% chick embryo extract (CEE). This supplemented medium is termed complete medium.

CEE is prepared as follows: chicken eggs were incubated for 11 days at 38°C in a humidified atmosphere. Eggs were washed and the embryos were removed, and placed into a petri dish containing sterile Minimal Essential Medium (MEM with Glutamine and Earle's salts) (Gibco) at 4°C. Approximately 10 embryos each were macerated by passage through a 30 ml syringe into a 50 ml test tube (Corning). This typically produced 25 ml of volume. To each 25 ml was added 25 ml of MEM. The tubes were rocked at 4°C for 1 hour. Sterile hyaluronidase (1 mg/25 g of embryo) (Sigma) was added and the mixture was centrifuged for 6 hours at 30,000 g. The supernatant was collected, passed first through a 0.45 μ m filter, then through a 0.22 μ m filter and stored at -80°C until used.

At the low cell densities necessary for survival and proliferation of individual neural crest cells, either fetal calf serum (FCS, JR Scientific) or CEE was required, in addition to the basal medium, for clone formation. When FCS was used to supplement the medium, it was heat inactivated by treatment at 55°C for 30 minutes. FCS was stored at -20°C and passed through a 0.22 μ m filter prior to use.

CEE is preferred as a supplement, as in the presence of FCS, most of the cells derived from the neural crest exhibit a flattened, fibroblastic morphology and expression of LNGFR is extinguished. In the absence of both FCS and CEE, clone formation from neural crest cells was greatly
5 attenuated.

EXAMPLE 3

Isolation and Cloning of Multipotent Rat Neural Crest Cells

10 A. Identification of Antibody Markers Expressed by Neural Crest Cells

In order to identify and isolate rat neural crest cells, it was necessary to identify antibody markers that could be used to recognize these cells. When E10.5 neural tubes were explanted onto a fibronectin (FN) substratum, many of the neural crest cells that emigrated from the neural tubes over the next 24
15 hours expressed the low-affinity NGF receptor (LNGFR), recognized by monoclonal antibodies 192-Ig and 217c. The outgrowth of neural crest cells from the dorsal side of the explanted neural tube following 24 hours growth in culture is shown in Figure 1, panel A. Figure 1, panel B shows the expression of LNGFR (green florescence) and nest in (red fluorescence) in
20 neural crest cells.

Neural crest cells were labeled with antibodies as follows: For cell surface antigens, such as LNGFR, it was possible to label the living cells in culture. The cultures were incubated with primary antibody solution for 20 minutes at room temperature. The cultures were washed twice with L15 medium
25 (Gibco) supplemented with 1:1:2, fresh vitamin mix (FVM) (Hawrot, E. *et al.* (1979). *ibid*), and 1 mg/ml bovine serum albumin (L15 Air). The

cultures were then incubated for 20 minutes at room temperature with Phycoerythrin R conjugated secondary antibody (TAGO) at a dilution of 1:200 in L-15 Air. The cultures were then rinsed twice with L-15 Air and placed back in their original medium and examined with a fluorescence microscope. Rabbit anti-LNGFR antiserum (Weskamp, G. *et al.* (1991) Neuron 6:649-663) was a kind gift of Gisela Weskamp, University of California, San Francisco and was used at a 1:1000 dilution. Monoclonal anti-NCAM antibody 5A5 (Dodd, J. *et al.* (1988) Neuron 1:105-116) and monoclonal anti-sulfatide antibody O₄ (Sommer, I. *et al.* (1981) Dev. Biol. 83:311-327) were obtained as hybridoma cells from the Developmental Studies Hybridoma Bank (Johns Hopkins University, Baltimore, Maryland) and prepared as described by the provider.

In order to label cells with antibodies directed against intracellular proteins, it was necessary to fix and permeabilize the cells prior to labeling. For most of the immunocytochemistry, formaldehyde fixation was done. Formaldehyde solution 37% was diluted 1:10 into S-MEM with 1mM HEPES buffer (Gibco). Culture were treated for 10 minutes at room temperature with the 3.7% formaldehyde solution and then rinsed 3 times with D-PBS (Gibco).

For some intermediate filament proteins (NF and GFAP) formaldehyde fixation was not possible. Cultures were fixed by treatment with a solution of 95% ethanol and 5% glacial acetic acid at -20°C for 20 minutes.

For the staining of cytoplasmic antigens, fixed cells were first treated with a blocking solution comprising D-PBS, 0.1% Tween-20 (Bio-Rad Laboratories, Richmond, California) and 10% heat inactivated normal goat serum (NGS) for 15 minutes at room temperature. Primary antibodies were diluted with a solution of D-PBS, 0.1% Tween-20 and 5% NGS. The fixed cells were incubated overnight at 4°C in primary antibody solution then rinsed twice

with DPBS, 0.05% Tween-20. Fluorescent secondary antibodies were diluted with D-PBS, 1% NGS and applied to cells for 1 hour at room temperature. The cells were rinsed twice with D-PBS, 0.05% Tween-20. To prevent photobleaching, a solution of 8 mg/ml N-propyl gallate in glycerol
5 was placed over the stained cells prior to fluorescence microscopy.

Mouse monoclonal anti-GFAP, G-A-5 (Debus *et al.* (1983) Differentiation 25:193-203) was purchased from Sigma and used at a 1:100 dilution. Mouse monoclonal anti-NF200, SMI39 was purchased from Sternberger Monoclonals Inc., Baltimore, Maryland and used at a 1:100 dilution. SMI39
10 reactivity is equivalent to the 06-53 monoclonal antibody described by Sternberger, L.A. *et al.* (1983) Proc. Natl. Acad. Sci. USA 80:6126-6130. Purified rabbit antibodies to peripherin (preparation 199-6) was obtained from Dr. Linda Parysek, University of Cincinnati, Ohio and was used at a dilution of 1:500.

15 Flow-cytometric analysis indicated that greater than 70% of the neural crest cells show some LNGFR immunoreactivity (Figure 1, panel D). Approximately 25% of the neural crest cells expressed high levels of LNGFR. In some experiments, neural crest cells expressing high levels of LNGFR were further purified by labeling with 192-Ig (anti-LNGFR) and
20 fluorescence-activated cell sorting (FACS). For single cell analysis, however, it proved more convenient to plate the bulk neural crest cell population at clonal density, and then subsequently identify LNGFR-positive cells by live cell-labeling with 192-Ig.

Most or all of the neural crest cells also expressed nestin, an intermediate
25 filament protein found in CNS neuroepithelial cells. An individual neural crest cell co-expressing both nestin and LNGFR is shown in Figure 2, panels A-C. Panel A shows the individual neural crest cell in phase contrast.

Panels B and C show this cell following staining with both anti-LNGFR (panel B) and anti-nestin (panel C). Figure 2, panels D-F show that the clonal progeny of this nestin⁺, LNGFR⁺ neural crest cell also co-express nestin and LNGFR.

5 B. Cloning of Multipotent Neural Crest Cells

To define the developmental potential of individual neural crest cells, conditions were established that permit the growth of these cells in clonal culture. Figure 3 provides a flow chart depicting the following cell cloning experiments. In Figure 3, plating medium refers to the complete medium, described above and differentiation medium refers to SCD medium, described below. Using an FCS-free, CEE-containing medium (complete or plating medium), single neural crest cells (Figure 4, panel A, phase contrast and panel B, LNGFR staining) were plated on a FN/PDL substratum and allowed to proliferate and differentiate. After 9-14 days, many of the clones founded by single neural crest cells were large and contained cells with a neuronal morphology (Figure 4, panel C, phase contrast). Quantification indicated that > 60% of the clones contained a mixture of neuronal and non-neuronal cells (see below). These neuronal cells could be labeled by antibodies to pan-neuronal markers such as neurofilament (Figure 4, panel E, anti-NF160 staining) and high-polysialic acid (PSA) NCAM (Figure 4, panel D, anti-NCAM staining), as well as by an antibody to peripherin, an intermediate filament protein that is preferentially expressed by peripheral nervous system (PNS) neurons (Figure 4, panel F). Importantly, these neurons did not express either nestin or LNGFR, indicating that they have lost the two markers that characterize the undifferentiated neural crest cell.

The neuron-containing clones also contained non-neuronal cells. These cells continued to express LNGFR and nestin, in contrast to the neurons, and displayed an elongated morphology characteristic of Schwann cells. While

immature Schwann cells are known to express both LNGFR and nestin, these markers are insufficient to identify Schwann cells in this system since they are expressed by the neural crest precursor cell as well. Expression of more definitive Schwann cell markers was elicited by transferring the cells into a medium known to enhance Schwann cell differentiation. This medium, called Schwann cell differentiation (SCD) medium, contained both 10% FCS and 5 μ M forskolin, an activator of adenylate cyclase.

Figure 5 shows the expression of a Schwann cell phenotype by neural crest-derived glia. Clones plated initially on FN were allowed to grow for a week in complete medium, then transferred into SCD medium and allowed to grow for another 1-2 weeks prior to fixation and immunocytochemistry. Cells of two morphologies, one elongated and the other flattened can be seen in phase contrast (Panels A and D). To demonstrate concordant expression of three markers, LNGFR, O_4 and GFAP, two different double-labeling experiments were performed. Living cells were surface-labeled with monoclonal anti-LNGFR 192IgG (Panel B) and monoclonal O_4 IgM (Panel C) and postfixed. In parallel, other cells from the same clone were first surface-labeled with O_4 and then fixed with acid-ethanol, permeabilized and stained with anti-GFAP (IgG). Note that LNGFR⁺ cells (Panel B) are O_4 ⁺ and that most or all of the O_4 ⁺ cells are also GFAP⁺ (Panels E and F). The quality of the O_4 staining in (Panel E) appears different from that in (Panel C) because a redistribution of the antigen occurs following acid-ethanol fixation. In Panel C, the flattened O_4 ⁺ cells are more weakly stained for LNGFR (Panel B). Such flattening is indicative of myelination, and is consistent with the fact that Schwann cells undergoing myelination down-regulate LNGFR and up-regulate O_4 .

Following 5-10 days in SCD medium, most or all of the non-neuronal cells in the clones expressed glial fibrillary acidic protein (GFAP), an intermediate filament specific to glial cells, and sulfatide, a cell-surface glycolipid

recognized by the monoclonal antibody O₄. Triple-labeling of such "mature" clones with polyclonal anti-peripherin and monoclonal O₄ and anti-GFAP antibodies revealed that sulfatide and GFAP were not expressed by the peripherin-positive neurons and that these two glial markers were coincident in the non-neuronal cell population (Figure 6). Figure 6 shows a clone from a single founder cell in phase contrast (Panel A) which expresses LNGFR (Panel B). This clone was allowed to proliferate and differentiate in complete medium (containing CEE and lacking serum) and then transferred into SCD medium (containing serum and forskol in). After approximately 10 days, the culture was fixed and triple-labeled with rabbit anti-peripherin (Panels C and D, in green/yellow), anti-GFAP (IgG) (Panel C, in red) and O₄ (IgM) (Panel D, blue). Panels C and D are two separate fields from the same clone.

Although GFAP is expressed by astrocytes and sulfatide is expressed by oligodendrocytes in the CNS, the co-expression of these two markers in the same cell is unique to peripheral glial cells (Jessen, K.R. *et al.* (1990) Devel. 109:91-103 and Mirsky, R. *et al.* (1990) Devel. 109:105-116).

Therefore, these data indicate that single neural crest cells expressing nestin and LNGFR are able to give rise to clones of differentiated cells containing both peripheral neurons and glia. Differentiation to the neuronal phenotype involves both the loss of LNGFR and nestin expression, and the gain of neuronal markers such as neurofilament, high PSA-NCAM and peripherin. On the other hand, in the glial lineage LNGFR and nestin expression persist, and additional glial markers (GFAP and O₄) are acquired. All clones that produced neurons and glia also produced at least one other cell type that did not express any of the differentiation markers tested; the identity of these cells is unknown. Taken together, these data establish the multipotency of the rat neural crest cell identified and isolated by virtue of co-expression of LNGFR and nestin.

EXAMPLE 4

Self-renewal of
Multipotent Neural Crest Cells *in vitro*

After 10 days in culture in medium supplemented with 10% CEE and on a
5 FN/PDL substrate, all of the neural crest cell clones that contained neurons
also contained non-neuronal cells expressing LNGFR and nestin (as described
above). In order to determine whether these cells were immature glia, or
multipotent neural crest cells that had undergone self-renewal, serial
subcloning experiments were performed. Figure 7 provides a flow chart
10 summarizing these serial subcloning experiments. In Figure 7, "plating
medium" refers to complete medium containing CEE and lacking FCS and
"differentiation medium" refers to SCD medium containing FCS and
forskolin.

For serial sub-cloning experiments, clones were harvested and replated as
15 follows. The primary clones were examined microscopically to ensure that
there were no impinging colonies and that the whole clone fits within the
inscribed circle. Using sterile technique throughout the procedure, glass
cloning cylinders (3mm id.) were coated on one end with silicone grease
(Dow Corning) and placed about the primary clone so that the grease formed
20 a seal through which medium could not pass. The cells were removed from
the cylinder by first treating them with 100 μ l of 0.05% Trypsin solution
(Gibco) for 3 minutes at 37°C in a humidified 5% CO₂ incubator. At room
temperature 70 μ l of the trypsin solution was removed and replaced with 70
 μ l of complete medium. The cells were resuspended into the 100 μ l volume
25 by vigorous trituration through a pipet tip and the whole volume was diluted
into 5 ml of complete medium. The 5 ml was then plated onto 1 or 2 60mm
dishes which were placed in a humidified 5% CO₂ incubator for 2 hours at
which time the medium was exchanged for fresh complete medium. Single

founders cells were then identified and allowed to grow into secondary clones as described below.

Primary clones founded by LNGFR-positive progenitor cells were allowed to grow for 6 days (Figure 8, Panel A) on a PDL/FN substrate. At this time,
5 clones containing LNGFR-positive cells were identified by live cell surface labeling, and these clones were then removed from their original plates by trypsinization, as described above. The dissociated cells were then replated at clonal density under the same culture conditions as their founder cells. Individual secondary founder cells were identified by labeling live cells with
10 192-Ig and their positions marked (Figure 8, Panels B and B' show two individual secondary founder cells; Panels C and C' show the clonal progeny of these individual cells at day 17). Both non-neuronal, neurite bearing cells are visible in the clones (Figure 8, panels C and C').

A clone derived from secondary founder cells, such as that shown in Figure
15 8, was transferred into SCD medium to allow the expression of Schwann cell markers. After approximately 10 days, the subclone was fixed, and double-labeled for NF160 and GFAP (Figure 9, Panel A shows the clone in phase contrast; Panel B shows labeling with anti-NF160; Panel C shows labeling with anti-GFAP). The apparent labeling of neurons in panel C is an artifact
20 due to bleed-through into the fluorescein channel of the Texas Red fluorochrome used on the goat anti-rabbit secondary antibody in panel B.

Additionally, following 10 days of secondary culture, living subclones were scored visually for the presence of neurons and glia by double labeling with 192-Ig (anti-LNGFR) and 5A5, a monoclonal antibody to high PSANCAM.

25 Single neural crest cells isolated from primary clones were able to proliferate and generate clones containing both neurons and non-neuronal cells, probably

glia. Quantitative analysis of clones derived from 16 different primary and 151 secondary founders after ten days in plating medium indicated that over 30% of the total secondary founder cells gave rise to clones containing neurons (N), glia (G) and other (O) cells (Table I, N+G+O). Of the
5 remaining 70% of the founder cells, however, almost 50% failed to form clones and died; thus of the clonogenic (*i.e.*, surviving) founders, 54% were of the N+G+O type (Table I). To confirm that these mixed clones indeed contained glia or glial progenitors, they were transferred to SCD medium and allowed to develop for an additional 7 days, then fixed and double-stained for
10 neurofilament and GFAP expression. As was the case for the primary clones, this treatment caused expression of GFAP in a high proportion of non-neuronal cells in the clones (Figure 9), confirming the presence of glia. These data indicate that primary neural crest cells are able to give rise at high frequency to progeny cells retaining the multipotency of their progenitors,
15 indicative of self renewal. However, in several cases secondary clones containing only neurons were found (Table I, N only), and many of the secondary clones contained glia and other cells but not neurons (Table I, G+O). This observation suggests that in addition to self-renewal, proliferating neural crest cells may undergo lineage restriction in vitro as
20 well to give rise to glial or neuronal progenitor cells which are characterized by the capacity to divide and self-renew but are restricted to either the neuronal or glial lineage.

-51-

Table I

Sub-Clone Phenotype total#(%)

<u>Primary Clone ID</u>	<u># of 2⁺ Founders</u>	<u>N only</u>	<u>N+G+O</u>	<u>G+O</u>	<u>O</u>	<u>No clone found</u>
1.1	21	0	15 (71)	0	0	6 (29)
1.18	6	0	1 (17)	1 (17)	2 (33)	2 (33)
1.24	5	1 (20)	0	1 (20)	2 (40)	1 (20)
2.6	7	0	0	1 (14)	1 (14)	5 (72)
2.18	7	0	0	1 (14)	0	6 (86)
3.14	20	0	2 (10)	4 (20)	0	14 (70)
3.18	4	0	1 (25)	0	0	3 (75)
4.5	1	0	1 (100)	0	0	0
4.8	9	0	0	1 (11)	2 (22)	6 (67)
4.14	10	0	2 (20)	3 (30)	1 (10)	4 (40)
5.2	15	1 (7)	8 (53)	0	0	6 (40)
6.1	13	0	2 (15)	2 (15)	0	9 (70)
6.2	17	1 (6)	2 (12)	4 (24)	0	10 (58)
6.17	2	0	1 (50)	0	0	1 (50)
8.2	5	0	4 (80)	0	0	1 (20)
8.5	9	0	4 (44)	0	0	5 (56)
Mean \pm s.e.m.						
% total founders		2.1 \pm 1.3	31 \pm 7.9	10 \pm 2.6	7.4 \pm 3.3	49 \pm 6
% clono- genic founders		3.1 \pm 1.8	54 \pm 11	29 \pm 8	15 \pm 6	

EXAMPLE 5Substrate Composition Influences the
Developmental Fate of Multipotent Neural Crest Cells

The foregoing experiments indicate that neural crest cells grown on a
5 PDL/FN substrate generate clones containing both peripheral neurons and
glia. When the same cell population is grown at clonal density on a substrate
containing FN only, the resulting clones contain glia and "other" cells but
never neurons (Figures 10 and 11, Panels D,E,F). Figure 10 provides a flow
chart summarizing the following experiments which demonstrate the substrate
10 effect on the fate of mammalian neural crest cells. Figure 11 shows the
immunoreactivity of cells stained for various markers.

On FN alone, G+O clones are obtained containing non-neuronal cells
expressing high levels of LNGFR immunoreactivity, but neither NCAM⁺ nor
neurite-bearing cells (Figure 11, panels E,F). By contrast on PDL/FN, the
15 clones contain both LNGFR⁺, NCAM⁻ non-neuronal crest cells and LNGFR⁻,
NCAM⁺ neurons (Figure 11, panels B,C). Quantification indicated that on
FN alone, 70-80% of the clones are of the G+O phenotype and none of the
N+G+O phenotype (Figure 12, panel A), whereas on PDL/FN 60% of the
clones are of the N+C+O and only 20% are of the GAO phenotype (Figure
20 12, panel B). These data indicate that the composition of the substrate affects
the phenotype of neural crest cells that develop in culture.

To rule out the possibility that the foregoing results could be explained
simply by the failure of neurogenic crest cells to adhere and survive on a FN
substrate, a different experiment was performed in which all the crest cells
25 were initially cloned on a FN substrate. Figure 13 provides a flow chart
summarizing these experiments. These experiments were performed to
demonstrate that differences in attachment and/or survival do not account for

differences in eventual clone composition. Subsequently, one group of cells was exposed to PDL as an overlay in liquid media (0.05 mg/ml) after 48 hrs, while a sister culture was retained on FN alone as a control (Figure 13). Clones expressing LNGFR were identified by live cell surface labeling at the

5 time of the PDL overlay and the development of only LNGFR⁺ clones was further monitored. After two weeks, the cultures were transferred to SCD medium for an additional 10 days of culture, and their phenotypes then scored as previously described.

By contrast to clones maintained on FN, where no neurons developed, many

10 of the clones exposed to a PDL overlay contained neurons at the end of the culture period (Figure 14, panel A). Moreover, virtually none of the clones were of the G+O phenotype after the PDL overlay. These data indicate that an overlay of PDL is able to alter the differentiation of neural crest cells even if they are initially plated on an FN substrate. Moreover, they suggest that at

15 least some of the N+G+O clones derived by conversion of founder cells that would have produced G+O clones on FN. However, because of the increased cytotoxicity obtained from the PDL overlay, it was not possible to rule out the possibility that many of the cells that would have produced G+O clones simply died. To address this issue, the PDL overlay was performed

20 on a parallel set of cultures at day 5 rather than at 48 hrs. Under these conditions, virtually all of the LNGFR⁺ clones survived and differentiated. 60% of these clones contained neurons, whereas 35% contained GAO (Figure 14, panel B). By contrast, greater than 90% of the clones maintained on FN developed to a G+O phenotype. Since little or no clone death was

25 obtained under these conditions, and since a majority of the clones contained neurons following the PDL overlay at day 5, these data suggest that PDL converts presumptive G+O clones into N+G+O clones. However the fact that 35% of the clones became G+O following PDL overlay at days, whereas virtually none did so when the overlay was performed at 48 hrs

(Fig. 14, compare G+O, hatched bars, in panels A and B), suggests that some clones might become resistant to the effect of PDL between 48 hrs and days.

EXAMPLE 6

5 Substrate Influences Latent Developmental Potential of Neural Crest Cells

To demonstrate more directly that the substrate can alter the developmental fate of neural crest cells, a serial subcloning experiment was performed. Clones were established on FN, and after 5 days the progeny of each clone
10 were subdivided and cloned onto both FN and PDL/FN substrates. Following 10 days of culture in standard medium, the clones were shifted to SCD medium for an additional week to ten days and then fixed, stained and scored for the presence of neurons and Schwann cells. Five of seven primary clones founded on FN gave rise to secondary clones containing neurons when
15 replated onto a PDL/FN substrate at days (Table II). On average, $57 \pm 17\%$ of the secondary clones contained neurons. By contrast, none of the sister secondary clones replated onto FN contained neurons (Table II). These data confirm that the PDL/FN substrate is able to alter the fate of neural crest cell clones initially grown on FN. They also reveal that the "neurogenic
20 potential" of neural crest cells is retained, at least for a period of time, on FN even though overt neuronal differentiation is not observed. This suggested that FN is non-permissive for overt neuronal differentiation under these culture conditions. In support of this idea, when primary clones established on PDL/FN were replated onto FN, none of the secondary clones contained
25 neurons, whereas 100% (5/5) of the primary clones gave rise to neuron-containing secondary clones when replated onto PDL/FN (Table II). Moreover, on average $93 \pm 7\%$ of the secondary clones derived from each

primary clone contained neurons on PDL/ FN, indicating that most or all of the clonogenic secondary crest cells retained neurogenic potential under these conditions.

While this experiment indicated that at least some neural crest clones retain neurogenic potential on FM, not all clones exhibited this capacity. This could indicate a heterogeneity in the clonogenic founder cells that grow on FN, or it could indicate a progressive loss of neurogenic potential with time in culture on FM. To address this issue, a second experiment was performed in which primary clones were replated at day 8 rather than at day 5. In this case, a more dramatic difference was observed between primary clones established on FM versus on PDL/FN. Only 1/6 primary FM clones replated at day 8 gave rise to any secondary clones containing neurons on PDL/FN, and in this one case only 17% of the secondary clones contained neurons (Table II). By contrast, 6/6 primary PDL/FN clones gave rise to neuron-containing secondary clones when replated on PDL/FN at day 8, and 52±7% of these secondary clones contained neurons (Table II). These data suggest that neurogenic potential is gradually lost by neural crest cells cultured on FM, but retained to a much greater extent by the same cells grown on PDL/FN. Thus the composition of the substrate influences not only the overt differentiation of the neural crest cells, but also their ability to maintain a latent developmental potential over multiple cell generations.

Table II

1° Substrate	<u>FN</u>		<u>% Neuronal</u>	<u>pDL/FN</u>		<u>% Neuronal</u>
	<u>FN</u>	<u>pDL/FN</u>		<u>FN</u>	<u>pDL/FN</u>	
Day 5 Replating	0/7	5/7	57±17	0/5	5/5	93±7
Day 8 Replating	0/6	1/6	17	0/6	6/6	52±7

EXAMPLE 7Identification of
Neural Crest Stem Cells by Transplantation

Neural crest stem cells are identified by two general criteria: by their
5 antigenic phenotype, and by their functional properties. These functional
properties may be assessed in culture (*in vitro*), as described above, or they
may be assessed in an animal (*in vivo*). The above examples described how
the self-renewal and differentiation of neural crest stem cells can be assayed
10 *in vitro*, using clonal cell cultures. However, these properties may also be
determined by transplanting neural crest cells into a suitable animal host.
Such an assay requires a means of delivering the cells and of identifying the
transplanted cells and their progeny so as to distinguish them from cells of
the host animal. Using standard techniques, it is possible to deliver neural
crest cells to a developing mammalian or avian embryo or to any tissue or
15 compartment of the adult animal (*e.g.*, brain, peritoneal cavity, etc.).

For example, neural crest cell cultures are prepared as described earlier.
After a suitable period in primary or secondary culture, neural crest cells are
identified by live cell-labeling with antibodies to LNGFR, and removed from
the plate using trypsin and a cloning cylinder, as described in previous
20 examples. The cells are diluted into serum-containing medium to inhibit the
trypsin, centrifuged and resuspended to a concentration of 10^6 - 10^7 cells per
milliliter. The cells are maintained in a viable state prior to injection by
applying them in small drops (ca. 10 μ l each) to a 35 mm petri dish, and
evaporation is prevented by overlaying the droplets with light mineral oil.
25 The cells are kept cold by keeping the petri dishes on ice. For injections into
mouse embryos, pregnant mothers at embryonic day 8.5 - 9.0 are
anaesthetized and their uterus exposed by an incision into the abdomen.
Neural crest cells are drawn into a sharpened glass micropipette (with a

sealed tip and hole in the side to prevent clogging during penetration of tissues) by gentle suction. The pipette is inserted into the lower third of the deciduum and a volume of approximately $0.5 \mu\text{l}$ is expelled containing approximately 1000 cells. The micropipette is withdrawn and the incision is

5 sutured shut. After an additional 3-4 days, the mother is sacrificed, and individual embryos are removed, fixed and analyzed for the presence and phenotype of cells derived from the injected neural crest cells.

To identify the progeny of the injected cells, it is necessary to have a means of distinguishing them from surrounding cells of the host embryo. This may

10 be done as follows: rat neural crest cells are injected into a mouse embryo (following suitable immunosuppression of the mother or using a genetically immunodeficient strain such as the SCID strain of mice), the injected cells are identified by endogenous markers such as Thyl or major histocompatibility complex (MHC) antigens using monoclonal antibodies

15 specific for the rat Thyl or MHC antigens. Alternatively, an exogenous genetic marker is introduced into the cells prior to their transplantation as a means of providing a marker on or in the injected cells. This is as follows: neural crest cells in culture are incubated with a suspension of replication-defective, helper-free retrovirus particles harboring the lacZ gene, at a titer

20 of $10^5 - 10^6$ pfu/ml in the presence of $8 \mu\text{l/ml}$ polybrene for four hours. The cells are then washed several times with fresh medium and prepared for injection as described above. The harvested embryos are then assayed for expression of β -galactosidase by whole mount staining according to standard procedures. The blue cells (indicating expression of the lacZ gene) will

25 correspond to the progeny of the injected neural crest cells. This procedure can be applied to any tissue or any stage of development in any animal suitable for transplantation studies. Following whole-mount staining, embryos bearing positive cells are embedded in freezing medium and sectioned at $10\text{-}20 \mu\text{m}$ on a cryostat. Sections containing blue cells are

selected, and then counterstained for markers of mature neurons and glia using specific antibodies, according to standard techniques, and immunoperoxidase or alkalinephosphatase histochemistry. The identification of lacZ + (blue) cells expressing neuronal or glial markers indicates that the progeny of the injected neural crest cells have differentiated appropriately. Thus, this technique provides a means of identifying mammalian neural crest stem cells through transplantation studies to reveal the function of said stem cells.

EXAMPLE 8

Genetic-Engineering of Neural Crest Stem Cells (NCSCs)

A. Retroviral infection of NCSCs

In this method, NCSCs are infected with a replication-incompetent, recombinant retrovirus harboring the foreign gene of interest. This foreign gene is under the control of the long terminal repeats (LTRs) of the retrovirus, in this case a Moloney Murine Leukemia Virus (MoMuLV) (Cepko *et al.* (1984) Cell 37:1053-1062). Alternatively, the foreign gene is under the control of a distinct promoter-enhancer contained within the recombinant portion of the virus (*i.e.*, CMV or RSV LTR). In this particular example, the *E. coli* β -galactosidase gene was used, because it provides a blue histochemical reaction product that can easily be used to identify the genetically-engineered cells, and thereby determine the transformation efficiency.

Rat NCSC cultures were established as described above. Twenty-four hours after replating, the cells were exposed to a suspension of β -galactosidase-containing retrovirus (Turner *et al.* (1987) Nature 328:131-136) with a titer

of approximately 10^5 - 10^6 pfu/ml in the presence of 8 μ g/ml polybrene.

Following a 3 hr exposure to the viral suspension, the cultures were rinsed and transferred into standard medium. After three days of growth in this medium, the transformed cells were visualized using the X-gal histochemical reaction (Sanes *et al.* (1986) EMBO J. 5:3133-3142) Fig. 15, Panel A shows the NCSC culture three days after infection with the lacZ containing retrovirus, after fixation and staining using the X-gal reaction. β -galactosidase-expressing cells are indicated by the solid arrows. Non-expressing cells in the same microscopic field are visualized by phase contrast microscopy (B), and are indicated by open arrows. The blue, β -galactosidase⁺ cells represented approximately 5-10% of the total cells in the culture as visualized by phase-contrast microscopy (Fig. 15, Panel B).

B. Calcium-Phosphate-Mediated Transfection of NCSCs

In this method, NCSCs are transfected with an expression plasmid using the calcium phosphate method (Wigler *et al.* (1979) Proc. Natl. Acad. Sci. USA 76:1373-1376). As in the previous example, the β -galactosidase gene was used to facilitate visualization of the transfected cells.

In this case, the vector pRSVlacZ was used, in which the β -galactosidase gene (lacZ) is under the control of the Rous Sarcoma Virus (RSV) LTR, and the SV40 intron and poly A-addition site are provided at the 3' end of the gene (Johnson *et al.* (1992) Proc. Natl. Acad. Sci. USA 89:3596-3600).

NCSCs were established in 35 mm tissue culture dishes. 24 hr after plating, a calcium phosphate precipitate containing approximately 20 μ g/ml of pRSVlacZ was prepared. 123 μ l of this precipitate was added to each dish, and incubated at room temperature for 20 minutes. Two ml of standard medium containing 30 μ M chloroquine was then added to each dish and incubation was continued overnight at 37°C. The next day, the medium was

replaced and incubation continued for a further two days. The cultures were then fixed and assayed for β -galactosidase expression by the standard X-gal reaction. Approximately 10% of the NCSCs expressed the lacZ reaction product.

5 C. Immortalization of NCSCs

NCSC cultures are established as described above. The cultures are exposed, in the presence of 8 μ g/ml polybrene, to a suspension of retrovirus harboring an oncogene preferably selected from the immortalizing oncogenes identified herein. These retroviruses contain, in addition to the oncogene sequences, a
10 gene encoding a selectable marker, such as *hisD*, driven by the SV40 early promoter-enhancer (Stockschlaeder, M.A.R. *et al.* (1991) Human Gene Therapy 2:33). Cells which have taken up the *hisD* gene are selected for by growth in the presence of L-histidinol at a concentration of 4 mM. Alternatively, selection can be based upon growth in the presence of
15 neomycin (500 μ g/ml). NCSCs are infected with the above retroviruses which are concentrated to a titer of greater than 10^6 pfu/ml by centrifugation. The virus is applied to the cells in two sequential incubations of 4-8 hours each in the presence of 8 μ g/ml polybrene.

Following infection, the cells are grown in the presence of 4 mM L-histidinol
20 or 500 μ g/ml neomycin (G418) for 5-10 days. Cells which survive the selection process are screened for expression of LNGFR by live-cell labeling using the monoclonal antibody 192 Ig as described above. Colonies containing a homogeneous population of LNGFR+ cells are cloned using a cloning cylinder and mild trypsinization, and transferred into duplicate
25 FN/pDL-coated 96-well plates. After a short period of growth, one of the plates is directly frozen (Ramirez-Solis, R. *et al.* (1992) Meth. Enzymol., in press). The cells in the other plate are replated onto several replicate 96-well plates, one of which is maintained for carrying the lines. The cells on the

other plates are fixed and analyzed for the expression of antigenic markers. Successful immortalization is indicated by (1) the cells homogeneously maintain an antigenic phenotype characterized by LNGFR+, nestin+, lin- (where "lin" refers to lineage markers characteristic of differentiated neuronal or glial crest derivatives, including neurofilament, peripherin, hi PSA-NCAM, GFAP, O4 and P₀); and (2) the cell population is phenotypically stable over several weeks of passage (as defined by lack of differentiation to morphologically- and antigenically-recognizable neurons and/or glia). The ability of the lines to differentiate is tested by transferring them to conditions that promote differentiation (omission of CEE in the case of neurons and addition of serum and 5 μ M forskolin for Schwann cells). Maintenance of the ability to differentiate is a desirable, although not necessary, property of the constitutively-immortalized cells.

EXAMPLE 9

15 Generation of Monoclonal Antibody to Mouse LNGFR

Mouse monoclonal antibodies specific to LNGFR from primates (Loy *et al.* (1990), J. Neurosci. Res. 27:657-664) and rat (Chandler *et al.* (1984) J. Biol. Chem. 259:6882-6889) have been produced. No monoclonal antibodies to mouse LNGFR have been described. We have produced rat monoclonal antibodies to mouse LNGFR. These antibodies recognize epitopes present on the surface of living cells such as Schwann cells, making them suitable for use in immunologic isolation of multipotent neural stem cells (such as neural crest stem cells) and their differentiated derivatives (as well as neural progenitor cells from the CNS) from murine species. The isolation of such cells from mice is particularly desirable, as that species is the experimental organism of choice for genetic and immunological studies or human disease.

To generate monoclonal antibodies to mouse LNGFR, a genomic DNA fragment encoding the extracellular domain (ligand binding domain) of that protein was expressed in *E. coli*, as a fusion protein with glutathione-S-transferase (Lassar et al. (1989) Cell 58:823-831). Briefly, a probe for the
5 extracellular domain based on either of the known DNA sequences for rat and human LNGFR is used to screen a mouse genomic library. A cloned insert from a positively hybridizing clone is excised and recombined with DNA encoding glutathione with appropriate expression regulation sequences and transfected into *E. coli*. The fusion protein was affinity-purified on a
10 glutathione-Sepharose column, and injected into rats. Sera obtained from tail bleeds of the rats were screened by surface-labeling of live Schwann cells isolated from mouse sciatic nerve by standard procedures (Brockea *et al.* (1979) In Vitro 15:773-778. Surface labeling was with labelled goat anti-rat antibody Following a boost, fusions were carried out between the rat spleen
15 cells and mouse myeloma cells. Supernatants from the resulting hybridoma cultures were screened using the live Schwann cell assay. Positive clones were re-tested on NIH 3T3 fibroblasts, a mouse cell line that does not express LNGFR, and were found to be negative. The use of this live cell assay ensures that all antibodies selected are able to recognize LNGFR on the
20 surface of living cells. Moreover the assay is rapid, simple and more efficient than other assays such as ELISA, which require large quantities of purified antigen.

Approximately 17 independent positive hybridoma lines were identified and subcloned. An example of the results obtained with the supernatant from one
25 such line 19 shown in Figure 16. A culture of mouse sciatic nerve Schwann cells was labeled with one of the rat anti-mouse LNGFR monoclonal antibodies and counterstained with DAPI to reveal the nuclei of 611 cells. The left panel (A) shows that most of the cells are labeled on their surface with the anti-LNGFR antibody (red staining; solid arrows), the right panel

(B) reveals all the cell nuclei on the plate, and shows a few cells not labeled by the anti-LNGFR antibody (blue staining; open arrows; compare to left panel). These unlabeled cells most likely represent contaminating fibroblasts which are known not to express LNGFR. These cells provide an internal control which demonstrates the specificity of the labeling obtained with the anti-LNGFR antibody.

EXAMPLE 10

O Cells are Smooth Muscle Cells

To determine whether O cells could be smooth muscle ("SM") cells, cultures of neural crest cells containing these cells were stained with a monoclonal antibody to smooth muscle actin ("SMA"), a marker of smooth muscle cells (Skalli et al (1966) J. Cell Biol. 103:2787-2796). The cultures were counter-stained with anti-p75 to identify the neural crest stem cells. The anti-SMA antibody labeled a significant number of cells (Fig. 17B, open arrows), and these cells did not express p75 on their surface and were clearly distinct from the p75-expressing neural crest stem cells (Fig. 17B, closed arrow). However, clonal analysis indicated that both p75⁺, SMA⁻ cells and p75⁻, SMA⁺ cells derived from a p75⁺ neural crest stem cell progenitors (see below).

To establish that individual neural crest stem cells could generate neurons, glia and smooth muscle cells, a clonal analysis was performed. Individual p75⁺ neural crest stem cells were identified and allowed to develop for two weeks in culture. The resultant clones were then fixed and triply-labeled with antibody to peripherin (to detect neurons), GFAP (to detect glia) and SMA (to detect smooth muscle cells). As shown in Fig. 18, within the same clone it was possible to identify neurons (Figs. 18A, 18B, arrowhead), glia (Figs. 18C, open arrows) and smooth muscle cells (Fig. 18C, closed arrow),

confirming that the neural crest stem cell is able to generate all three lineages in our culture system.

The foregoing experiments were carried out in standard medium lacking fetal bovine serum. Previously, we observed that the addition of fetal bovine
5 serum to this medium at early times of culture resulted in the extinction of LNGFR expression. Taken together with the foregoing observation that SMA⁺ cells are LNGFR⁻, we asked whether cells grown in standard medium + fetal bovine serum expressed smooth muscle markers. The results indicate that virtually all cells obtained in SM + fetal bovine serum express high levels
10 of SMA (Figs. 20A, 20B). To further establish their identity as smooth muscle cells, these cells were also stained with two other markers of smooth muscle: desmin (Lazarides, et al (1978) Cell 14:429-438) and calponin (Gimona et al (1990) FEBS Lett. 274:159-162). The SMA⁺ cells were also labeled by anti-desmin antibody (Fig. 3C) and by anti-calponin (Figs. 3A, B).
15 These data confirm that the O cells are indeed smooth muscle cells, and also show that fetal bovine serum contains one or more substances able to drive virtually all neural crest stem cells into the smooth muscle lineage.

Differentiated smooth muscle cells have been isolated and cultured from the vasculature, for example, Chamley-Campbell *et al* (1990) Phys. Rev. 59:1-
20 61, but previously it has not been possible to obtain the *de novo* differentiation of such cells from an undifferentiated progenitor. The data presented above identify neural crest stem cells as progenitors of smooth muscle, as well as of neurons and glia, and indicate that they can be induced to differentiate to smooth muscle in culture using fetal bovine serum. Such
25 differentiation occurs at the expense of neuronal and glial differentiation, which does not occur in the presence of fetal bovine serum (Stemple *et al.* (1992), Cell 71:973-985. Thus, neural crest stem cells should be useful for identifying smooth muscle differentiation factors present in fetal bovine

serum, as well as for identifying other growth, survival or differentiation factors for smooth muscle present in other sources.

EXAMPLE 11

5 Neural Crest Stem Cells Preferentially Differentiate to Neurons or Smooth Muscle Cells

NCSCs grown at clonal density in standard culture medium undergo symmetrical, self-renewing divisions for at least 5-6 days *in vitro*. Stemple and Anderson (1992) Cell 71:973-985. Neurons do not begin to differentiate in such cultures until 10-15 days of incubation. Moreover, clones containing
10 only neurons are never observed; rather the neurons differentiate together with nonneuronal cells such as glia. Stemple and Anderson (1992) Cell 71:973-985.

To determine if and how neural crest stem cells respond to growth factors from the TGF- β superfamily neural crest stem cells were grown in 1.6 nM
15 recombinant bone marrow phogenic protein 2 ("rBMP2"). Many neuron-only colonies (identified by their neurite-bearing morphology and expression of peripherin) developed within 3-4 days (Figures 21A and 21B). At this dose, ~50% of the colonies contained only neurons; 20%-25% contained neurons (about as many per colony as in the neuron-only colonies) as well as large
20 flat cells; the remainder consisted only of such flat cells. Thus, 75% of colonies grown in rBMP2 contained neurons after 4 days. By contrast, none of the colonies grown in the absence of rBMP2 contained any neurons at this time point (Figures 21E and 21F). Glial cells were not detected at any time in BMP2-containing cultures. The phenotype of the large, flat cells is
25 described below. Comparable results were obtained using rBMP4 (data not shown), which is known to have virtually indistinguishable biological

activities from BMP2 in most assays examined. Kingsley (1994) Genes Dev. 8:133-146.

Most of the neurons that developed in rBMP2 stained positively with monoclonal antibody B2 (Figure 21D), which is only expressed by autonomic neurons. Anderson et al. (1991) N. Neurosci. 11:3507-3519. However, these neurons did not express catecholamine biosynthetic enzymes such as dopamine β -hydroxylase or tyrosine hydroxylase, at any concentration of the factor tested. These data suggest that rBMP2 promotes the differentiation of autonomic neurons, which are either nonsympathetic or which require additional signals (Groves et al. (1995) Development 121:887-901) to express markers characteristic of the sympathetic sublineage (for review, see Patterson and Nawa (1993) Cell 72/Neuron 10(Suppl.):123-137).

As overall neuronal differentiation was not apparent until 3-4 days after addition of rBMP2, we sought evidence for an earlier influence of this factor on neurogenesis. To do this, we examined expression of MASH1, whose expression precedes that of neuronal markers by several days both *in vivo* (Lo et al. (1991) Genes Dev. 5:1524-1537) and *in vitro* (Shah et al. (1994) Cell 77:349-360). At 12 hr after addition of rBMP2 to NCSCs, over 70% of the colonies (many of which were still single cells) expressed MASH1 (Figures 25A and 25B); by 24 hr, ~85% of the colonies were MASH1. The effects of rBMP2 were apparent even by 6 hr, the earliest time tested, when ~30% of the colonies expressed MASH1. By contrast, at these time points very few of the NCSCs in control medium were MASH1 (Figures 25C and 25D). Rather, MASH1 is expressed by NCSCs in control cultures only after 7-8 days (Shah et al. (1994) Cell 77:349-360). Moreover, within such control colonies, MASH1 is expressed by subsets of cells; by contrast, within rBMP2-treated colonies most or all cells expressed MASH1. These data indicate that in the presence of rBMP2 the majority of NCSCs express

MASH1, and do so on a far earlier schedule than under controlled conditions. Shah et al.(1996) Cell 85:331-343. Moreover, they support the idea that the expression of MASH1 in autonomic neuronal precursors *in vivo* may reflect its induction by BMP2 derived from neighboring tissues. Shah et
5 al.(1996) Cell 85:331-343.

A subset of the colonies in rBMP2 also contained large, flat cells that suggested they could be a mesectodermal derivative of the neural crest, such as smooth muscle (Chamley-Campbell et al.(1979) Physiol. Rev. 59:1-61; Ito and Shah et al.(1996) Cell 85:331-343. Many of the flat cells expressed
10 α SMA, a well-characterized SM marker (Owens (1995) Physiol. Rev. 75:487-517) (Figure 22C, green fluorescence, and data not shown). Further, most of these flat cells expressed calponin, another SM-specific protein that may regulate contractility (Owens, 1995) (Figure 22C, red fluorescence). Of all nonneuronal cells observed in rBMP2, 93% expressed α SMA, calponin,
15 or both. The remaining cells displayed a similar SM-like morphology (Figure 22A) despite their lack of expression of these two SM-specific markers. These data therefore suggest that most or all of the flat cells observed in rBMP2 are SM cells at various stages of differentiation.

Other growth factors from the TGF- β superfamily were screened for their
20 effects on neural crest stem cells. In recombinant TGF β 1 ("rTGF β 1") virtually all neural crest stem cell colonies differentiated to SM cells (Figure 22D). Of the colonies, $82.4\% \pm 0.6\%$ (mean \pm SEM, $n = 2$) consisted exclusively of cells that were α SMA $^{+}$, calponin $^{+}$, or both (Figure 22F; 12% has at least one α SMA $^{+}$ or calponin $^{+}$ cell together with SM-like, marker-
25 negative cells, while $5.6\% \pm 1.8\%$ of the colonies contained only marker-negative but SM-like cells. Less than 1% of the colonies contained any low affinity nerve growth factor receptor-positive (LNGFR $^{+}$) NCSCs. No neurons or glial cells were observed to develop under these conditions. In

cultures grown in the absence of TGF β 1 for a similar period, 95% of the colonies consisted primarily of undifferentiated NCSCs, although some SM cells were present. TGF β 2 and TGF β 3 yielded similar results as TGF β 1 (data not shown).

- 5 The fact that BMP2 produced neurons and some SM cells, while TGF β 1 produced only SM cells, could simply reflect the different concentrations at which these related factors were initially used. However, dose-response experiments (Figure 23) did not support this idea; there were no doses at which the factors elicited identical responses, or at which BMP2 elicited a
- 10 homogeneous response. Thus, exclusively SM or neuronal differentiation was not observed at any concentration BMP2; rather, the proportion of both neuronal and SM colonies increased as a function of BMP2 dose (Figures 23A and 23B). Similarly, varying the concentration of TGF β 1 over three orders of magnitude did not cause the appearance of mixed (i.e., neuronal +
- 15 SM) colonies at any dose (Figure 23C and data not shown). These data suggest that the mixed response observed with BMP2 cannot be explained by a suboptimal or excess concentration of the factor used.

EXAMPLE 12

20 Instructive (Not Selective) effect of
BMP2 and TGF- β on Neural Crest Stem Cells

A clonal analysis was performed in order to distinguish whether BMP2 and TGF β 1 act to influence differentiation by multipotent neural crest stem cells, or rather to support survival of subpopulations of pre-committed neuronal or SM precursors, respectively. Individual NCSCs were identified shortly after

25 plating, growth factors were added to some, and their subsequent survival and differentiation assessed after 4 days. Selective survival of subsets of

clones was not observed. Daily observation of the cultures indicated that none of them contained neurons prior to death; in fact, many contained cells with a SM-like morphology. These observations indicated that in the presence of rBMP2 or TGF β 1, multipotent neural crest cells that would eventually have generated neurons, glia, and SM cells (in control medium) instead generated only neurons and SM cells, or SM cells alone, such that BMP2 and TGF β 1 acted instructively on the founder cell population. Shah et al.(1996) Cell 85:331-343. In order to exclude the possibility that these factors were acting selectively on the clonal progeny of the founder cells, sequential observations of live, identified clones, were made every 24 hr for the 4-day incubation period (Figure 24). Significant cell death did not within clones in either the presence or absence of the growth factors. To the contrary, in rBMP2, many instances were observed in which a founder cell divided several times and all of its progeny then differentiated into neurons (Figure 24B). Similarly, in rTGF β 1 many cases were documented in which a founder cell divided to produce a clone of SM-like cells without any noticeable cell death (Figure 24C). The behavior of the clones in rBMP2 and rTGF β 1 was in clear contrast to that observed in control medium over the same culture period, in which neural crest stem cells divided to produce clones containing neural crest stem cell-like cells (Figure 24A). Stemple and Anderson, (1992) Cell 71:973-985. No death of SM-like or other nonneuronal cells within neuron-only clones that developed in rBMP2 was detected, by criteria of either pycnotic nuclei or cell carcasses (usually visible on the substrate following death). Moreover, neurons were never observed to differentiate and then die, in either control medium or in TGF β 1.

The results from serial observation indicate reduced clone size in TGF β 1 and rBMP2 which is most likely due to inhibited or slowed proliferation. Whether such effects on proliferation are a cause or a consequence of differentiation remains to be determined; however, TGF β 1 is known to

inhibit proliferation of SM cells in low density cultures. (Majack (1987) J. Cell Biol. 105:465-471.

- Although neurons eventually differentiate in the absence of rBMP2, this factor not only accelerates neurogenesis: half of the clones grown in rBMP2
- 5 contain only neurons; by contrast no such clones are ever observed in control conditions. Sequential observation of individual clones (Figure 24) makes it unlikely that this is due to the interclonal death of nonneuronal cells that are initially generated despite the presence of rBMP2.

WHAT IS CLAIMED IS:

1. A method for producing a population of mammalian neurons or smooth muscle cells comprising contacting at least one mammalian neural stem cell with a growth factor wherein said growth factor is a member of the transforming growth factor- β ("TGF- β ") superfamily of growth factors.
2. A method according to claim 1 wherein said population of cells produced comprises mammalian neurons.
3. A method according to claim 2 wherein said growth factor is bone marrow phogenic protein 2 ("BMP-2").
4. A method according to claim 2 wherein said growth factor is bone marrow phogenic protein 4 ("BMP-4").
5. A method according to claim 2 wherein said stem cell comprises a neural crest stem cell.
6. A method according to claim 1 wherein said population of cells produced comprises mammalian smooth muscle cells.
7. A method according to claim 6 wherein said growth factor is TGF- β -1.
8. A method according to claim 6 wherein said growth factor is TGF- β -2.
9. A method according to claim 6 wherein said growth factor is TGF- β -3.

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10. A method according to claim 6 wherein said growth factor is bone marrow phogenic protein 2 ("BMP-2").
11. A method according to claim 6 wherein said growth factor is bone marrow phogenic protein 4 ("BMP-4").
- 5 12. A method according to claim 6 wherein said stem cell comprises a neural crest stem cell.
13. A method according to claim 2 further comprising detecting the differentiation of said stem cell to said population of mammalian neurons.
14. A method according to claim 14 further comprising detecting
10 the differentiation of said stem cell, wherein said population of neurons are autonomic neurons.
15. A method according to claim 14 wherein said detecting is with an antibody specific for a marker for autonomic neurons.
16. A method according to claim 14 wherein said detecting is with
15 antibody B2, specific for a marker for autonomic neurons.
17. A method according to claim 15 wherein said detecting is with an antibody specific for neurofilament.
18. A method according to claim 15 wherein said detecting is with an antibody specific for peripherin.
- 20 19. A method according to claim 6 further comprising detecting the differentiation of said stem cell to said population of smooth muscle cells.

20. A method according to claim 20 wherein said detecting is with an antibody specific for a marker for smooth muscle cells.

21. A method according to claim 20 wherein said detecting is with
5 an antibody specific for α -SMA.

22. A method according to claim 20 wherein said detecting is with an antibody specific for calponin.

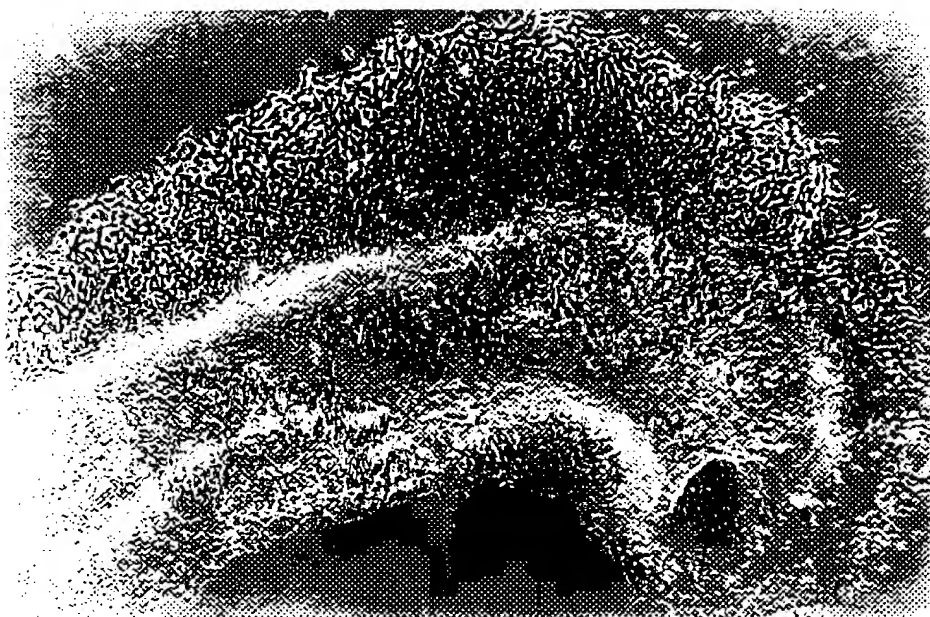


FIG. 1A



FIG. 1B

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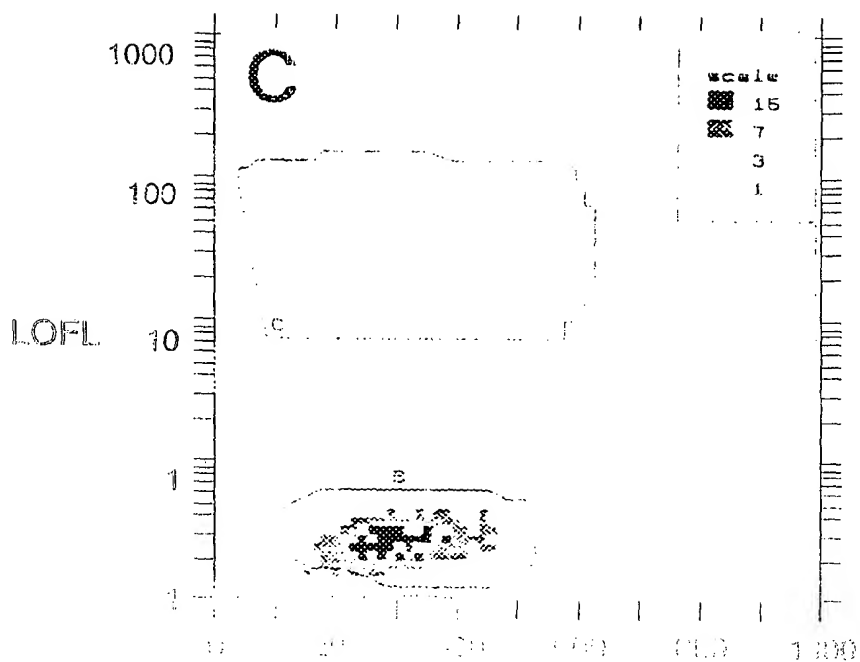


FIG. 1C

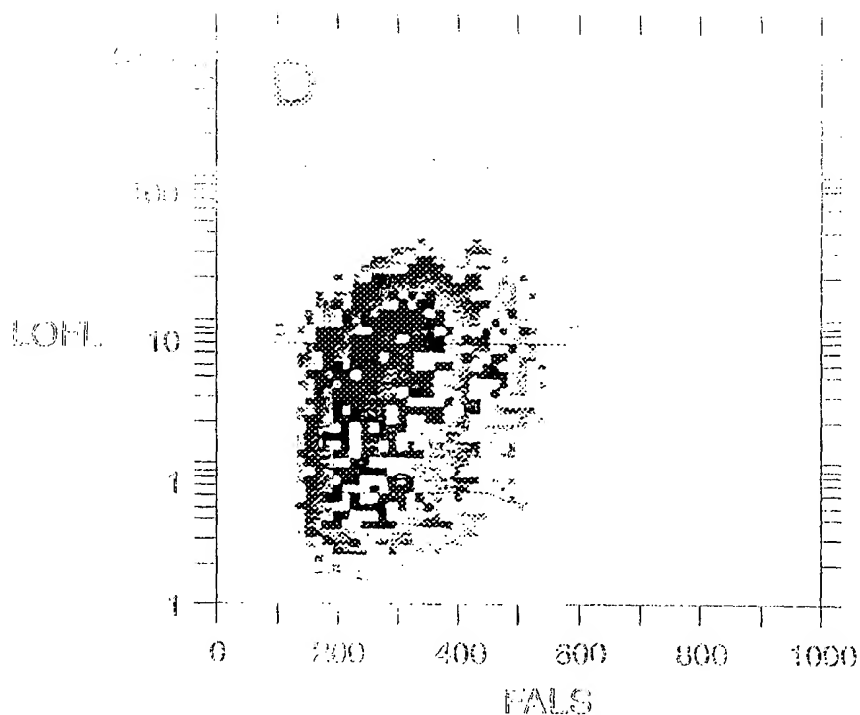


FIG. 1D

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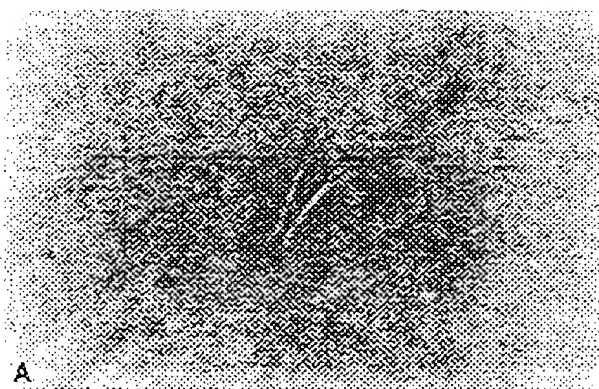


FIG. 2A

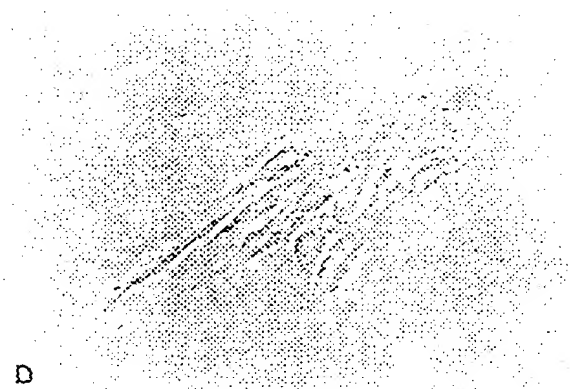


FIG. 2D

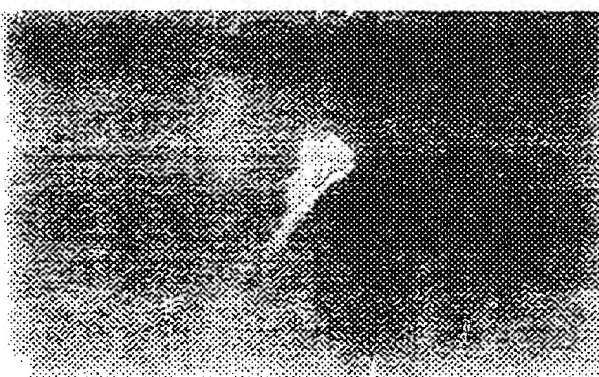


FIG. 2B

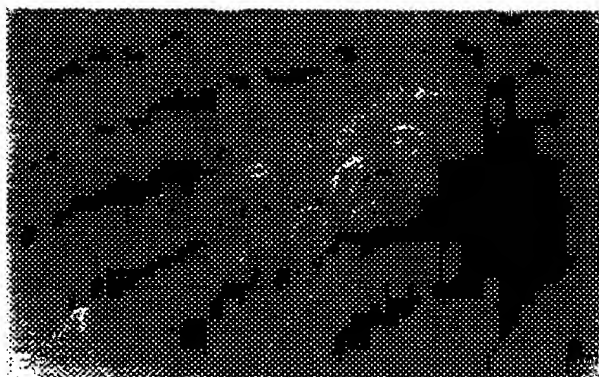


FIG. 2E



FIG. 2C

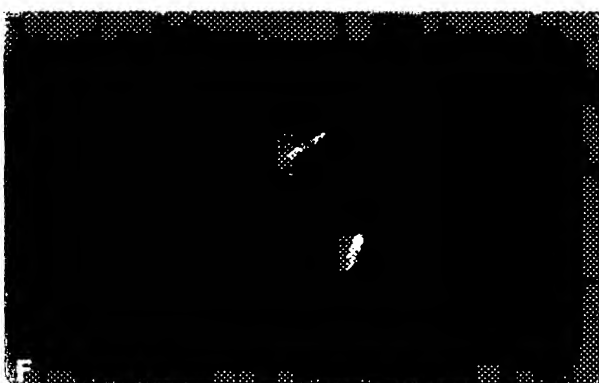
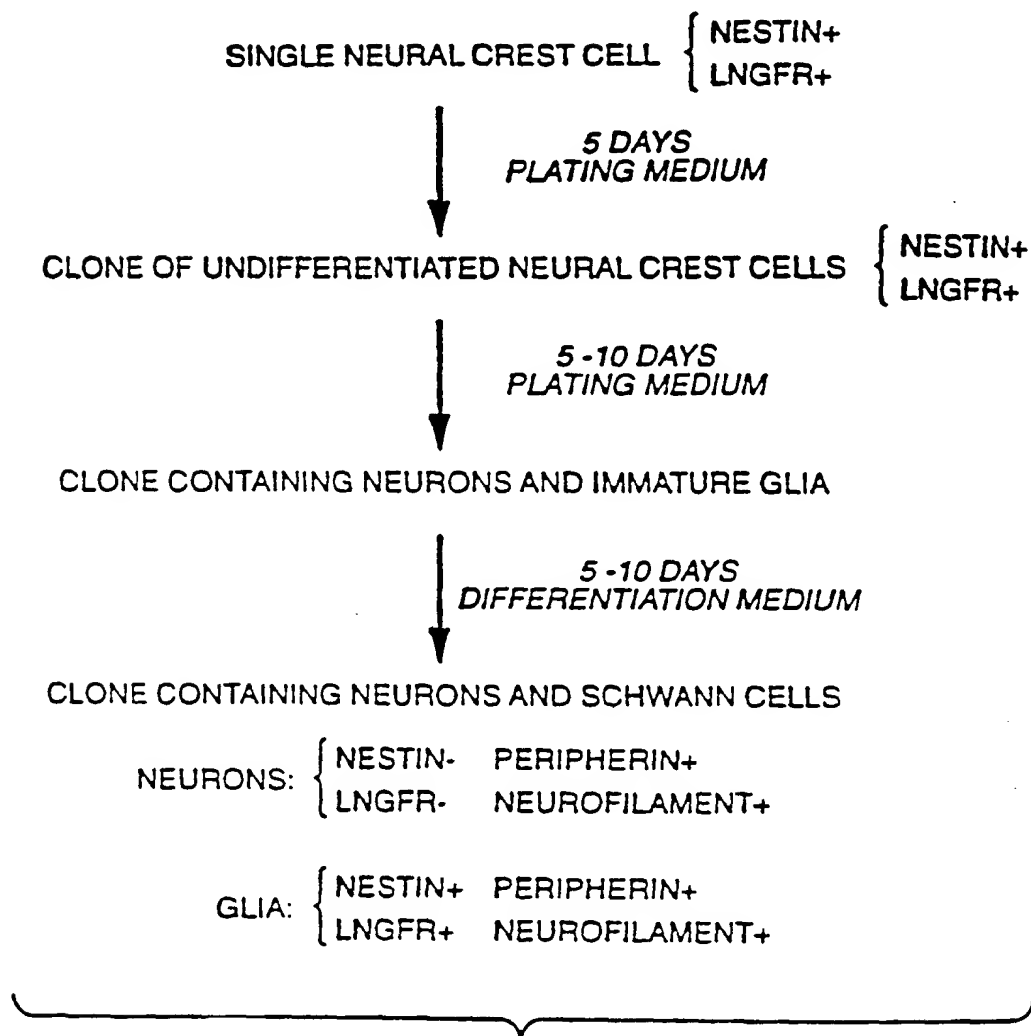


FIG. 2F

FIG. 2A-F (continued)

MULTIPOTENCY OF MAMMALIAN NEURAL CREST CELLS

**FIG._3**

A

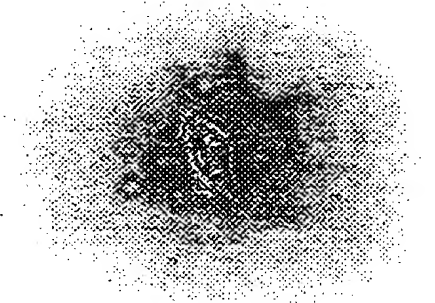


FIG. 4A

B

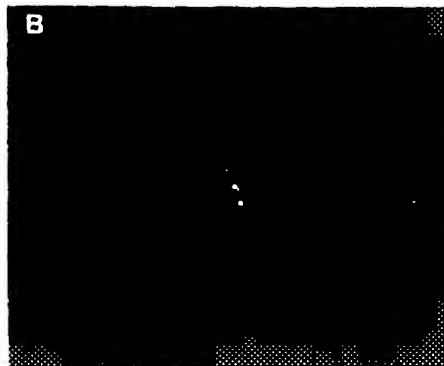


FIG. 4B

C

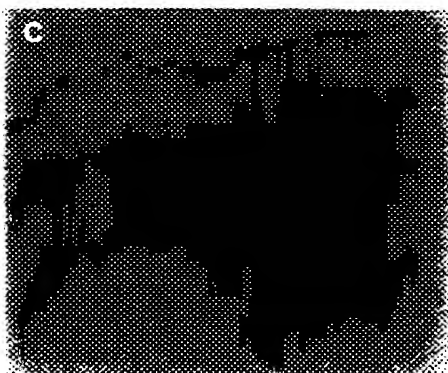


FIG. 4C

D

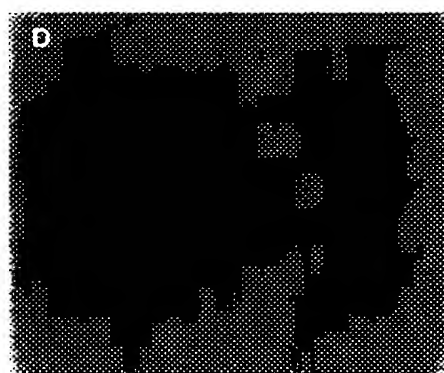


FIG. 4D

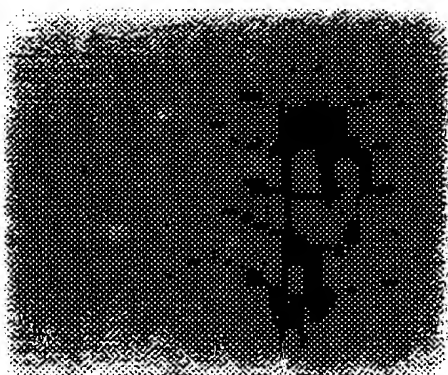


FIG. 4E

F



FIG. 4F

SHEN, ET AL. (1998) (JUL 1, 1998)



FIG. 5A

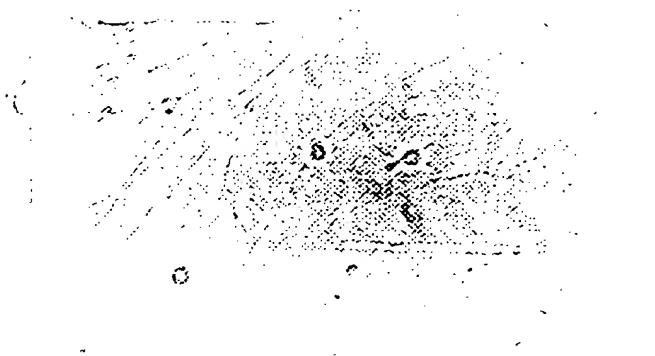


FIG. 5D

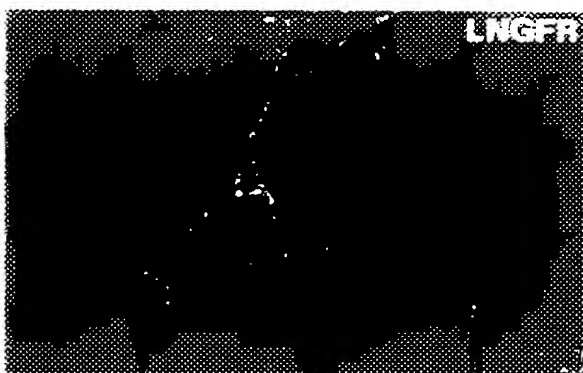


FIG. 5B



FIG. 5E

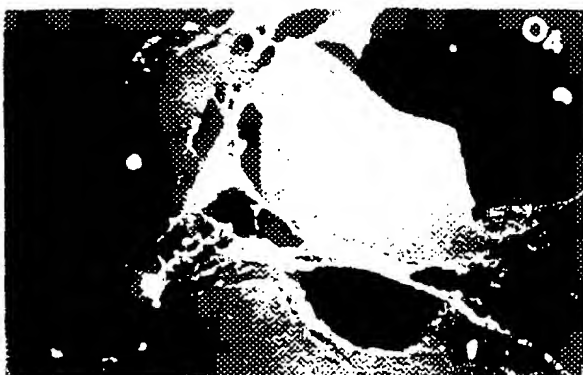


FIG. 5C



FIG. 5F

A

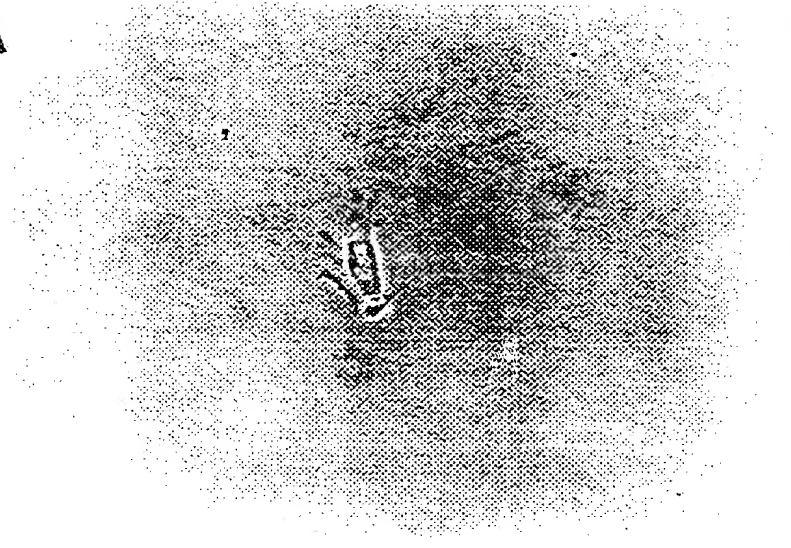


FIG. 6A

B

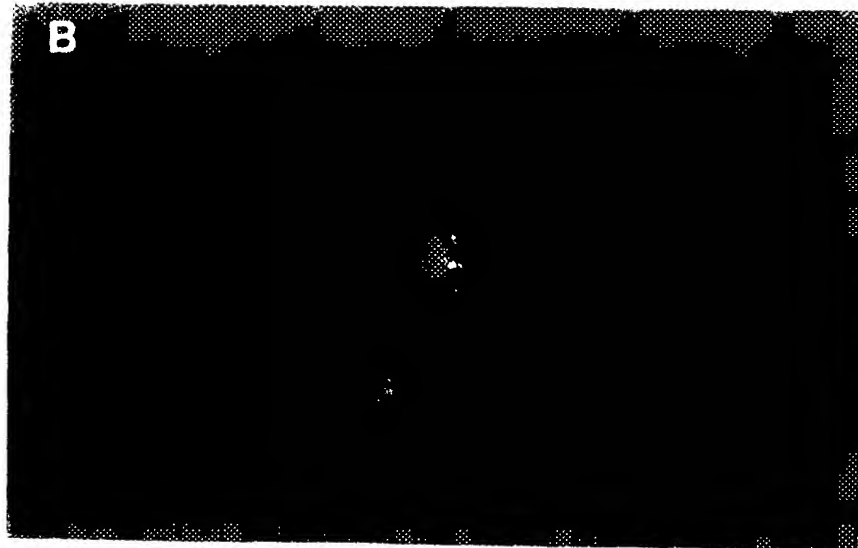


FIG. 6B

CONTINUED ON PAGE 28

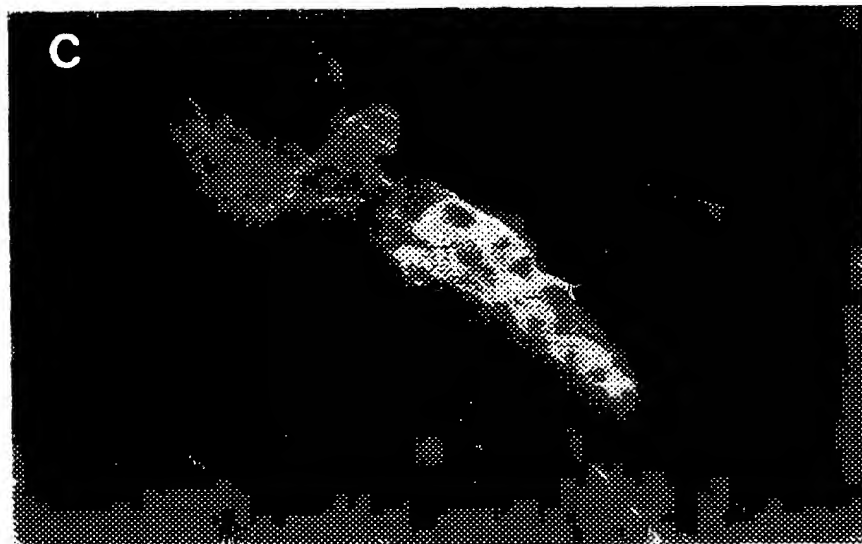


FIG. 6C

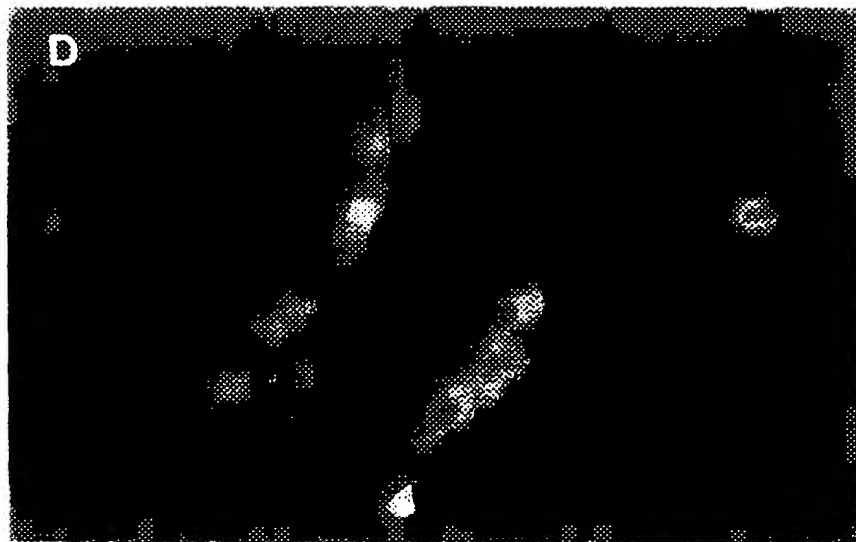
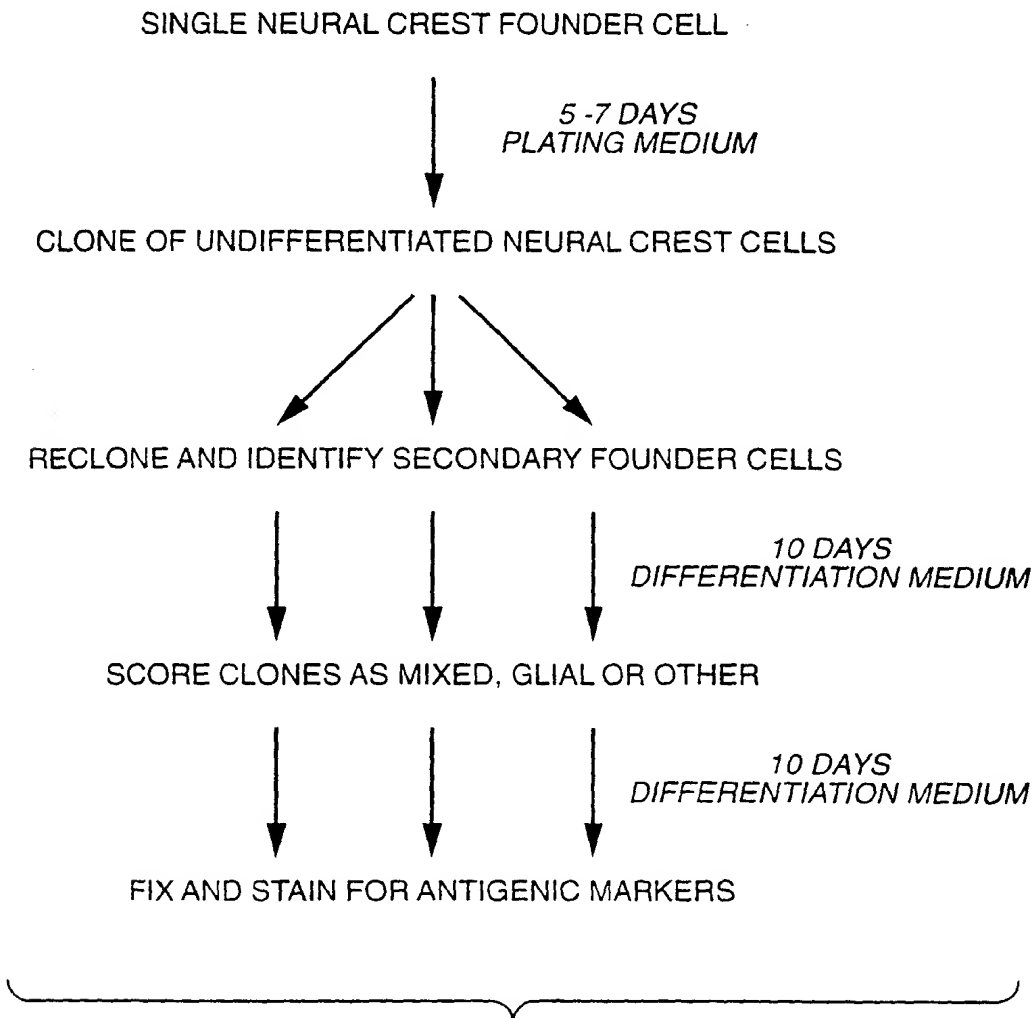


FIG. 6D

FIG. 6D (continued) (FIG. 6E)

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SELF-RENEWAL OF MAMMALIAN NEURAL CREST CELLS**FIG. 7**

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1° CLONE (DAY 7)

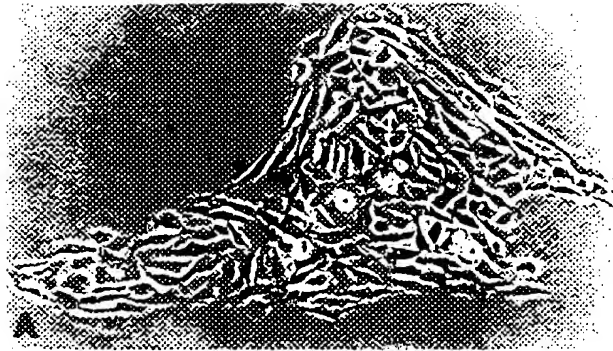


FIG. 8A

2° FOUNDERS

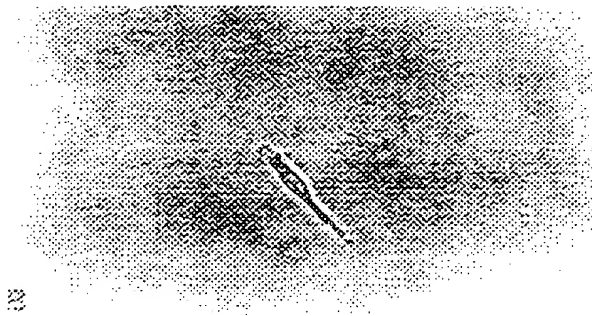


FIG. 8B

2° FOUNDERS

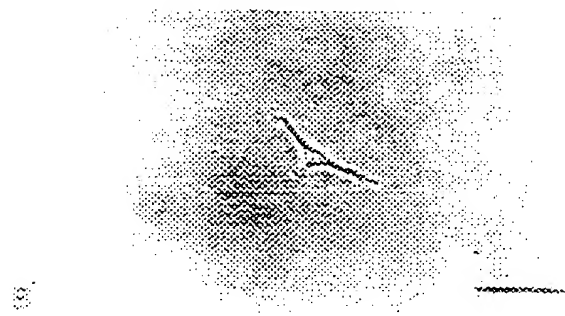


FIG. 8B'

2° CLONES (DAY 17)

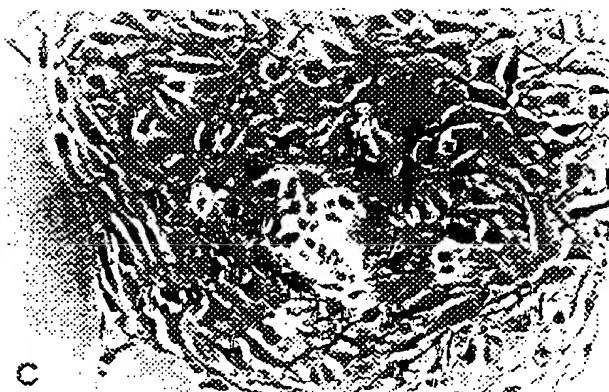


FIG. 8C

2° CLONES (DAY 17)



FIG. 8C'

9848001 - 10/28/98 (P. 10/28)

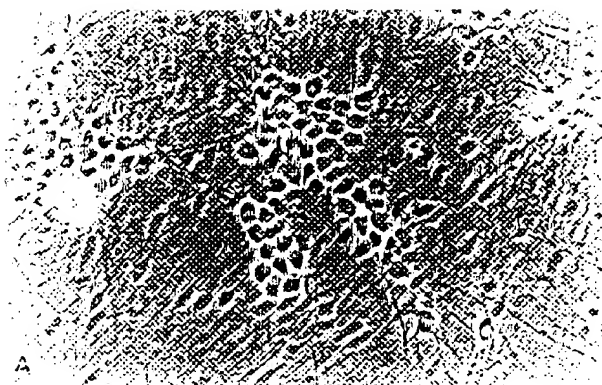


FIG. 9A

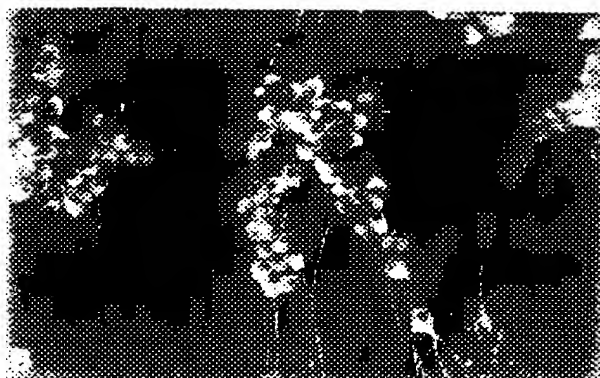


FIG. 9B

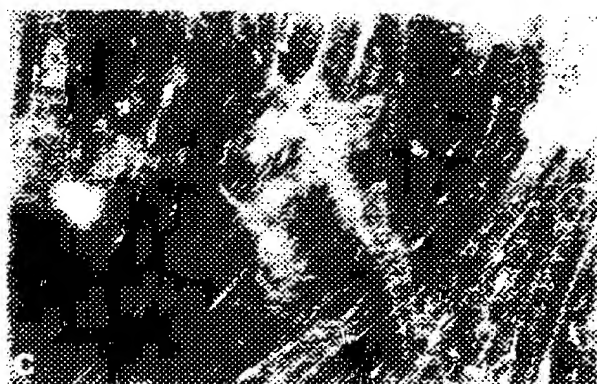
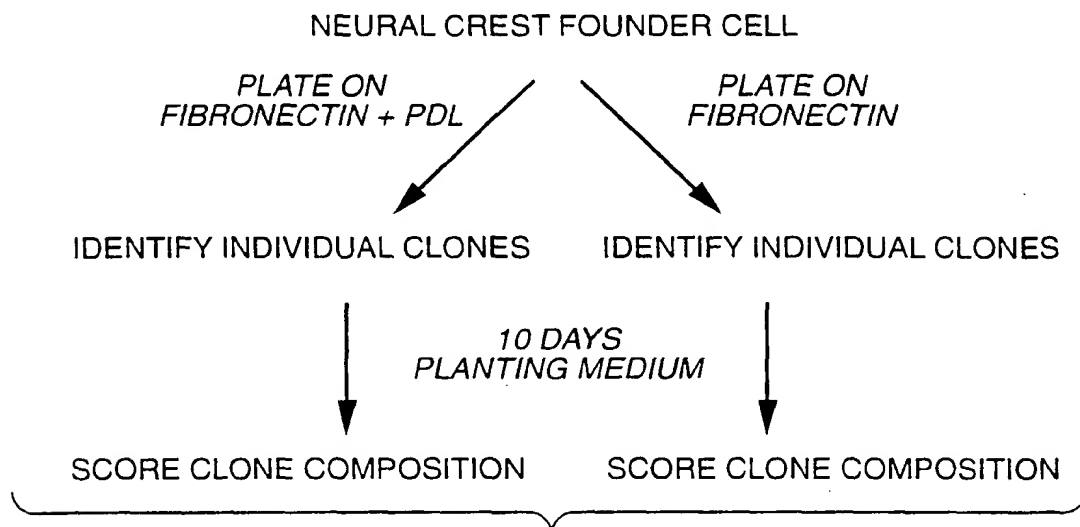


FIG. 9C

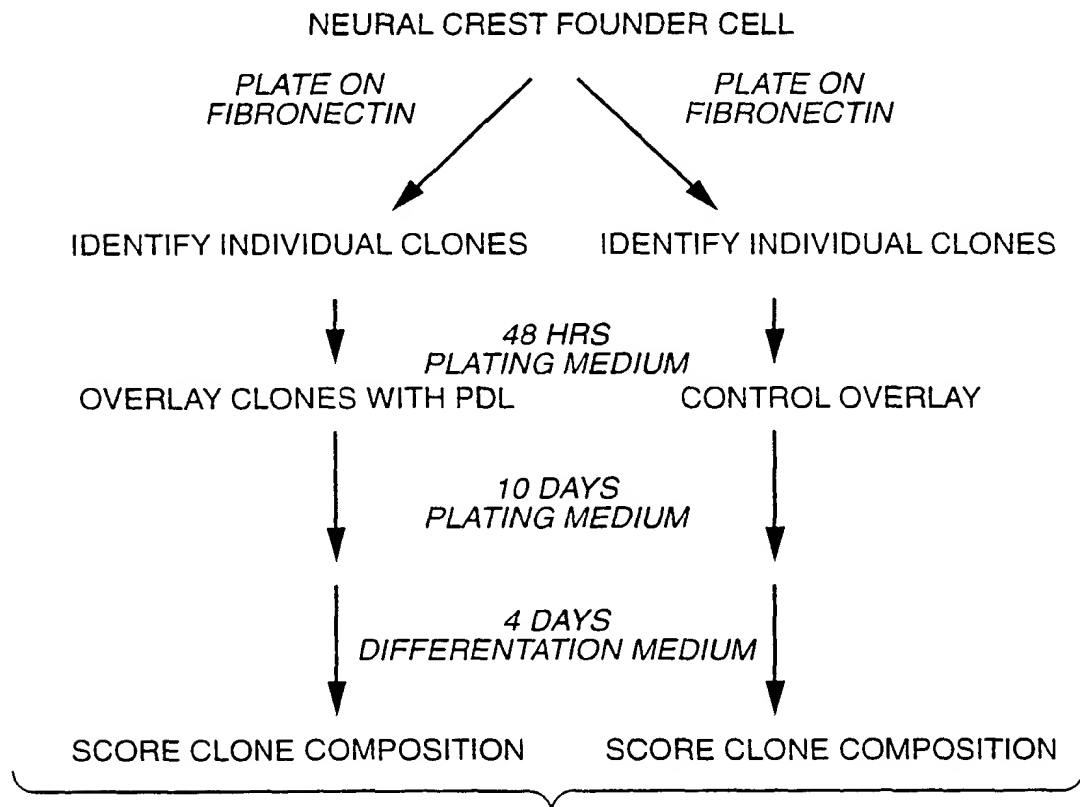
APPLIED TO THE INVENTION (PAGES 20)

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SUBSTRATE EFFECT ON FATE OF MAMMALIAN NEURAL CREST CELLS

**FIG. 10**

INSTRUCTIVE EFFECT OF SUBSTRATE ON CREST CELL FATE

**FIG. 13**

SUBSTITUTE SHEET (RULE 26)

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pDL / FN

FN



D



FIG. 11A

FIG. 11D

LNGFR

LNGFR

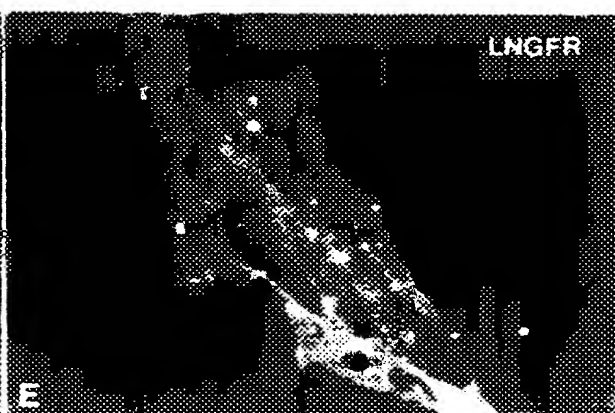
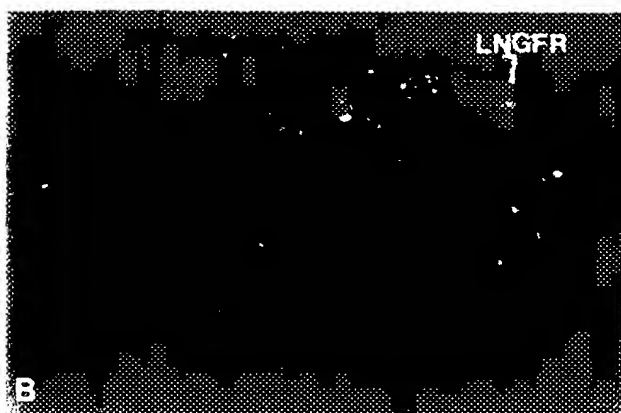


FIG. 11B

FIG. 11E

NCAM

NCAM

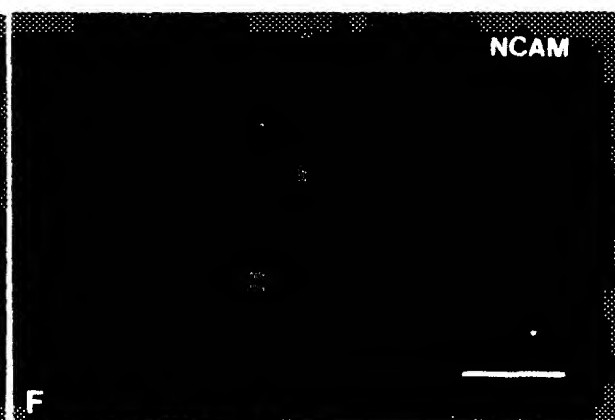
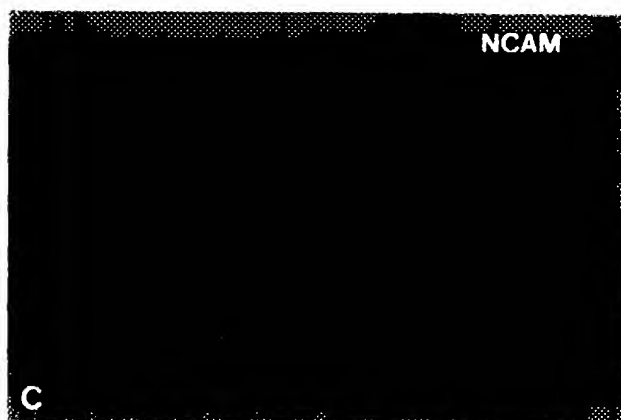
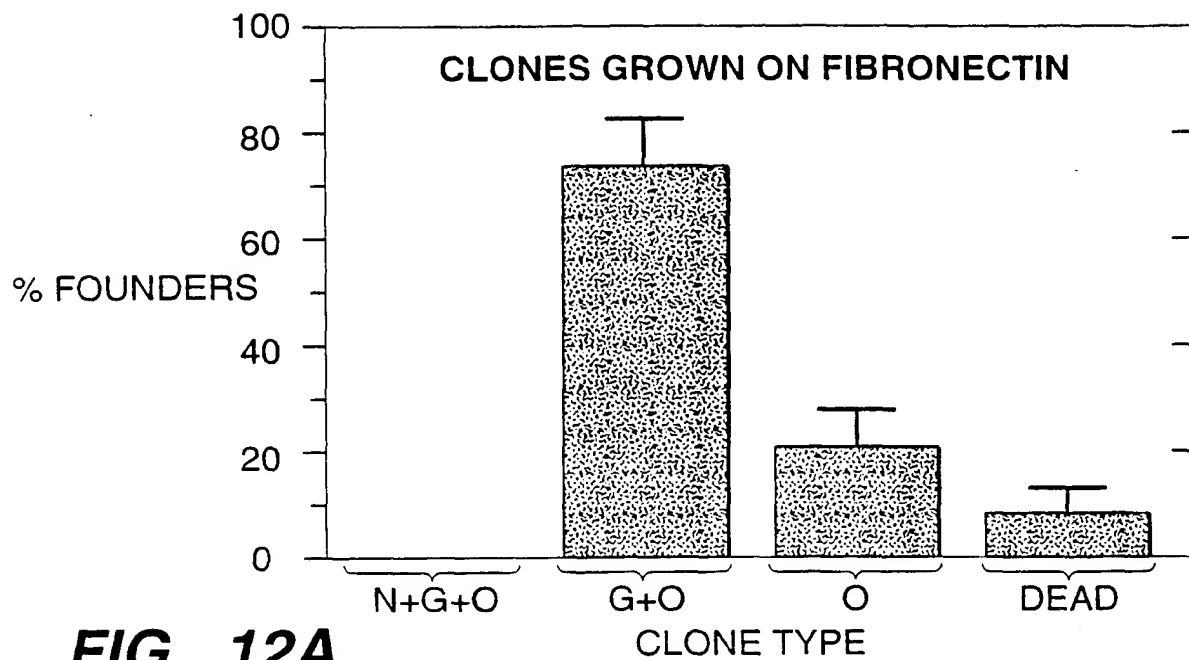
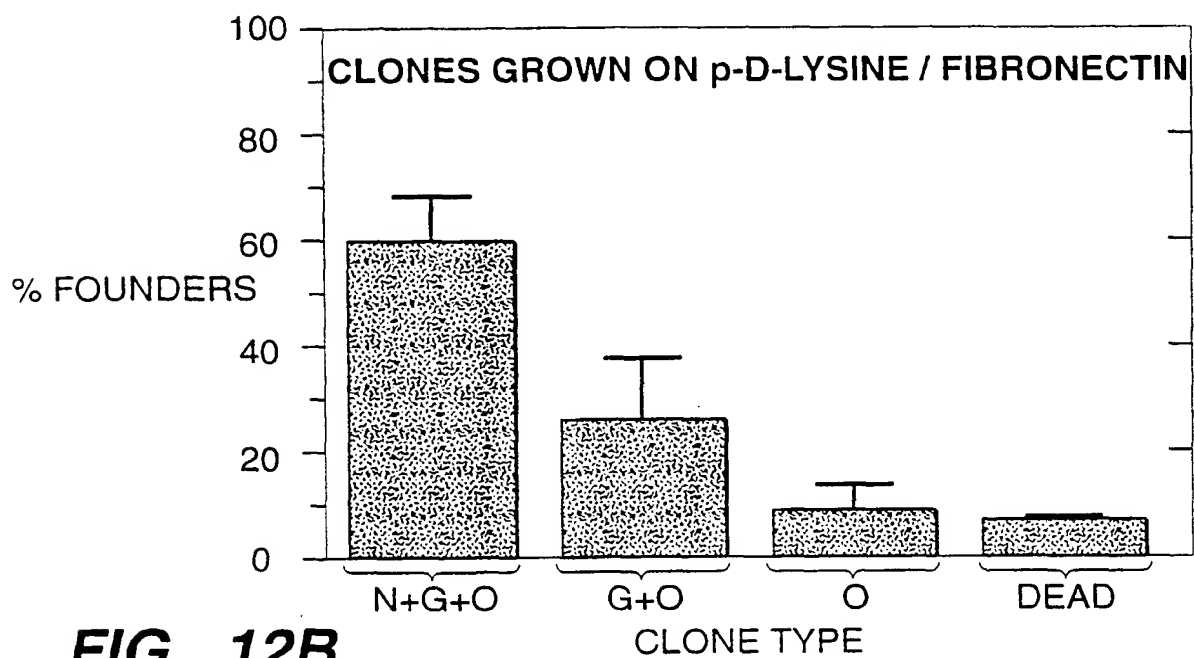


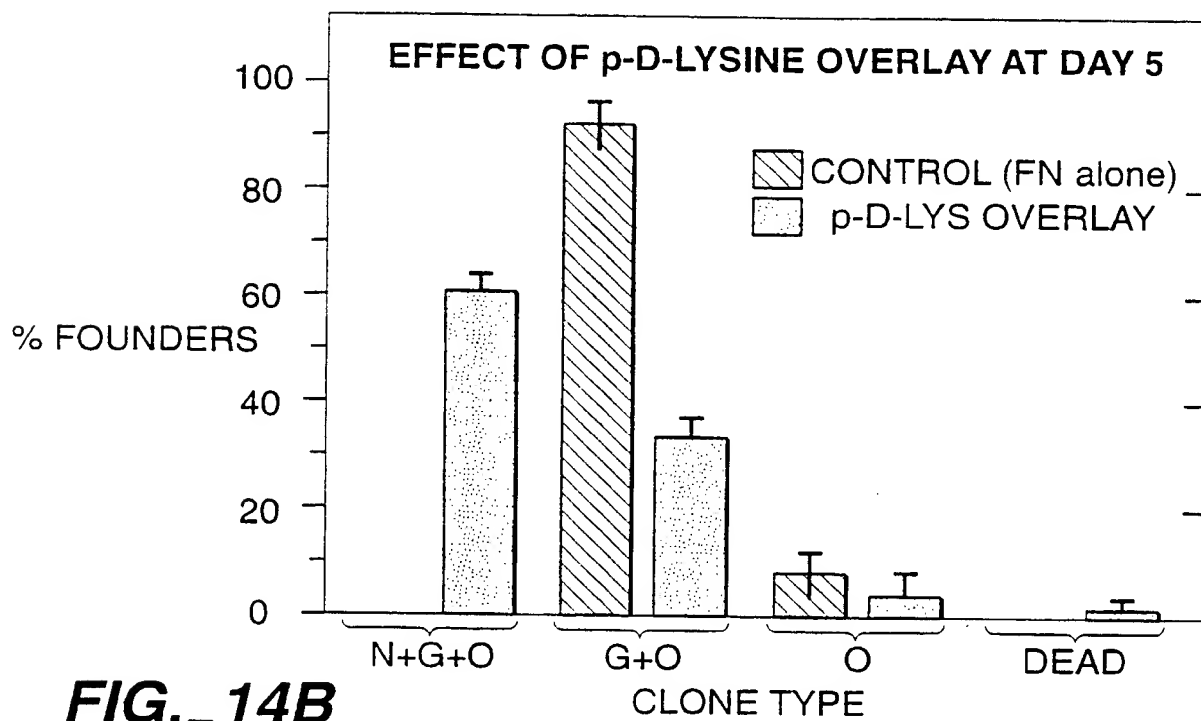
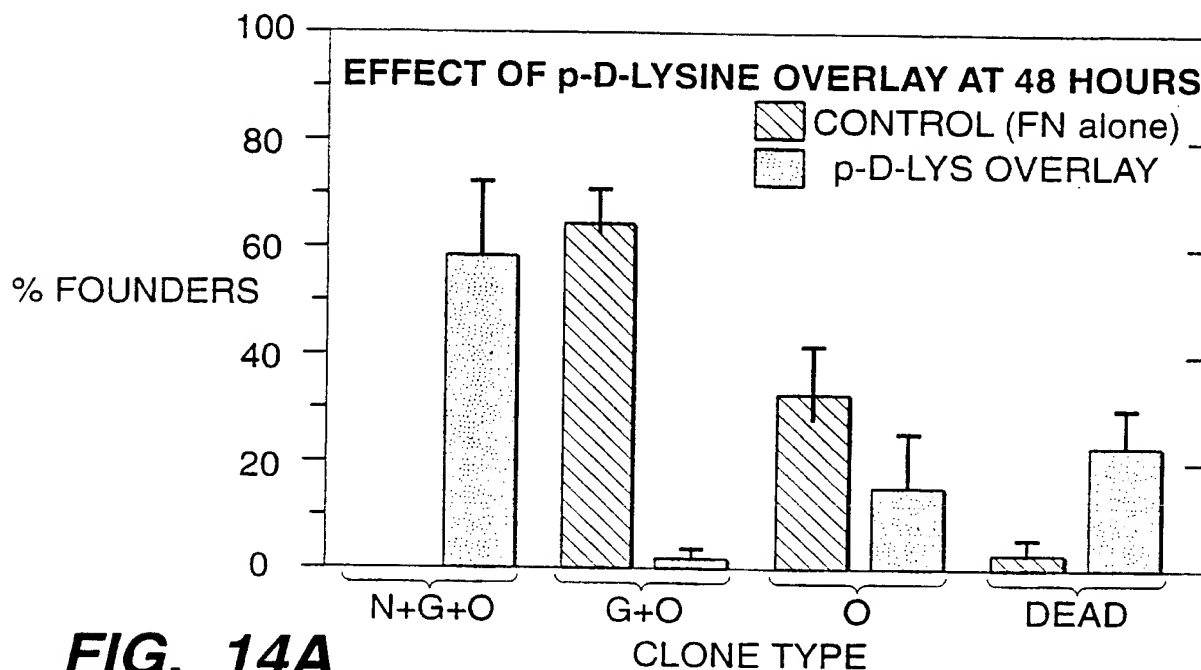
FIG. 11C

FIG. 11F

QUANTITATIVE DATA (TABLE 26)

**FIG. 12A****FIG. 12B**

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A

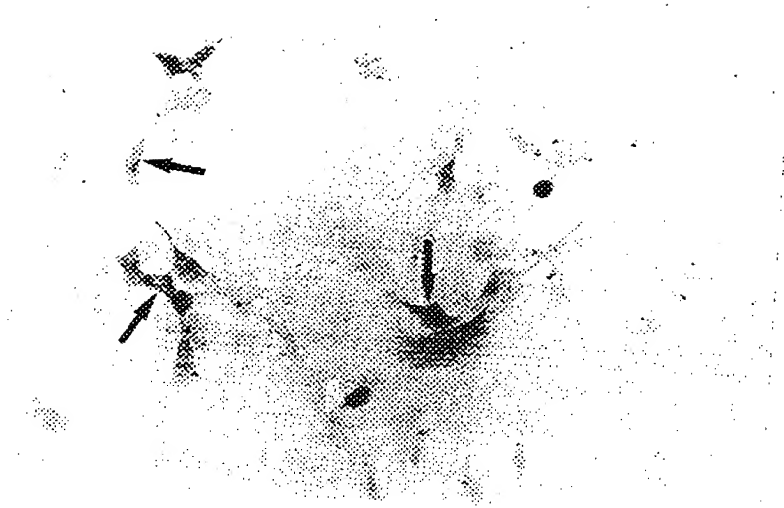


FIG. 15A

B

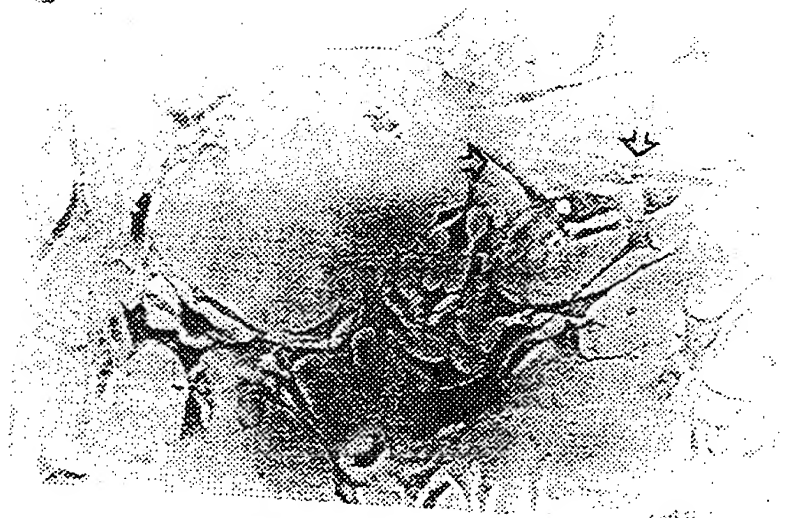


FIG. 15B

FIG. 15A and FIG. 15B are schematic diagrams of a device.

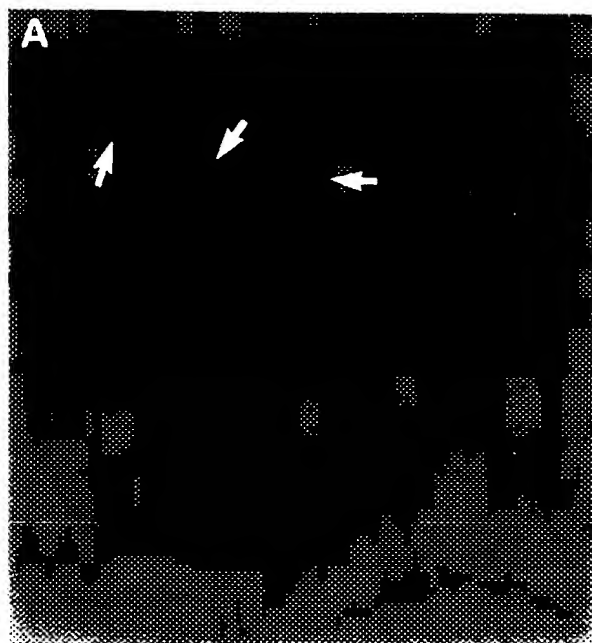


FIG. 15A

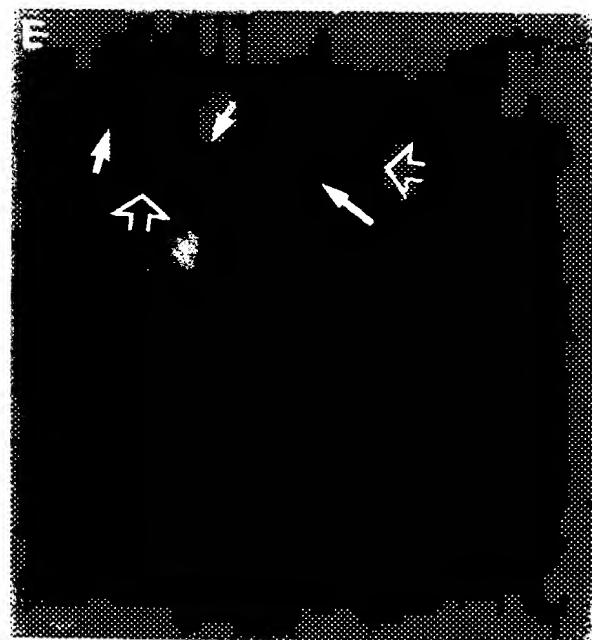


FIG. 16B

FIG. 15A (continued)

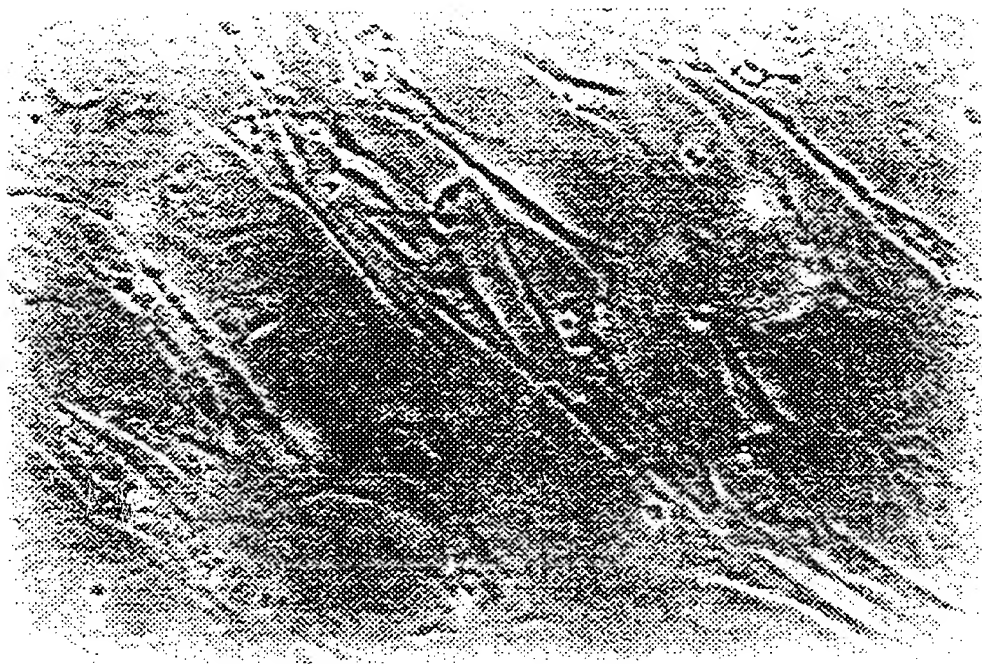


FIG. 17A

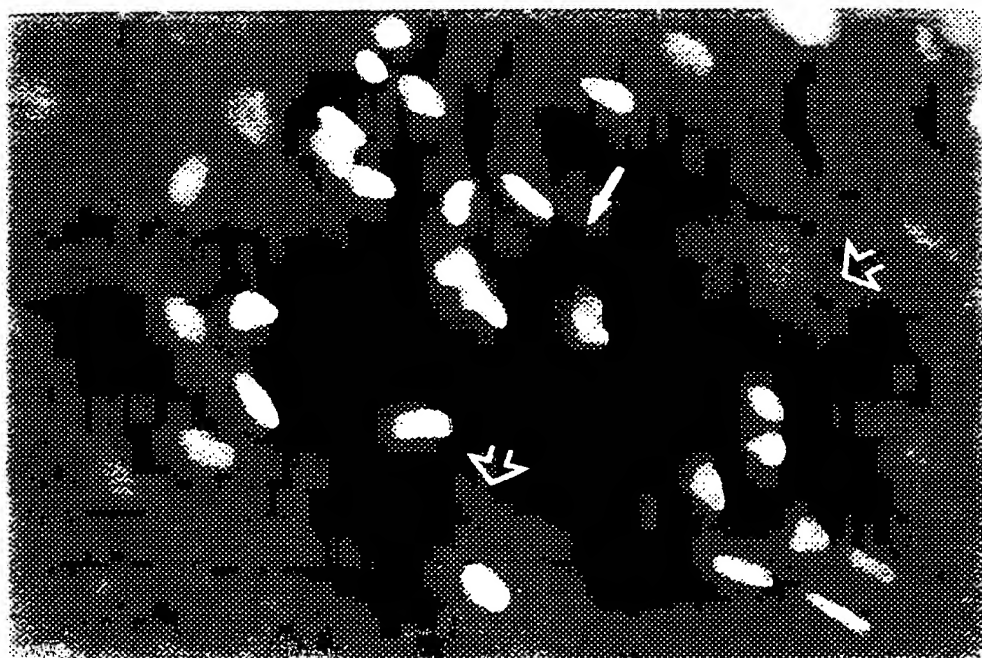


FIG. 17B

STATE OF CALIFORNIA :
COUNTY OF LOS ANGELES :

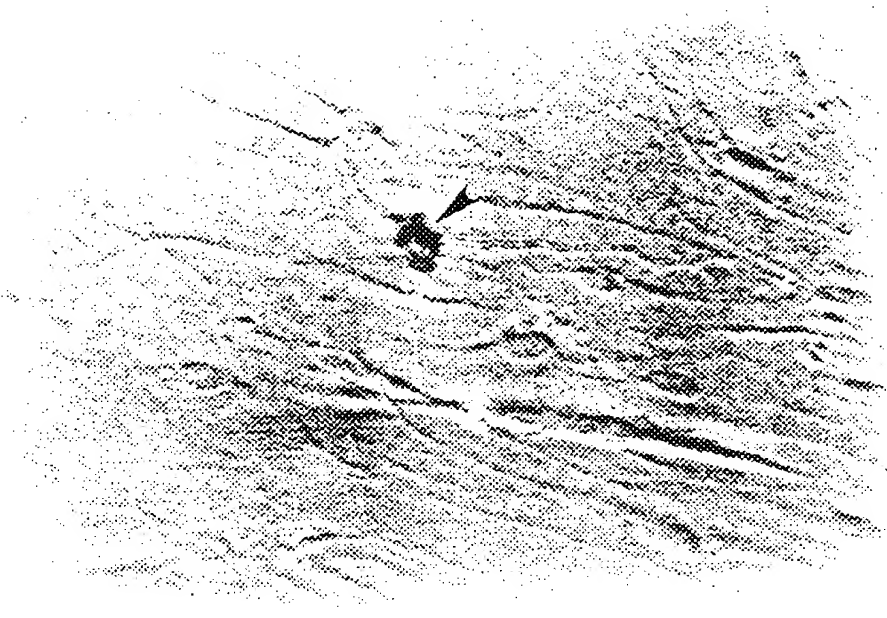


FIG. 18A

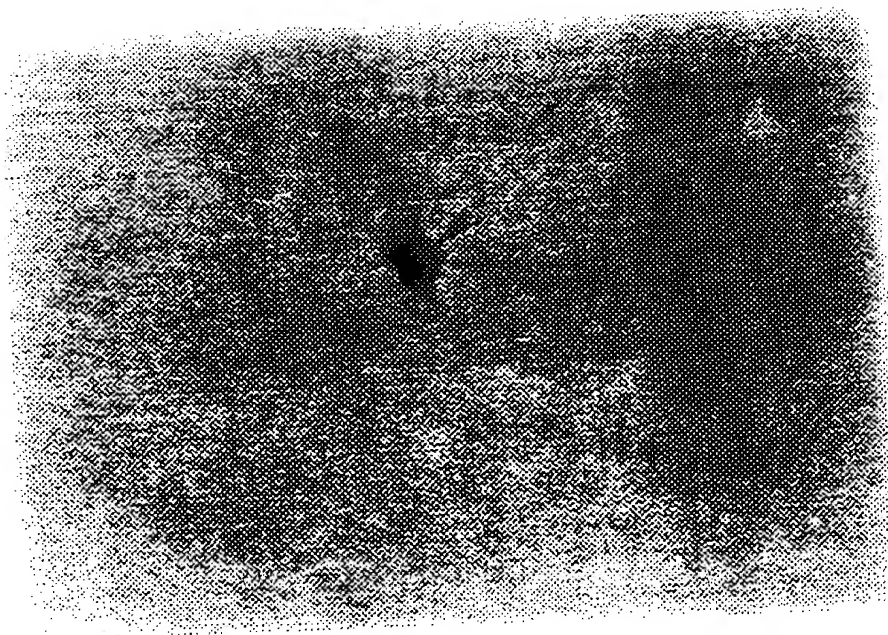


FIG. 18B

FIG. 18A and FIG. 18B are micrographs of the same sample.



FIG. 18C

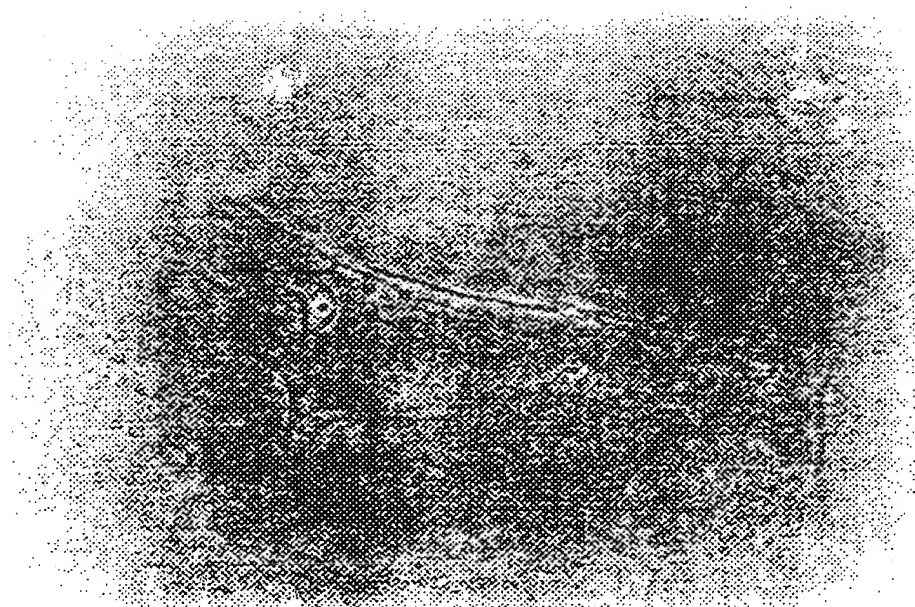


FIG. 19A

SECRET - (U)

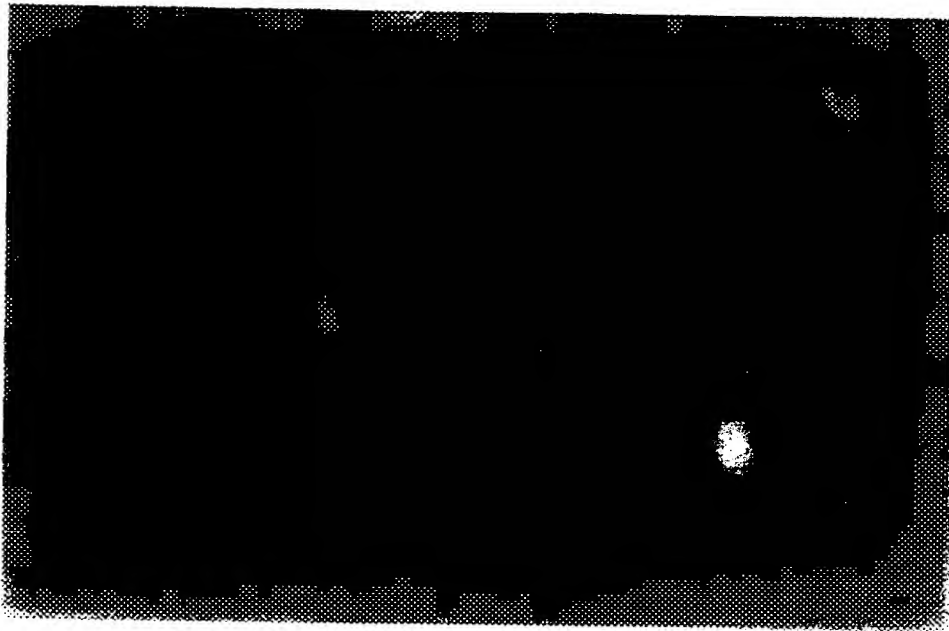


FIG. 19b

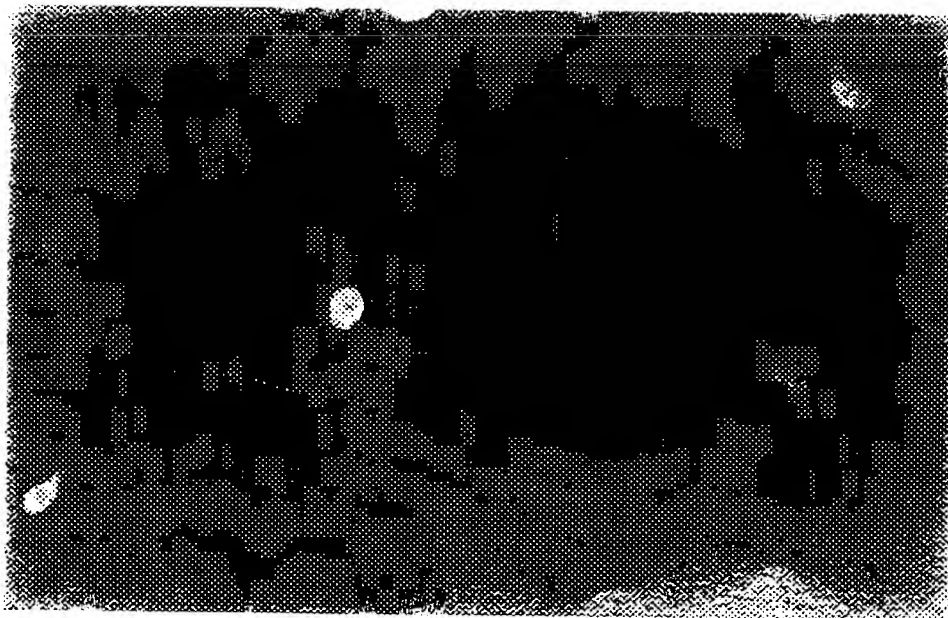


FIG. 19c

NOT TO BE USED (NOT TO BE)



FIG. 20A



FIG. 20B

UNCLASSIFIED//FOR OFFICIAL USE ONLY (U//FOUO)

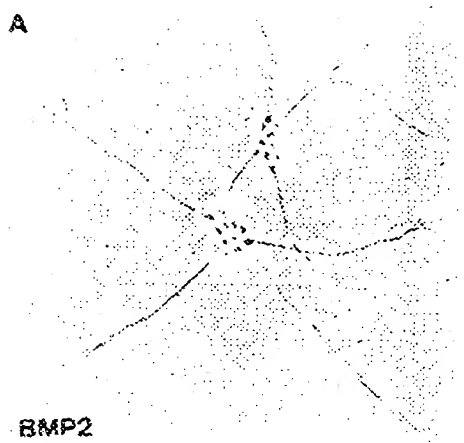


FIG. 21A

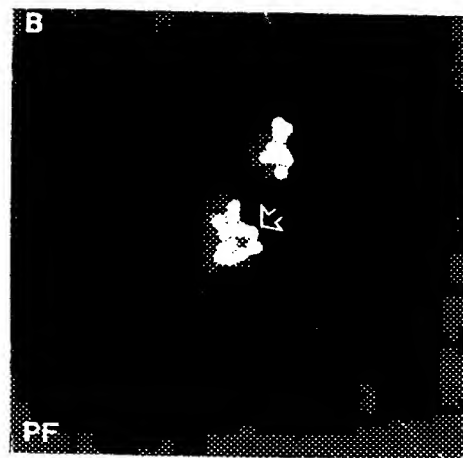


FIG. 21B



FIG. 21C

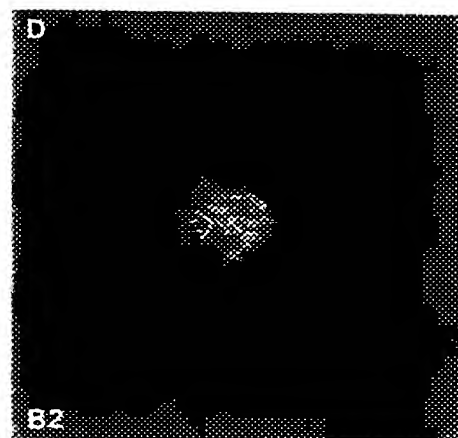


FIG. 21D

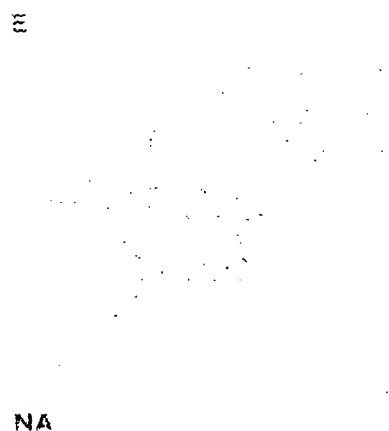


FIG. 21E

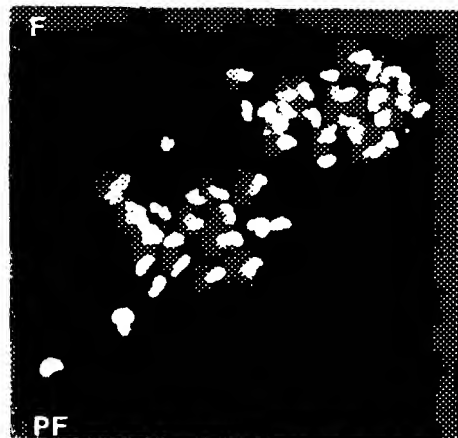


FIG. 21F

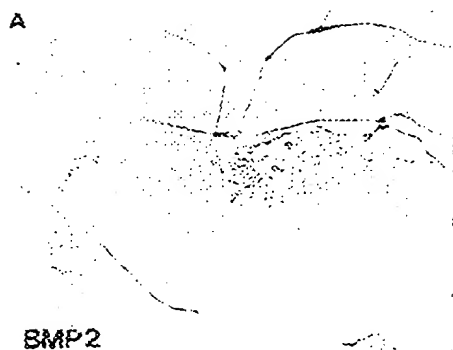


FIG. 22A

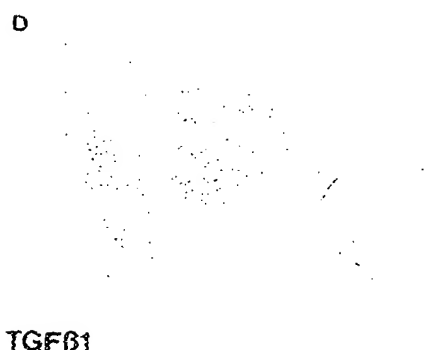


FIG. 22D



FIG. 22E



FIG. 22F

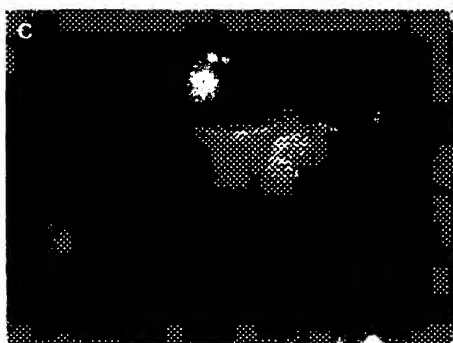
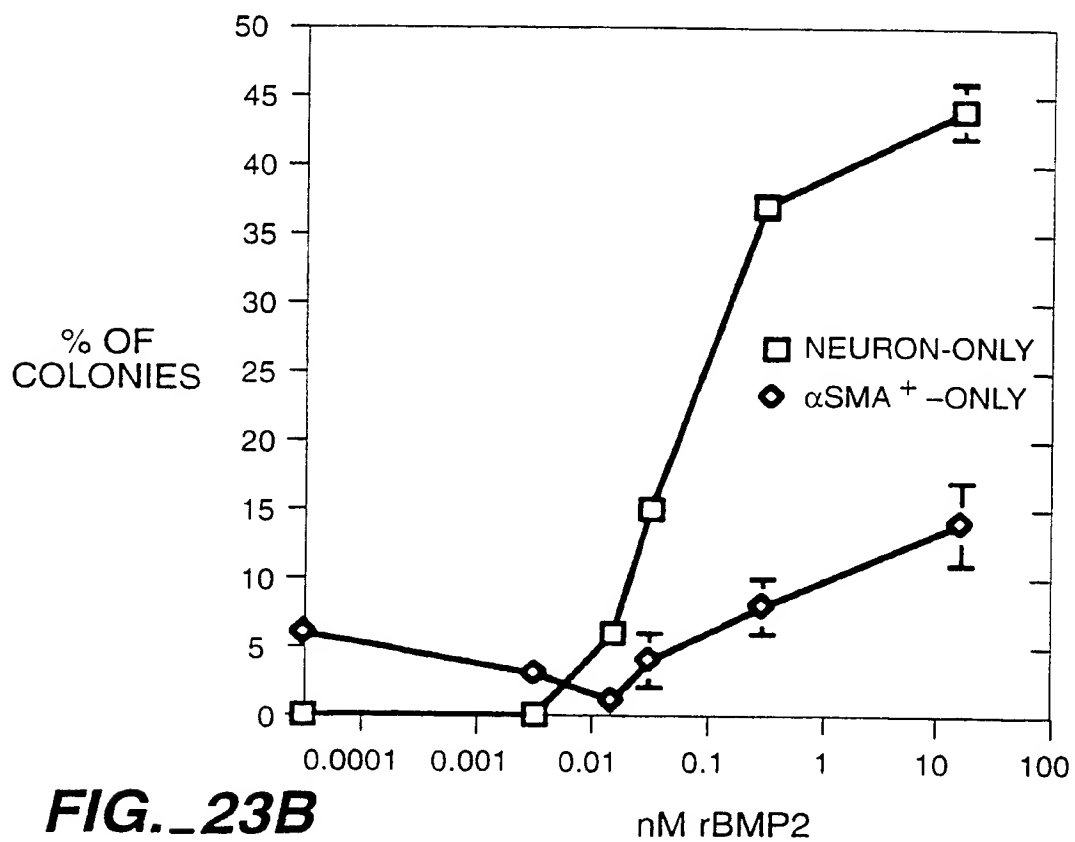
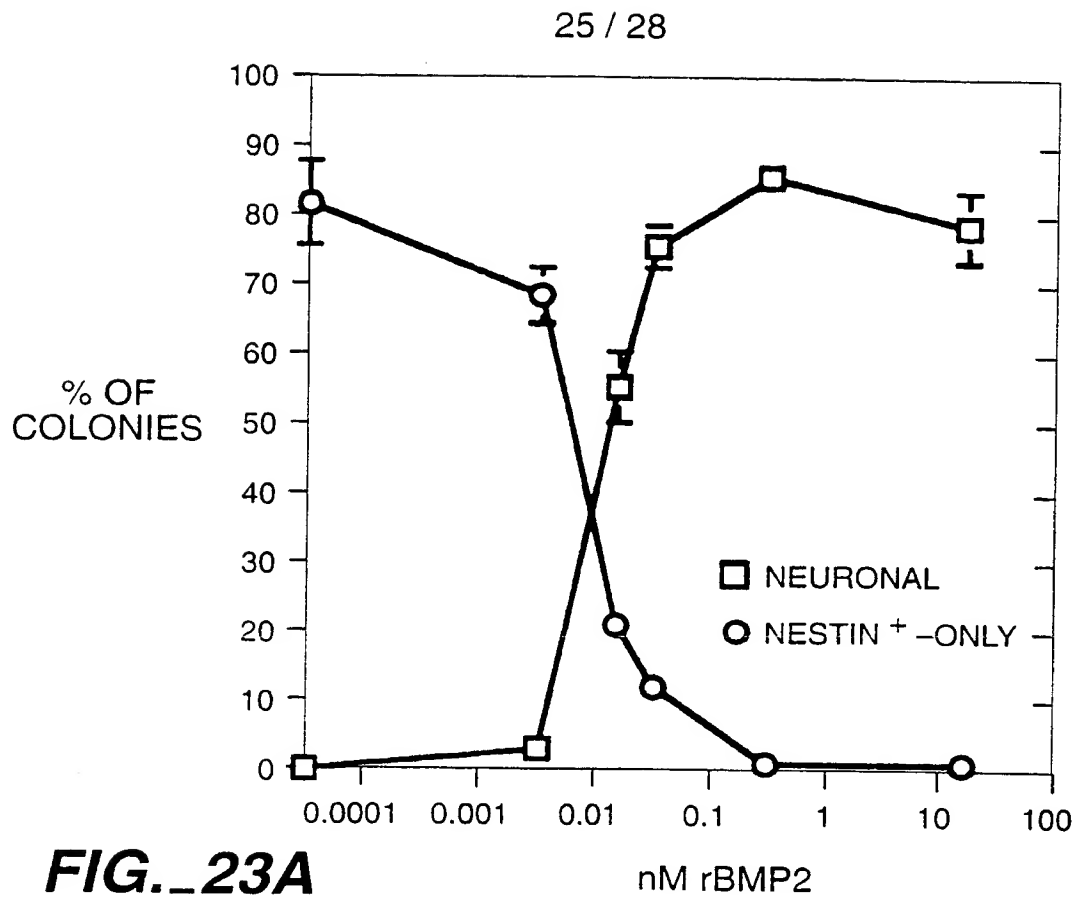


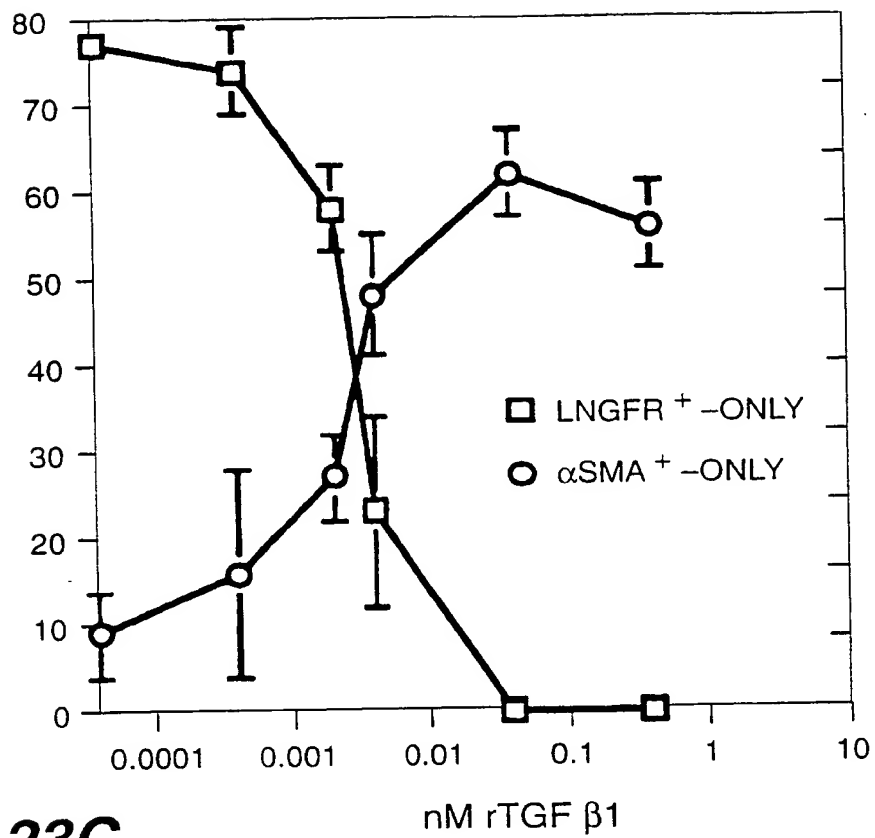
FIG. 22C

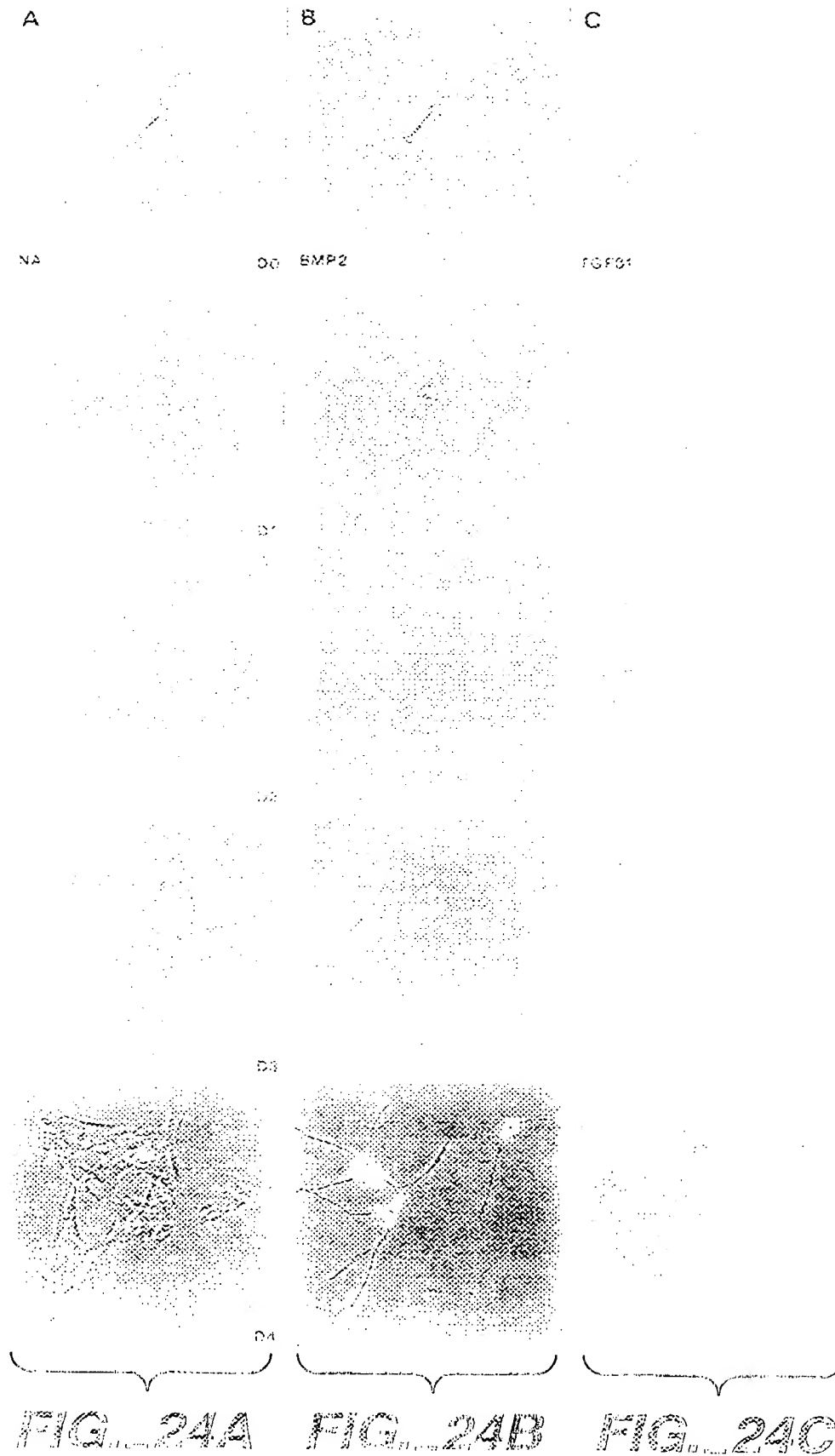


FIG. 22F

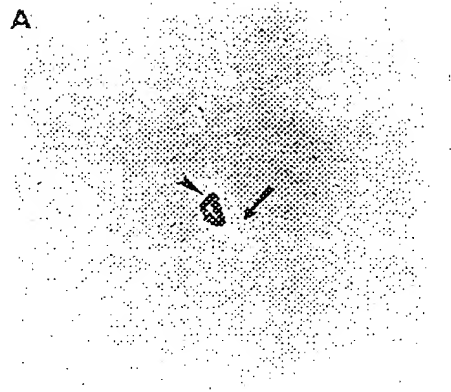
FIG. 22A-F: BMP2 and TGFβ1 expression in the same field (20x)



**FIG._23C**



CLARIFIED SHEET (PAPER 20)



BMP2

FIG. 25A

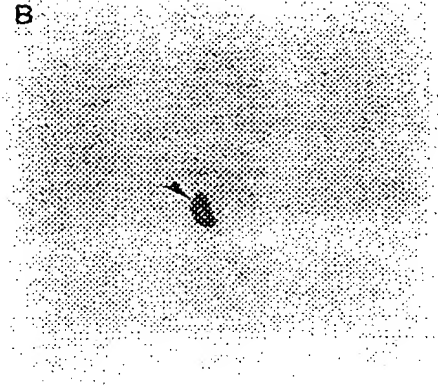
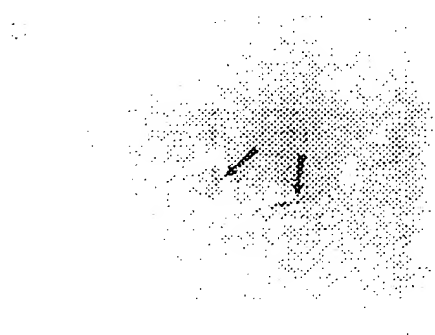


FIG. 25B



NA

FIG. 25C

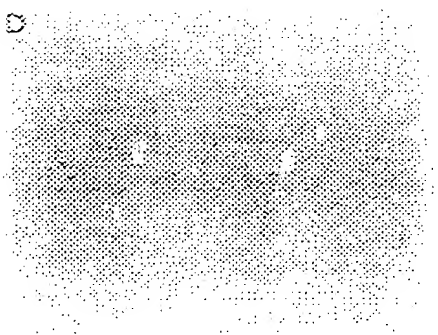


FIG. 25D

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/08364

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12N5/06 C12N5/08 G01N33/50

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
X	GADSON P F JR ET AL: "Differential response of mesoderm- and neural crest-derived smooth muscle to TGF-beta1: regulation of c-myc and alpha1(I) procollagen genes." EXPERIMENTAL CELL RESEARCH, (1997 FEB 1) 230 (2) 169-80. XP002074386 see the whole document	1-22
X	MOSES H L ET AL: "Regulation of differentiation by TGF-beta." CURRENT OPINION IN GENETICS AND DEVELOPMENT, (1996 OCT) 6 (5) 581-6, XP002074387 see the whole document	1-12



Further documents are listed in the continuation of box C



Patent family members are listed in annex

Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "8" document member of the same patent family

Date of the actual completion of the international search

13 August 1998

Date of mailing of the international search report

28/08/1998

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Authorized officer

Moreau, J

INTERNATIONAL SEARCH REPORT

Int. l. Application No

PCT/US 98/08364

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication where appropriate of the relevant passages	Relevant to claim No
X	ZHANG J M ET AL: "Mitogenic and anti-proliferative signals for neural crest cells and the neurogenic action of TGF -beta1." DEVELOPMENTAL DYNAMICS. (1997 MAR) 208 (3) 375-86. XP002074388 see the whole document ---	1-12
X	SHAH N M ET AL: "Alternative neural crest cell fates are instructively promoted by TGFbeta superfamily members." CELL. (1996 MAY 3) 85 (3) 331-43. XP002074389 cited in the application see the whole document ---	1-22
A	WO 94 02593 A (CALIFORNIA INSTITUTE OF TECHNOLOGY) 3 February 1994 cited in the application see the whole document ---	1-22
P.X	SHAH N M ET AL: "Integration of multiple instructive cues by neural crest stem cells reveals cell-intrinsic biases in relative growth factor responsiveness." PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES OF AMERICA. (1997 OCT 14) 94 (21) 11369-74. XP002074390 see the whole document ---	1-12
P.X	DATABASE MEDLINE US NATIONAL LIBRARY OF MEDICINE (NLM), BETHESDA, MD, US LO L ET AL: "MASH1 maintains competence for BMP2 -induced neuronal differentiation in post-migratory neural crest cells." XP002074391 see abstract & CURRENT BIOLOGY, (1997 JUN 1) 7 (6) 440-50, -----	1-12

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/08364

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9402593 A	03-02-1994	AU 678988 B	19-06-1997
		AU 4837593 A	14-02-1994
		CA 2140884 A	03-02-1994
		EP 0658194 A	21-06-1995
		JP 8500245 T	16-01-1996
		NZ 256154 A	24-02-1997
		US 5654183 A	05-08-1997
		US 5589376 A	31-12-1996
		US 5693482 A	02-12-1997
		US 5672499 A	30-09-1997

Form PCT/ISA/210 (patent family annex) (July 1992)



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(54) Title: METHODS FOR DIFFERENTIATING NEURAL STEM CELLS		
(57) Abstract Method for producing a population of mammalian neurons and/or smooth muscle cells comprising contacting at least one mammalian neural stem cell with a culture medium containing one or more growth factors from the TGF- β super family and detecting the differentiation of stem cell to a population of neurons or smooth muscle cells.		

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METHODS FOR DIFFERENTIATING NEURAL STEM CELLS

This is a continuation-in-part of U.S. patent application Serial No.08/188.286 filed 28 January 1994 which is a continuation-in-part of PCT Application No. PCT/US93/07000 filed July 26, 1993, which is a continuation-in-part of U.S. patent application Serial No. 07/996,088, filed October 29, 1992 which is a
5 continuation-in-part of U.S. patent application Serial No. 07/920,617, filed July 27, 1992.

Field of the Invention

The invention relates to methods for the differentiation of mammalian multipotent neural stem cells to neurons or smooth muscle cells.

10 Background

The neural crest is a transient embryonic precursor population, whose derivatives include cells having widely different morphologies, characteristics and functions. These derivatives include the neurons and glia of the entire peripheral nervous system, melanocytes, cartilage and connective tissue of
15 the head and neck, stroma of various secretory glands and cells in the outflow tract of the heart (for review, see Anderson, D.J. (1989) Neuron 3:1-

- 12). The neural crest generates most of the peripheral nervous system (PNS), skin melanocytes, and mesectodermal derivatives such as smooth muscle (SM) cells, bone, and cartilage Horstadius, S., London; Oxford University Press (1950); Le Douarin et al. (1994) Curr. Opin. Genet. Devel. 4:685-695;
- 5 Shah et al.(1996) Cell 85:331-343. Much of the knowledge of the developmental potential and fate of neural crest cells comes from studies in avian systems. Fate maps have been established in aves and provide evidence that several different crest cell derivatives may originate from the same position along the neural tube (Le Dourain, N.M. (1980) Nature
- 10 286:663-669). Schwann cells, melanocytes and sensory and sympathetic neurons can all derive from the truncal region of the neural tube. On the other hand, some derivatives were found to originate from specific regions of the crest, *e.g.*, enteric ganglia from the vagal and sacral regions. These studies also revealed that the developmental potential of the neural crest
- 15 population at a given location along the neural tube is greater than its developmental fate. This suggests that the new environment encountered by the migrating crest cells influences their developmental fate.

Single-cell lineage analysis *in vivo*, as well as clonal analysis *in vitro*, have reportedly shown that early avian neural crest cells are multipotential during,

20 or shortly after, their detachment and migration from the neural tube. In avian systems, certain clones derived from single neural crest cells in culture were reported to contain both catecholaminergic and pigmented cells (Sieber-Blum, M. *et al.* (1980) Dev. Biol. 80:96-106). Baroffio, A. et al. (1988) Proc. Natl. Acad. Sci. USA 85:5325-5329, reported that avian neural crest

25 cells from the cephalic region could generate clones which gave rise to highly heterogeneous progeny when grown on growth-arrested fibroblast feeder cell layers.

In vivo demonstration of the multipotency of early neural crest cells was reported in chickens by Bronner-Fraser, M. et al. (1989) Neuron 3:755-766. Individual neural crest cells, prior to their migration from the neural tube, were injected with a fluorescent dye. After 48 hours, the clonal progeny of
5 injected cells were found to reside in many or all of the locations to which neural crest cells migrate, including sensory and sympathetic ganglia, peripheral motor nerves and the skin. Phenotypic analysis of the labeled cells revealed that at least some neural crest cells are multipotent *in vivo*.

Following migration from the neural tube, these early multipotent crest cells
10 become segregated into different sublineages, which generate restricted subsets of differentiated derivatives. The mechanisms whereby neural crest cells become restricted to the various sublineages are poorly understood. The fate of neural crest derivatives is known to be controlled in some way by the embryonic location in which their precursors come to reside (Le Douarin,
15 N.M. (1982) The Neural Crest, Cambridge University Press, Cambridge, UK). The mechanism of specification for neural crest cells derivatives is not known. In culture studies described above, investigators reported that clones derived from primary neural crest cells exhibited a mixture of phenotypes (Sieber-Blum, M. et al. (1980) *ibid*; Baroffio, A. et al. (1988) *ibid*; Cohen,
20 A.M. et al. (1975) Dev. Biol. 46:262-280; Dupin, E. et al. (1990) Proc. Natl. Acad. Sci. USA 87:1119-1123). Some clones contained only one differentiated cell type whereas other clones contained many or all of the assayable crest phenotypes.

The observation that apparently committed progenitors and multipotent cells
25 coexist in the neural crest may be interpreted to reflect a pre-existing heterogeneity in the population of primary crest cells or it may reflect asynchrony in a population of cells that undergoes a progressive restriction in developmental potential.

How growth factors influence the fate of multipotent progenitor cells is not well understood. Most hematopoietic growth factors act selectively as survival factors, rather than instructively as lineage determination signals. Shah et al.(1996) Cell 85:331-343.

- 5 Given the uncertainty in the art concerning the developmental potential of neural crest cells, it is apparent that a need exists for the isolation of neural crest cells in clonal cultures. Although culture systems have been established which allow the growth and differentiation of isolated avian neural crest cells thereby permitting phenotypic identification of their progeny, culture
- 10 conditions which allow the self-renewal of multipotent mammalian neural crest cells have not been reported. Such culture conditions are essential for the isolation of mammalian neural crest stem cells. Such stem cells are necessary in order to understand how multipotent neural crest cells become restricted to the various neural crest derivatives. In particular, culture
- 15 conditions which allow the growth and self-renewal of mammalian neural crest stem cells are desirable so that the particulars of the development of these mammalian stem cells may be ascertained. This is desirable because a number of tumors of neural crest derivatives exist in mammals, particularly humans. Knowledge of mammalian neural crest stem cell development is
- 20 therefore needed to understand these disorders in humans. Additionally, the ability to isolate and grow mammalian neural crest stem cells *in vitro* allows for the possibility of using said stem cells to treat peripheral neurological disorders in mammals, particularly humans. The ability to preferentially differentiate neural stem cells allows for a number of treatments which
- 25 require the growth or regeneration of damaged, injured or deficient neurons or smooth muscle cells.

It is therefore an object of the invention to provide methods utilizing growth factors from the TGF- β superfamily to preferentially differentiate neural stem cells to neurons and/or smooth muscle cells.

5 It is a further object of the invention to utilize growth factors from the TGF- β superfamily to preferentially increase the population of mammalian neurons and/or smooth muscle cells.

10 It is also an object of the invention to remove cells from a patient, treat the cells with growth factors from the TGF- β superfamily in order to preferentially increase the population of mammalian neurons and/or smooth muscle cells, and return the cells to the patient.

It is another object of the invention to treat a patient with growth factors from the TGF- β superfamily in order to preferentially increase the population of mammalian neurons and/or smooth muscle cells within said patient.

15 It is an additional object of the invention to introduce foreign (heterologous) nucleic acid into multipotent neural stem cells or their progeny and utilize growth factors from the TGF- β superfamily to preferentially differentiate such transformed neural stem cells to neurons and/or smooth muscle cells.

Summary of the Invention

20 In accordance with the forgoing objects, the invention includes a method for producing a population of mammalian neurons and/or smooth muscle cells comprising contacting at least one mammalian neural stem cell with a culture medium containing one or more growth factors from the TGF- β superfamily. The growth factor may be contained within an extract from mammalian tissue or may be substantially pure. The growth factor or combination of growth
25 factors may administered to cells *in vivo* or *ex vivo*.

The growth factors include the group of biologically active polypeptides which control the growth and differentiation of responsive cells. Examples of these growth factors include but are not limited to; the TGF- β series of growth factors as exemplified by TGF- β 1-TGF- β 4, the BMP series of growth factors as exemplified by BMP-2 and BMP-4.

Brief Description of the Drawings

Figure 1A depicts the migration of rat neural crest cells from the neural tube.

Figure 1B demonstrates the expression of LNGFR and nestin by neural crest cells.

Figures 1C and 1D show the FACS profile from neural crest cells stained with anti-LNGFR (1D) and a control showing the background staining of the secondary antibody (1C).

Figure 2 demonstrates the clonal expansion of LNGFR⁺, nestin⁺ rat neural crest cells.

Figure 3 is a flow chart summarizing experiments demonstrating the multipotency of mammalian neural crest cells.

Figure 4 demonstrates the expression of neuronal traits in clones derived from LNGFR⁺ founder cells.

Figure 5 demonstrates the expression of Schwann cell phenotype by neural crest-derived glia.

Figure 6 shows the expression of peripherin, GFAP, and O₄ in a clone derived from a LNGFR⁺ founder cell.

Figure 7 is a flow chart summarizing experiments demonstrating the self-renewal of mammalian neural crest cells.

Figure 8 demonstrates the self-renewal of multipotent neural crest cells.

Figure 9 demonstrates the multipotency of secondary founder cells.

- 5 Figure 10 provides a flow chart summarizing experiments demonstrating the substrate effect on the fate of mammalian neural crest cells.

Figure 11 demonstrates that the neuronal differentiation of multipotent neural crest cells is affected by their substrate.

- 10 Figure 12 summarizes the percentage of different clone types which result when founder cells are grown on either FN or FN/PDL substrates.

Figure 13 provides a flow chart summarizing experiments demonstrating the instructive effect of the substrate on neural crest cell fate.

- 15 Figure 14 summarizes the percentage of the different clone types which result when founder cells are treated with a PDL lysine overlay at 48 hours (panel A) or day 5 (panel B).

- 20 Figure 15 demonstrates the genetic-engineering of a multipotent neural stem cell. Panel A depicts the expression of *E. coli* β -galactosidase (lacZ) in neural crest stem cells following infection with a lacZ-containing retrovirus. β -galactosidase⁺ cells are indicated by the solid arrows. Panel B depicts neural crest stem cells in phase contrast, in the same microscopic field as shown in Panel A. Cells which do not express β -galactosidase are indicated by open arrows.

Figure 16 demonstrates the specificity of a supernatant from a hybridoma culture producing monoclonal antibody specific to mouse LNGFR.

Supernatants were screened using live Schwann cells isolated from mouse sciatic nerve. Panel A shows that most cells are stained with anti-LNGFR antibody (red staining; open arrows). Panel B shows Schwann cell nuclei counter stained with DAPI. Comparison with Panel A reveals a few cells not labeled by anti-LNGFR antibody (blue staining; open arrows).

Figures 17 A and B depict the identification of smooth muscle cells in neural crest cultures. Cultures of neural crest stem cells were fixed and double-labeled with antibodies to p75-LNGFR (Panel B, orange staining), and SMA (Panel B, green staining). The cultures were also labeled with DAPI, a nuclear dye (Panel B, blue ovals). A phase contrast image of the microscopic field is shown in Figure 17A. Note that the p75+ cells (Panel B, solid arrow) do not express SMA < whereas the SMA+ cells (Panel B, open arrows) do not express p75.

Figures 18 A and B demonstrate that individual neural crest cells can generate neurons, glia and smooth muscle cells. The figures illustrate three views of a clone derived from a single p75+ neural crest founder cell, grown for two weeks in standard medium. A neuron is identifiable in the clone by virtue of peripherin expression (Panel B, arrowhead) and long neurites (Panel A). Glia are identifiable by GFAP expression (Panel C, orange staining, open arrows), and a smooth muscle cell is identified by staining with anti-SMA (Panel C, green staining, closed arrow). Nuclei of all cells have been labeled blue with DAPI (Panel C).

Figures 19 A, B and C demonstrate that smooth muscle cell differentiation is promoted by fetal bovine serum. Shown are three views of a colony of neural crest cells grown in 5% fetal bovine serum. These cells do not

express p75-LNGFR under these conditions. Cells visible by phase-contrast (Panel A) express both SMA (Panel B, red staining) and also desmin (Panel C, green staining).

Figures 20 A and B demonstrate that neural crest-derived smooth muscle cells express calponin. The culture is similar to that in Fig. 19, except the cells were doubly-labeled with anti-SMA (Panel B, red staining) and calponin (Panel B, green staining). Cells that co-express both markers stain orange due to blending of the two colors (Panel B).

Figure 21 demonstrates that bone marrow phogenic protein 2 ("BMP2") induces autonomic neuronal differentiation of NCSCs. NCSCs were cultured in rBMP2 (Panels A-D) or control medium (Panels E and F), fixed and immunostained with antibody to peripherin (Panels B and F) or monoclonal antibody B2 (Panel D) followed by phycoerythrin conjugated second antibody and DAPI counterstain. Phase contrast views presented by way of comparison indicate neuronal phase-bright colonies in Panel A, which are peripherin+ (Panel B) in contrast to the colonies in Panels E and F which are peripherin- resemble undifferentiated neurons.

Figure 22 demonstrates that recombinant BMP2 or TGF β induce differentiation of NCSCs to smooth muscle. NCSCs were cultured in rBMP2 (Panels A-C) or rTGF β (Panels D-F), fixed and triply-labeled with peripherin (second antibody bound to HRP), anti-SMA (green staining) and calponin (red staining). Cells that co-express both SMA and calponin stain counterstain. Phase contrast views are presented for purposes of comparison in Panels A and D. 25% of colonies in rBMP-2 contained SM and neurons (Panels A-C) while 99% of colonies in contained SM-like cells (Panels D-F).

- Figure 23 demonstrates that recombinant BMP2 and TGF- β induce distinct responses over a wide range of doses. Cells cultured in rBMP2 (Panels A and B) or rTGF- β (Panel C) were fixed 4 days after the addition of factors, stained for the markers indicated, and the proportion of different colony types determined. In rBMP2, both neuron-only and SM-only colonies are obtained at essentially all doses. (Panels A and B)
- In rTGF- β , SM-only colonies are evident in a dose-dependent manner (Panel C). At no concentration of TGF β 1 were neurons observed (data not shown, see text Shah et al., 1996).
- Figure 24 demonstrates instructive effects of BMP2 and TGF β 1 based on serial observation of identified clones. Individual founder cells were identified and photographed at day 0 (DO) in control medium, and then rBMPS (Panel B) or rTGF β 1 (Panel C) was added to some plates while others were maintained as controls (Panel A). The same clones were photographed every 24 hr for the next 4 days (D1, D2, etc.) In rBMP2 ([Panel B] column), the founder cell divides and all of its progeny become neurons, whereas in rTGF β 1 ([Panel C] column), all the founder cell progeny become SM-like cells. No dying cells or cell carcasses are observed in any of the developing clones.
- Figure 25 demonstrate that BMP2 induces MASH1 expression in NCSCs based on labeling with an anti-MASH1 monoclonal antibody. NCSCs were grown at clonal density in rBMP2 (Panels A and B) or in control medium (Panels C and D) for 12 hr, then fixed and labeled with anti-MASH1 monoclonal antibody. At 12 hours, ~85% of the colonies cultures in BMP2 were MASH1+ (Panels A and B) as contrasted to those grown in control medium (Panels C and D) wherein very few NCSCs were MASH1+.

Detailed Description of the Invention

- The invention is directed, in part, to the isolation and clonal propagation of non-transformed mammalian neural crest stem cells and to multipotent neural stem cells from other embryonic and adult tissue. The invention also
- 5 includes the production of neural crest stem cell and multipotent neural stem cell derivatives including progenitor and more differentiated cells of the neuronal and smooth muscle lineages. The invention is illustrated using neural crest stem cells isolated from the rat. The invention, however, encompasses all mammalian neural crest stem cells and multipotent neural
- 10 stem cells and their derivatives and is not limited to neural crest stem cells from the rat. Mammalian neural crest stem cells and multipotent neural stem cells and their progeny can be isolated from tissues from human and non-human primates, equines, canines, felines, bovines, porcines, lagomorphs, etc.
- 15 The invention encompasses several important methodological innovations: 1) the use of monoclonal antibodies to the low-affinity Nerve Growth Factor Receptor (LNGFR) as a cell surface marker to isolate and identify neural crest stem cells, a method extensible to other neural stem cell populations as well; 2) the development of cell culture substrates and medium compositions
- 20 which permit the clonal expansion of undifferentiated neural crest cells; 3) the development of culture substrates and medium compositions which permit the differentiation of mammalian neural crest cells into their differentiated derivatives (including but not restricted to peripheral neurons and smooth muscle cells) in clonal culture.
- 25 The invention also provides neural crest stem cells and other multipotent neural stem cells. It is important to understand that such cells could not be identified as stem cells without the development of the isolation and cell culture methodologies summarized above. The identification of a neural stem

cell requires that several criteria be met: 1) that the cell be an undifferentiated cell capable of generating one or more kinds of differentiated derivatives; 2) that the cell have extensive proliferative capacity; 3) that the cell be capable of self-renewal or self-maintenance (Hall et al. (1989)

5 Development 106:619; Potten et al. (1990) Crypt. Development 110:1001).

The concept of a stem cell as obligatorily capable of "unlimited" self-renewal is applicable only to regenerating tissues such as skin or intestine. In the case of a developing embryo stem cells may have limited self-renewal capacity but be stem cells nevertheless. Potten et al. (1990) Crypt.

10 Development 110:1001. The development of clonal culture methods permitted the demonstration of criteria 1 and 2 herein. The development of sub-clonal culture methods (*i.e.*, the ability to clone single neural stem cells, and then re-clone progeny cells derived from the original founder cell) further permitted the demonstration herein of criterion 3.

15 To appreciate the significance of this demonstration, consider an alternative hypothesis for cells from the neural crest: individual undifferentiated neural crest cells divide to generate both neurons and smooth muscle cells (*i.e.*, meet criteria 1 and 2 above), but the daughter cells produced by these initial cell divisions are committed to producing either neurons or smooth muscle
20 cells, but not both. In this case, the neural crest cell is a progenitor cell but not a stem cell, because it does not have self-renewal capacity. If this were the case, then upon sub-cloning of neural crest cell clones, the resulting "secondary" clones could contain either neurons or smooth muscle cells, but not both. This is not observed. Rather, under certain culture conditions,
25 essentially all of the secondary clones contain both neurons and smooth muscle cells, like their parent clones. This experiment thus provides the first definitive evidence that neural progenitor cells from any region of the nervous system have stem cell properties. In no other set of published experiments have these stringent criteria for stem cell properties been met,

despite claims that "stem cells" have been isolated or identified (Cattaneo et al. (1991) Trends Neurosci. 14:338; Reynolds et al. (1992) Science 255:1707) from the mammalian central nervous system. This in part reflects imprecise use of the term "stem cell" and in part the failure to perform
5 adequate experimental tests to support the existence of such cells.

As used herein, the term "non-transformed cells" means cells which are able to grow *in vitro* without the need to immortalize the cells by introduction of a virus or portions of a viral genome containing an oncogene(s) which confers altered growth properties upon cells by virtue of the expression of viral genes
10 within the transformed cells. These viral genes typically have been introduced into cells by means of viral infection or by means of transfection with DNA vectors containing isolated viral genes.

As used herein, the term "genetically-engineered cell" refers to a cell into which a foreign (*i.e.*, non-naturally occurring) nucleic acid, *e.g.*, DNA, has
15 been introduced. The foreign nucleic acid may be introduced by a variety of techniques, including, but not limited to, calcium-phosphate-mediated transfection, DEAE-mediated transfection, microinjection, retroviral transformation, protoplast fusion and lipofection. The genetically-engineered cell may express the foreign nucleic acid in either a transient or long-term
20 manner. In general, transient expression occurs when foreign DNA does not stably integrate into the chromosomal DNA of the transfected cell. In contrast, long-term expression of foreign DNA occurs when the foreign DNA has been stably integrated into the chromosomal DNA of the transfected cell.

As used herein, an "immortalized cell" means a cell which is capable of
25 growing indefinitely in culture due to the introduction of an "immortalizing gene(s)" which confers altered growth properties upon the cell by virtue of expression of the immortalizing gene(s) within the genetically engineered

cell. Immortalizing genes can be introduced into cells by means of viral infection or by means of transfection with vectors containing isolated viral nucleic acid encoding one or more oncogenes. Viruses or viral oncogenes are selected which allow for the immortalization but preferably not the transformation of cells. Immortalized cells preferably grow indefinitely in culture but do not cause tumors when introduced into animals.

As used herein, the term "transformed cell" refers to a cell having the properties of 1) the ability to grow indefinitely in culture and 2) causing tumors upon introduction into animals. "Transformation" refers to the generation of a transformed cell.

As used herein, the term "feeder-cell independent culture" or grammatical equivalents means the growth of cells *in vitro* in the absence of a layer of different cells which generally are first plated upon a culture dish to which cells from the tissue of interest are added. The "feeder" cells provide a substratum for the attachment of the cells from the tissue of interest and additionally serve as a source of mitogens and survival factors. The feeder-cell independent cultures herein utilize a chemically defined substratum, for example fibronectin (FN) or poly-D-lysine (PDL) and mitogens or survival factors are provided by supplementation of the liquid culture medium with either purified factors or crude extracts from other cells or tissues. Therefore, in feeder-cell independent cultures, the cells in the culture dish are primarily cells derived from the tissue of interest and do not contain other cell types required to support the growth of the cells derived from the tissue of interest.

As used herein, the term "clonal density" means a density sufficiently low enough to result in the isolation of single, non-impinging cells when plated in a culture dish, generally about 225 cells/100 mm culture dish.

As used herein, the term "neural crest stem cell" means a cell derived from the neural crest which is characterized by having the properties (1) of self-renewal and (2) asymmetrical division; that is, one cell divides to produce two different daughter cells with one being self (renewal) and the other being
5 a cell having a more restricted developmental potential, as compared to the parental neural crest stem cell. The foregoing, however, is not to be construed to mean that each cell division of a neural crest stem cell gives rise to an asymmetrical division. It is possible that a division of a neural crest stem cell can result only in self-renewal, in the production of more
10 developmentally restricted progeny only, or in the production of a self-renewed stem cell and a cell having restricted developmental potential.

As used herein, the term "multipotent neural stem cell" refers to a cell having properties similar to that of a neural crest stem cell but which is not necessarily derived from the neural crest. Rather, as described hereinafter,
15 such multipotent neural stem cells can be derived from various other tissues including neural epithelial tissue from the brain and/or spinal cord of the adult or embryonic central nervous system or neural epithelial tissue which may be present in tissues comprising the peripheral nervous system. In addition, such multipotent neural stem cells may be derived from other
20 tissues such as lung, bone and the like utilizing the methods disclosed herein. It is to be understood that such cells are not limited to multipotent cells but may comprise a pluripotent cell capable of regeneration and differentiation to different types of neurons, glia, or smooth muscle *e.g.*, PNS and CNS neurons, glia and smooth muscle or progenitors thereof. In this regard, it
25 should be noted that the neural crest stem cells described herein are at least multipotent in that they are capable, under the conditions described, of self-regeneration and differentiation to some but not all types of neurons, glia and smooth muscle *in vitro*. Thus, a neural crest stem cell is a multipotent neural stem cell derived from a specific tissue, *i.e.*, the embryonic neural tube.

- In most embodiments, neural crest stem cells are further characterized by a neural cell-specific surface marker. Such surface markers in addition to being found on neural crest stem cells may also be found on other multipotent neural stems derived therefrom, *e.g.*, glial and neuronal progenitor cells of the peripheral nervous system (PNS) and central nervous system (CNS). An example is the cell surface expression of a nerve growth factor receptor on neural crest stem cells. In rat, humans and monkeys this nerve growth factor receptor is the low-affinity nerve growth factor receptor (LNGFR). Such stem cells may also be characterized by the expression of nestin, an intracellular intermediate filament protein. Neural crest stem cells may be further characterized by the absence of markers associated with mature PNS neuronal or glial cells. In rat, humans and monkeys this nerve growth factor receptor is the low-affinity nerve growth factor receptor (LNGFR). Such stem cells may also be characterized by the expression of nestin, an intracellular intermediate filament protein. Neural crest stem cells may be further characterized by the absence of markers associated with mature PNS neuronal or, glial cells. In the rat, such markers include sulfatide, glial fibrillary acidic protein (GFAP) and myelin protein P₀ in PNS glial cells, and peripherin and neurofilament in PNS neuronal cells.
- LNGFR is a receptor for nerve growth factor, a neurotrophic factor shown to be responsible for neuronal survival *in vivo*. LNGFR is found on several mammalian cell types including neural crest cells and Schwann cells (glial cells of the PNS) as well as on the surface of cells in the ventricular zone throughout the embryonic central nervous systems. (See, *e.g.*, Yan et al. (1988) J. Neurosci. 8:3481-3496 and Heuer, J.G et al. (1980) Neuron 5:283-296 which studied such cells in the rat and chick systems, respectively.) Antibodies specific for LNGFR have been identified for LNGFR from rat monoclonal antibodies 217c (Peng, W.W. et al. (1982) Science 215:1102-1104) and 192-Ig (Brockes, J.P. et al. (1977) Nature 266:364-366 and

- Chandler, C.E. et al. (1984) J. Biol. Chem. 259:6882-6889) and human (Ross, A.H. et al. (1984) Proc. Natl. Acad. Sci. USA 81:6681-6685; Johnson, et al. (1986) Cell 47:545-554; Loy et al. (1990) J. Neurosci Res. 27:651-644). The monoclonal antibody against human LNGFR has been
- 5 reported to cross-react with LNGFR from monkeys (Mufson, E.G. et al. (1991) J. Comp. Neurol. 308:555-575). The DNA sequence has been determined for rat and human LNGFR (Radeke, M.J. et al. (1987) Nature 325:593-597 and Chao, M.V. et al. (1986) Science 232:518-521, respectively) and is highly conserved between rat and human.
- 10 Using the following techniques, monoclonal antibodies specific for LNGFR from any desired mammalian species are generated by first isolating the nucleic acid encoding the LNGFR protein. One protocol for obtaining such nucleic acid sequences uses one or more nucleic acid sequences from a region of the LNGFR gene which is highly conserved between mammalian species,
- 15 *e.g.*, rat and human, as a hybridization probe to screen a genomic library or a cDNA library derived from mammalian tissue from the desired species (Sambrook, J. *et al.* (1989) Cold Spring Harbor Laboratory Press. Molecular Cloning: A Laboratory Manual, 2nd Ed., pp. 8.3-8.80, 9.47-9.58 and 11.45-11.55). The cloned LNGFR sequences are then used to express
- 20 the LNGFR protein or its extracellular (ligand binding) domain in an expression host from which the LNGFR protein is purified. Purification is performed using standard techniques such as chromatography on gel filtration, ion exchange or affinity resins. The purified LNGFR is then used to immunize an appropriate animal (*e.g.*, mouse, rat, rabbit, hamster) to
- 25 produce polyclonal antisera and to provide spleen cells for the generation of hybridoma cell lines secreting monoclonal antibodies specific for LNGFR of the desired species (Harlow, E. *et al.* (1988) Cold Spring Harbor Laboratory Press, Antibodies: A Laboratory Manual, pp. 139-242).

- A novel screening method can be used to detect the production of antibody against LNGFR or any other surface marker which characterizes a multipotent neural stem cell or progeny thereof. The method can be practiced to detect animals producing polyclonal antibodies against a particular antigen or to identify and select hybridomas producing monoclonal antibodies against such antigens. In this method, serum from an immunized animal or supernatant from a hybridoma culture is contacted with a live neural cell which displays a surface marker characteristic of a particular neural cell line. Detection of whether binding has occurred or not is readily determined by any number of known methods. A particularly preferred method is to use labeled antibody which is specific for the immunoglobulins produced by the species which is immunized with the particular antigen and which is a source for polyclonal serum and spleen cells for hybridoma formation.
- 15 The live neural cell used in the foregoing antibody assay is dependent upon the particular surface marker for which an antibody is desired. In the examples, a monoclonal antibody for mouse LNGFR was identified using a dissociated primary culture of Schwann cells. In conjunction with the assay disclosed in the examples, mouse fibroblasts acted as a negative control.
- 20 However, primary cultures of other cell lines can be used to detect monoclonal antibodies to LNGFR. For example, forebrain cholinergic neurons or sensory neurons can be used. In addition, a primary culture of epithelial cells can be used as a negative control.
- Other markers found on neural cells include Platelet Derived Growth Factor Receptor (PDGFR), Fibroblast Growth Factor (FGF) and Stem Cell Factor Receptor (SCFR). Cells useful for detecting monoclonal antibodies to PDGFR and FGF include primary cultures of glial cells or fibroblasts. Negative controls include cultures of epithelial cells and neuroblastomas.

SCFR is expressed on a subset of neuronal cells. Primary cultures of melanocytes or melanoma cells can be used to detect monoclonal antibodies to this receptor. Negative controls include primary cultures of fibroblasts and glial cells.

- 5 It is not always necessary to generate polyclonal or monoclonal antibodies that are species specific. Monoclonal antibodies against an antigenic determinant from one species may react against that antigen from more than one species. For example, as stated above, the antibody directed against the human LNGFR molecule also recognizes LNGFR on monkey cells. When
10 cross-reactive antibodies are available, there is no need to generate antibodies which are species specific using the methods described above.

- Nestin, a second marker in the neural crest stem cell, is an intermediate filament protein primarily located intracellularly, which has been shown to be present in CNS neuroepithelial cells and Schwann cells in the peripheral
15 nervous system of rats (Friedman *et al.* (1990) J. Comp. Neurol. 295:43-51). Monoclonal antibodies specific for rat nestin have been isolated: Rat 401, (Hockfield, S. *et al.* (1985) J. Neurosci. 5(12):3310-3328). A polyclonal rabbit anti-nestin antisera has been reported which recognizes mouse nestin. Reynolds, D.A. *et al.* (1992) Science 255:1707-1710). The DNA sequences
20 encoding the rat nestin gene have been cloned. Lendahl, U. *et al.* (1990) Cell 60:585-595). These DNA sequences are used to isolate nestin clones from other mammalian species. These DNA sequences are then used to express the nestin protein and monoclonal antibodies directed against various mammalian nestins are generated as described above for LNGFR.

- 25 Glial fibrillary acidic protein (GFAP) is an intermediate filament protein specifically expressed by astrocytes and glial cells of the CNS and by Schwann cells, the glial cells of the PNS (Jessen, K.R. *et al.* (1984) J.

- Neurocytology 13:923-934 and Fields, K.L. et al. (1989) J. Neuroimmuno. 8:311-330). Monoclonal antibodies specific for GFAP have been reported (Debus et al. (1983) Differentiation 25:193-203). Mouse and human GFAP genes have been cloned (Cowan, N.J. et al. (1985) N.Y. Acad. Sci. 455:575-582 and Bongcamrudlowss, D. et al. (1991) Cancer Res. 51:1553-1560, respectively). These DNA sequences are used to isolate GFAP clones from other mammalian species. These DNA sequences are then used to express the GFAP protein and monoclonal antibodies directed against various mammalian GFAPs are generated as described above for LNGFR.
- 10 As used herein, the term "neuronal progenitor cell" refers to a cell which is intermediate between the fully differentiated neuronal cell and a precursor multipotent neural stem cell from which the fully differentiated neuronal cell develops. In general, such neuronal progenitor cells are derived according to the methods described herein for isolating such cells from various tissues
- 15 including adult and embryonic CNS and PNS tissue as well as other tissues which may potentially contain such progenitors.

As used herein, the term "PNS neuronal progenitor cell" means a cell which has differentiated from a mammalian neural crest stem cell which is committed to one or more PNS neuronal lineages and is a dividing cell but

20 does not yet express surface or intracellular markers found on more differentiated, non-dividing PNS neuronal cells. Such progenitor cells are preferably obtained from neural crest stem cells isolated from the embryonic neural crest which have undergone further differentiation. However, equivalent cells may be derived from other tissue. When PNS neuronal

25 progenitor cells are placed in appropriate culture conditions they differentiate into mature PNS neurons expressing the appropriate differentiation markers, for example, peripherin, neurofilament and high-polysialic acid neural cell adhesion molecule (high PSA-NCAM).

Peripherin, a 57 kDa intermediate filament protein, is expressed in adult rodents primarily in peripheral neurons. More limited expression of peripherin is found in some motoneurons of the spinal cord and brain stem and a limited group of CNS neurons. Peripherin is expressed in rat embryos
5 primarily in neurons of peripheral ganglia and in a subset of ventral and lateral motoneurons in the spinal cord. Gorham, J.D. *et al.* (1990) Dev. Brain Res. 57:235-248. Antibodies specific for this marker have been identified in the rat (Portier, M. *et al.* (1983/84) Dev. Neurosci. 6:335-344). The DNA sequences encoding the rat peripherin gene have been cloned.
10 Thompson, M.A. *et al.* (1989) Neuron 2:1043-1053. These DNA sequences are used to isolate DNA sequences for the peripherin gene in other mammals that are used to express the protein and generate antibodies directed against other mammalian peripherin proteins, as described above for LNGFR.

Neurofilaments are neuron-specific intermediate filament proteins. Three
15 neurofilament (NF) proteins have been reported: NF68, a 68 kD protein also called NF-L (Light); NF160, a 160 kD protein also called NF-M (Medium); NF200, a 200 kD protein also called NF-H (Heavy). In general, there is coordinate expression of all three NF proteins in neurons. The DNA sequences encoding the rat NF200 and NF160 proteins have been cloned
20 (Dautigny, A. *et al.* (1988) Biochem. Biophys. Res. Commun. 154:1099-1106 and Napolitano, E.W. *et al.* (1987) J. Neurosci. 7:2590-2599, respectively). All three NF protein genes have been cloned in mice and humans. Mouse NF68 nucleic acid sequences were reported in Lewis, S.A. *et al.* (1985) J. Cell Biol. 100:843-850. Mouse NF160 nucleic acid
25 sequences were reported in Levy, E. *et al.* (1987) Eur. J. Biochem. 166:71-77. Mouse NF200 nucleic acid sequences were reported in Shneidman, P.S. *et al.* (1988) Mol. Brain Res. 4:217-231. In humans, nucleic acid sequences were reported for: NF68, Julien, J.-P. *et al.* (1987) Biochem. Biophys. Acta. 909:10-20; NF160, Myers, M.W. *et al.* (1987) EMBO J. 6:1617-1626;

NF200, Lee, J.F. et al. (1988) EMBO J. 7:1947-1955. These DNA sequences are used to produce the protein for the production of antibodies or to isolate other mammalian NF genes and the proteins expressed and antibodies generated for any desired species, as described above for LNGFR.

- 5 As used herein, the term "NF+" means expression of one or more of the three NF proteins.

As used herein, the term "factors permissive for PNS neuronal cell differentiation" means compounds, such as, but not limited to, protein or steroid molecules or substrates such as FN or PDL, which permit at least a
10 neural crest stem cell to become restricted to the PNS neuronal lineage. Such lineage-restricted progeny of neural crest stem cells include PNS neuronal progenitor cells, which are at least bipotential, in that they can divide to give rise to self, as well as, more mature, non-dividing PNS neurons.

- As used herein, the term "growth factors from the TGF- β superfamily"
15 means growth factors related to transforming growth factor beta-1 ("TGF β -1"). Such TGF- β superfamily growth factors may or may not exert a similar biological effect to TGF β -1, the prototypic member of the TGF- β superfamily. In recombinant TGF- β 1 ("rTGF- β 1") virtually all neural crest stem cell colonies differentiate to SM cells under specified culture conditions.
20 Shah et al.(1996) Cell 85:331-343. TGF β 2 and TGF β 3 yielded similar results as TGF β 1. Shah et al.(1996) Cell 85:331-343, data not shown. By way of example, members of the TGF- β superfamily of growth factors include but are not limited to naturally occurring analogues (e.g. TGF β -2, β -3, β 4), and any known synthetic or natural analogues of TGF β -1 in addition to related
25 growth factors exemplified by bone marrow phogenic proteins 2 and 4 ("BMP-2" and "BMP-4"). These compounds can be purified from natural sources or may be produced by recombinant DNA techniques and may or may not be substantially pure. Variants and fragments retaining the property

of causing differentiation are included in the definition of the members of this superfamily.

As used herein, the term bone marrow phogenic protein ("BMP") refers to a group of growth factors which are members of the TGF- β superfamily.

- 5 Under comparable culture conditions BMP2 and BMP4 produced neurons and some SM cells, while TGF β 1 produced only SM cells. Shah et al.(1996) Cell 85:331-343. As indicated in the examples, when neural stem cells are contacted with certain factors permissive for neuronal and glial cell differentiation, such cells differentiated into neurons, glia and a
- 10 subpopulation referred to as "O" cells. As disclosed in Example 10, these O cells are, in fact, smooth muscle cells. Thus, at least some of the factors which are permissive for differentiation to neuronal and/or glial cells are also permissive for the differentiation of neural stem cells to smooth muscle cells. However, as also indicated in Example 10, there are factors which are
- 15 instructive for smooth muscle cell differentiation. The growth factors described herein can be administered individually or in combination with each other.

- In this regard, the term "instructive factor" or grammatical equivalents refers to one or more factors which are capable of causing the differentiation of
- 20 neural stem cells primarily to a single lineage, *e.g.*, glial, neuronal or smooth muscle cell. Thus, a factor which is instructive for smooth muscle cell differentiation is one which causes differentiation of neural stem cells to smooth muscle cells at the expense of the differentiation of such stem cells into other lineages such as glial or neuronal cells. As indicated in Example
- 25 10, mammalian serum contains one or more factors which are instructive factors for the production of smooth muscle cells.

Having identified that mammalian serum contains one or more instructive factors for smooth muscle cell differentiation, such instructive factors can be identified by fractionating mammalian serum and adding back one or more such fractions to a neural stem cell culture to identify one or more fractions
5 containing instructive factors for smooth muscle cell differentiation. Positive fractions can then be further fractionated and reassayed until the one or more components required for instructive differentiation to smooth muscle cells are identified.

Mammalian neural crest stem cell compositions are provided which serve as a
10 source for neural crest cell derivatives such as neuronal and glial progenitors of the PNS which in turn are a source of PNS neurons and glia. Methods are provided for the isolation and clonal culture of neural crest stem cells, in the absence of feeder cells. In the examples provided, these methods utilize a chemically defined medium which is supplemented with chick embryo extract
15 as a source of mitogens and survival factors. Factors present in the extract of chicken embryos allow the growth and self renewal of rat neural crest stem cells. However, media used to isolate and propagate rat neural crest stem cells can be used to isolate and propagate neural crest stem cells from other mammalian species, such as human and non-human primates, equines,
20 felines, canines, bovines, porcines, lagomorphs, etc.

Culture conditions provided herein allow the isolation self-renewal and differentiation of mammalian neural crest stem cells and their progeny. These culture conditions may be modified to provide a means of detecting and evaluating growth factors relevant to mammalian neural crest stem cell
25 self-renewal and the differentiation of the stem cell and its progeny. These modifications include, but are not limited to, changes in the composition of the culture medium and/or the substrate and in the specific markers used to identify either the neural crest stem cell or their differentiated derivatives.

Culture conditions are provided which allow the differentiation of mammalian neural crest stem cells into the PNS neuronal, glial and smooth muscle lineages in the absence of feeder cell layers. In addition to liquid culture media, these culture conditions utilize a substratum comprising fibronectin alone or in combination with poly-D-lysine. In the examples provided, human fibronectin is utilized for the culturing of rat neural crest stem cells and their progeny. Human fibronectin can be used for the culturing of neural crest stem cells isolated from avian species as well as from any mammal, as the function of the fibronectin protein is highly conserved among different species. Cells of many species have fibronectin receptors which recognize and bind to human fibronectin.

In order to isolate the subject neural crest stem cells, it is necessary to separate the stem cell from other cells in the embryo. Initially, neural crest cells are obtained from mammalian embryos.

For isolation of neural crest cells from mammalian embryos, the region containing the caudal-most 10 somites are dissected from early embryos (equivalent to gestational day 10.5 day in the rat). These trunk sections are transferred in a balanced salt solution to chilled depression slides, typically at 4°C, and treated with collagenase in an appropriate buffer solution such as Howard's Ringer's solution. After the neural tubes are free of somites and notochords, they are plated onto fibronectin (FN)-coated culture dishes to allow the neural crest cells to migrate from the neural tube. Twenty-four hours later, following removal of the tubes with a sharpened tungsten needle, the crest cells are removed from the FN-coated plate by treatment with a Trypsin solution, typically at 0.05%. The suspension of detached cells is then collected by centrifugation and plated at an appropriate density, generally 225 cells/100mm dish in an appropriate chemically defined medium. This medium is preferentially free of serum and contains

components which permit the growth and self-renewal of neural crest stem cells. The culture dishes are coated with an appropriate substratum, typically a combination of FN and poly-D-lysine (PDL).

Procedures for the identification of neural crest stem cells include incubating
5 cultures of crest cells for a short period of time, generally 20 minutes, at room temperature, generally about 25°C, with saturating levels of antibodies specific for a particular marker, *e.g.*, LNGFR. Excess antibody is removed by rinsing the plate with an appropriate medium, typically L15 medium (Gibco) supplemented with fresh vitamin mix and bovine serum albumin (L-
10 15 Air). The cultures are then incubated at room temperature with a fluorochrome labeled secondary antibody, typically Phycoerythrin R-conjugated secondary antibody (TAGO) at an appropriate dilution for about 20 minutes. Excess secondary antibodies are then removed using an appropriate medium, such as L-15 Air. The plates are then covered with the
15 chemically defined growth medium and examined with a fluorescence microscope. Individual LNGFR⁺ clones are isolated by fluorescence activated cell sorting (FACS) or, more typically, by marking the plate under the identified clone. The markings are typically made to a diameter of 3-4 mm, which generally allows for the unambiguous identification of the
20 progeny of the founder cell at any time during an experiment. If desired, individual LNGFR⁺ clones are removed from the original plate by trypsinization with the use of cloning cylinders.

Procedures for permitting the differentiation of stem cells include the culturing of isolated stem cells in a medium permissive for differentiation to a
25 desired lineage, such as Schwann cell differentiation (SCD) medium. Other procedures include growth of isolated stem cells on substrates capable of permitting differentiation, such as FN or FN and PDL.

Procedures for the serial subcloning of stem cells and their derivatives include the trypsinization of individual clones, as described above, followed by replating the clone on a desired substrate and culturing in a desired medium, such as a chemically defined medium suitable for maintenance of stem cells or SCD medium permissive for the differentiation of said neural crest stem cells. Crest cells may be identified following serial subcloning by live-cell labeling with an antibody directed against LNGFR, as described above.

It has been demonstrated that neurogulin/GGF can instructively influence multipotent, self-renewing rodent neural crest stem cells (NCSCs) (Stemple and Anderson (1992) Cell 71:973-985) to differentiate to glia *in vitro* (Shah et al. (1994) Cell 77:349-360; while this study demonstrated that the fate could be promoted by an environmental signal, it left open the question of how alternative fates might be chosen. For example, the neuronal fate of NCSCs, might be promoted by other extrinsic cues. Alternatively, neural crest cells might be predisposed to select a neuronal fate in the absence of extrinsic influence. Indeed, in many systems developmental decision have been suggested to involve one fate that is promoted by an extracellular signal and an alternative fate that is assumed in the absence of that signal, as if by default. (Raff (1989) Science 243:1450-1455; Kelly and Melton (1995) Trends Genet. 11:273-278; Shah et al. (1996) Cell 85:331-343.)

The mechanisms controlling binary fate decisions by developmentally equivalent cells are being rapidly elucidated by genetic analysis (Greenwald and Rubin (1992) Cell 68:271-281; Ghysen et al. (1993) Genes Dev. 7:723-733. In contrast, the process whereby a multiplicity of differentiated cell types is generated from pluripotent stem cells is less well understood. This problem has been studied in the context of hematopoiesis, in vertebrates (for reviews, see Dexter et al. (1990) Phil. Trans. R. Soc. Lond. (B) 327:85-98;

Shah et al.(1996) Cell 85:331-343). In this system, growth factors such as erythropoietin (EPO) have been isolated that influence the development of cells in a particular lineage (Clark and Kaman (1987) Science 236:1229-1237; Metcalf (1989) Nature 339:27-30; Krantz (1991) Blood 77:419-434. A
5 major issue has been whether these growth factors act by instructing multipotent cells to commit to one lineage at the expense of others (Metcalf and Burgess (1982) Cell. Physiol. 111:27 5-283, or whether they prevent the death of lineage-committed progenitor that have been generated by a stochastic mechanism (see Ogawa (1993) Blood 81:2844-2853, and
10 references therein). The available evidence favors the latter alternative. Shah et al.(1996) Cell 85:331-343.

The methods described herein provide the basis of functional assays which allow for the identification and production of cellular compositions of mammalian cells which have properties characteristic of neural crest stem
15 cells, glial, neuronal, smooth muscle progenitor cells or multipotent stem cell precursor of such progenitor cells. In order to isolate such cells from tissues other than embryonic neural tubes, it is necessary to separate the progenitor and/or multipotent stem cells from other cells in the tissue. The methods presented in the examples for the isolation of neural crest stem cells from
20 neural tubes can be readily adapted for other tissues by one skilled in the art. First, a single cell suspension is made from the tissue; the method used to make this suspension will vary depending on the tissue utilized. For example, some tissues require mechanical disruption of the tissue while other tissues require digestion with proteolytic enzymes alone or in combination
25 with mechanical disruption in order to create the single cell suspension. Tissues such as blood already exists as a single cell suspension and no further treatment is required to generate a suspension, although hypotonic lysis of red blood cells may be desirable. Once the single cell suspension is generated it may be enriched for cells expressing LNGFR or other neural

cell-specific markers on their surface. One protocol for the enrichment for LNGFR⁺ cells is by incubating the cell suspension with antibodies specific for LNGFR and isolating the LNGFR⁺ cells. Enrichment for cells expressing a neural cell-specific surface marker is particularly desirable when

5 these cells represent a small percentage (less than 5%) of the starting population. The isolation of cells which have complexed with an antibody for a neural cell-specific surface marker such as is carried out using any physical method for isolating antibody-labeled cells. Such methods include fluorescent-activated cell sorting in which case the cells, in general, are

10 further labeled with a fluorescent secondary antibody that binds the anti-LNGFR antibody, *e.g.*, mouse anti-LNGFR and fluorescein label goat anti-mouse IgG; panning in which case the antibody-labeled cells are incubated on a tissue-culture plate coated with a secondary antibody; Avidin-sepharose chromatography in which the anti-LNGFR antibody is biotinylated prior to

15 incubation with the cell suspension so that the complexed cells can be recovered on an affinity matrix containing avidin (*i.e.*, where the antibody is an antibody conjugate with one of the members of a binding pair); or by use of magnetic beads coated with an appropriate anti-antibody so that the labeled LNGFR-expressing cells can be separated from the unlabeled cells with the

20 use of a magnet. All of the foregoing cell isolation procedures are standard published procedures that have been used previously with other antibodies and other cells.

The use of antibodies specific for neural stem cell-specific surface markers results in the isolation of multipotent neural stem cells from tissues other than

25 embryonic neural tubes. For example, as previously indicated, LNGFR is expressed in cells of the ventricular zone throughout the embryonic central nervous system of the rat and chick. This implies that other mammalian species have a similar pattern of LNGFR expression and studies in human with monoclonal antibodies against the human LNGFR (Loy, et al. (1990) J.

- Neurosci. Res. 27:651-654) are consistent with this expectation. Since cells from the ventricular zone (Cattaneo et al. (1991) Trends Neurosci. 14:338-340; Reynolds et al. (1992) Science 255:1707-1710) are likely to be stem cells (Hall et al. (1989) Development 106:619-633; Potter et al. (1990) Development 110:1001-1020) antibodies to neural cell-specific surface markers should prove useful in isolating multipotent neural stem cells from the central and peripheral nervous systems and from other tissue sources.

- Alternatively, or in conjunction with the above immuno-isolation step, the cells are plated at clonal density, generally 225 cells/100 mm dish, in an appropriate chemically defined medium on a suitable substrate as described in the examples for isolation of rat neural crest stem cells. The presence of neural crest-like stem cells (*e.g.*, a multipotent neural stem cell) is confirmed by demonstrating that a single cell can both self-renew and differentiate to members of at least the PNS neuronal, glial and smooth muscle lineages utilizing the culture conditions described herein. Other types of multipotent neural stem cells are identified by differentiation to other cell types such as CNS neural or glial cells or their progenitors. Depending upon the source of the tissue used in the foregoing methods, multipotent neural stem cells may not be obtained. Rather, further differentiated cell types such as glial, neuronal or smooth muscle progenitor cells may be obtained.

- The identification of the large, flat cells that develop in the presence of TGF β 1 (and, to a lesser extent, in rBMP2) as SM cells is based on their morphology (Chamley-Campbell et al. (1979) Physiol. Rev. 59:1-61 and expression of two SM-specific markers, calponin and α SMA (Skalli et al. (1986) J. Cell Biol. 103:2787-2796; Gimona et al., (1990) FEBS Lett. 274:159-162). Although each of these markers can occasionally be expressed by some non-SM cells, the co-expression of both markers by many individual cells in cultures makes it likely that these cells are in fact SM.

SM cells are one of the normal derivatives of the neural crest, although in avians they derive from an anterior region of the neural crest (the cardiac neural crest; Kirby (1987) Pediatr. Res. 21:219-224), rather than from the trunk region (which corresponds to the region from which our NCSCs are obtained). The trunk crest has the capacity to give rise to SM if transplanted to anterior regions (Nakamura and Ayer-Le Lievre (1982) J. Embryol. Exp. Morphol. 70:1-19. Therefore, the ability to elicit SM differentiation from rodent trunk NCSCs may reflect a developmental potential that is available to these cells *in vivo*. The available fate mapping data (Serbedzija et al. (1990) Development 108:605-612) do not exclude a contribution of trunk neural crest to SM in mammals.

Although the development of SM cells is of considerable relevance for human disease (Kirby and Waldo (1990) Circulation 82:332-340, their development from precursor cells in mammals is poorly understood (see Schwartz et al. (1990; Owens, (1995) Physiol. Rev. 75:487-517 and references therein). While SM cell differentiation has been obtained from cell lines such as ES-like cells (Edwards et al. (1983) Mol. Cell. Biol. 3:2280-2286, the present invention represents the first case in which de novo differentiation of these cells from a naturally occurring precursor has been elicited *in vitro*. Such a system should open the way to further studies aimed at understanding the factors that control the differentiation and maturation of this important cell type. Chamley-Campbell et al. (1979) Physiol. Rev. 59:1-61.

TGF β 1 super family members may be utilized to instructively influence cell fate decisions, rather than selectively support survival of lineage-committed progenitors. Shah et al. (1996) Cell 85:331-343. Members of the TGF- β superfamily of growth factors are expressed at sites where autonomic neurons differentiate. Shah et al. (1996) Cell 85:331-343. For example, bone morphogenic protein 2 (BMP2) promotes rapid induction of the autonomic

lineage-specific basic-helix-loop-helix protein MASH1 and autonomic neurogenesis *in vitro*. Some SM cell differentiation is also observed in BMP2 in contrast to TGF β 1, the prototypic member of the TGF β superfamily, which drives virtually all NCSCs to a SM fate. Shah et al.(1996) Cell 85:331-343.

A clonal culture system has been developed(Stemple and Anderson (1992) Cell 71:973-985) which has permitted detailed investigation of the action of growth factors on rodent neural crest cells. Initially, the promotion of neuregulin/GGF on glial as opposed to neuronal differentiation was demonstrated. Shah et al. (1994) Cell 77:349-360. More recently, SM differentiation has been added to the NCSC repertoire and as being promoted by TGF β 1. In contrast, a related factor, BMP2/4, promotes primarily autonomic neuronal differentiation although some SM differentiation is observed. Clonal analysis and serial observations of living clones strongly indicates that both TGF β 1 and BMP2 act instructively rather than selectively. The expression patterns of BMP2/4 (Bitgood and McMahon (1995) Dev. Biol. 172:126-138; Lyons et al. (1995) Mech. Dev. 50:71-83, TGF β 1 (Akhurst et al.(1990) Development 108:645-656; Millan et al. (1991) Development 111:131-144 ; Dickson et al. (1993) Development 117:625-639 and neurogulin (Marchionni et al. (1993) Nature 362:312-318; Meyer and Burchmeier (1994) Proc. Natl. Sci. USA 91:1064-1068; Shah et al. (1994) Cell 77:349-360) *in vivo* are consistent with the roles suggested for them by these *in vitro* experiments.

Transplantation assay systems described herein provide the basis of functional assays which allow for the identification of mammalian cells which have properties characteristic of neural crest stem cells, multipotent neural stem cells and/or neuronal, glial or smooth muscle progenitor cells. Cells of interest, identified by either the *in vivo* or *in vitro* assays described above,

are transplanted into mammalian hosts using standard surgical procedures. Using standard techniques, it is possible to deliver neural crest cells to a developing mammalian or avian embryo or to any tissue or compartment of the adult animal (*e.g.*, brain, peritoneal cavity, etc.). The transplanted cells and their progeny are distinguished from the host cells by the presence of species specific antigens or by the expression of an introduced marker gene. The transplanted cells and their progeny are also stained for markers of mature neurons and glia in order to examine the developmental potential of the transplanted cells. This transplantation assay provides a means to identify neural crest stem cells by their functional properties in addition to the *in vitro* culture assays described above.

Additionally, the transplantation of cells having characteristics of multipotent neural stem cells, neural crest stem cells or progenitors of neuronal, glial or smooth muscle cells provides a means to investigate the therapeutic potential of these cells for neurological disorders of the PNS and CNS in animal models. Examples of PNS disorders in mice include the *trembler* and *shiverer* strains. The *trembler* mutation involves a defect in the structural gene for peripheral myelin protein 22 (PMP22). This mutation results in the defective myelination of axons in the PNS. An analogous disorder is seen in humans. Charcot-Marie-Tooth syndrome, which results in progressive neuropathic muscular atrophy.

The *shiverer* mutation in mice results in a severe myelin deficiency throughout the CNS and a moderate hypo-myelination in the PNS. Severe shivering episodes are seen 12 days after birth. An analogous disorder is seen in humans, Guillaum-Barre' disease, which is characterized by an acute febrile polyneuritis.

Cells having characteristics of multipotent neural stem cells, neural crest stem cells or neuronal, glial or smooth muscle progenitors of the PNS or CNS (identified by either *in vitro* or *in vivo* assays) are introduced into a mammal exhibiting a neurological disorder to examine the therapeutic potential of these cells. These cells are preferably isolated from a mammal having similar MHC genotypes or the host mammal is immunosuppressed using drugs such as cyclosporin A. The cells are injected into an area containing various peripheral nerves known to be effected in a particular mammal or into the spinal cord or brain for mammals which show involvement of the CNS. The cells are injected at a range of concentrations to determine the optimal concentration into the desired site. Alternatively, the cells are introduced in a plasma clot or collagen gel to prevent rapid dispersal of cells from the site of injection. The effect of this treatment on the neurological status of the model animal is noted. Desired therapeutic effects in the above mutant mice include the reduction or cessation of seizures or improved movement of lower motor extremities.

There is strong interest in identifying the multipotent neural stem cells such as the neural crest stem cell and defining culture conditions which allow the clonal propagation and differentiation of said stem cells. Having possession of a multipotent neural stem cell or a neural crest stem cell allows for identification of growth factors associated with self regeneration. In addition, there may be as yet undiscovered growth factors associated with (1) with the early steps of restriction of the stem cell to a particular lineage; (2) the prevention of such restriction; and (3) the negative control of the proliferation of the stem cell or its derivatives.

The multipotent neural stem cell, neural crest stem cell, progeny thereof or immortalized cell lines derived therefrom are useful to: (1) detect and evaluate growth factors relevant to stem cell regeneration; (2) detect and

isolate ligands, such as growth factors or drugs, which bind to receptors expressed on the surface of such cells or their differentiated progeny (*e.g.*, Glial Growth Factor (GGF), Heregulin and Neu Differentiation Factor (NDF)); (3) provide a source of cells which express or secrete growth factors specific to multipotent neural stem cells; (4) detect and evaluate other growth factors relevant to differentiation of stem cell derivatives, such as neurons, glia and smooth muscle; (5) produce various neural stem cell derivatives, including both the progenitors and mature cells of a given lineage and (6) provide a source of cells useful for treating neurological diseases of the PNS and CNS in model animal systems and in humans. The culture conditions used herein allow for the growth and differentiation of stem cells *in vitro* and provide a functional assay whereby mammalian tissues can be assayed for the presence of cells having the characteristics of neural stem cells. The transplantation assay described herein also provides a functional assay whereby mammalian neural stem cells may be identified.

Once identified and propagated, the stem cells may be subsequently preferentially differentiated into neurons or smooth muscle cells using media containing growth factors. The use of growth factors from the TGF- β superfamily is illustrated in Example 11, as exemplified by TGF- β and BMP2. The appropriate dose of TGF- β superfamily growth factors may be determined in a number of ways which will depend on the TGF- β superfamily growth factor used. As shown for TGF- β and BMP2 in Figure 24, an initial range of doses may be tested and an appropriate range set. For example, 0.0001 to about 100nM may be used with a preferred range from about 0.001 to about 10nM. The most preferred dose range may vary depending upon the TGF- β superfamily growth factor used. Shah et al.(1996) Cell 85:331-343.

It is understood by those skilled in the art that this dose may vary depending on the purity and particular preparation of the TGF- β superfamily growth factor. In the most preferred embodiment, the TGF- β superfamily growth factor is substantially pure. In other embodiments, the TGF- β superfamily growth factor is in culture media and may be one of many ingredients in the culture media.

While the examples have focused on stem cells derived from the peripheral nervous system (PNS), *i.e.* from the neural crest, those skilled in the art would appreciate that the use of TGF- β superfamily growth factors to differentiate stem cells into neurons and smooth muscle cells is not limited to PNS-derived cells. Rather, the method can be used on stem cells derived from the central nervous system (CNS). Putative CNS stem cells have been reported (see WO 93/01275 and Reynold et al., Science 255:1707 (1992)). The effective dosages for CNS stem cells can be determined in the same way as for PNS-derived stem cells.

As indicated in the examples, neural crest stem cells have been passaged for at least six-ten generations in culture. Although it may be unnecessary to immortalize those or other multipotent neural stem cell lines or progenitor cell lines obtained by the methods described herein, once a cell line has been obtained it may be immortalized to yield a continuously growing cell line useful for screening trophic or differentiation factors or for developing experimental transplantation therapies in animals. Such immortalization can be obtained in multipotent neural stem cells or progenitors of glial, neuronal and smooth muscle cells by genetic modification of such cells to introduce an immortalizing gene.

Examples of immortalizing genes include: (1) nuclear oncogenes such as *v-myc*, *N-myc*, T antigen and Ewing's sarcoma oncogene (Fredericksen et al.

- (1988) Neuron 1:439-448; Bartlett, P. et al. (1988) Proc. Natl. Acad. Sci. USA 85:3255-3259, and Snyder, E.Y. et al. (1992) Cell 68:33-51), (2) cytoplasmic oncogenes such as bcr-abl and neurofibromin (Solomon, E. et al. (1991) Science 254:1153-1160), (3) membrane oncogenes such as neu and ret (Aaronson, A.S.A (1991) Science 254:1153-1161), (4) tumor suppressor genes such as mutant p53 and mutant Rb (retinoblastoma) (Weinberg, R.A. (1991) Science 254:1138-1146), and (5) other immortalizing genes such as Notch dominant negative (Coffman, C.R. et al. (1993) Cell 23:659-671). Particularly preferred oncogenes include v-myc and the SV40 T antigen.
- 10 Foreign (heterologous) nucleic acid may be introduced or transfected into multipotent neural stem cells or their progeny. A multipotent neural stem cell or its progeny which harbors foreign DNA is said to be a genetically-engineered cell. The foreign DNA may be introduced using a variety of techniques. In a preferred embodiment, foreign DNA is introduced into
- 15 multipotent neural stem cells using the technique of retroviral transfection. Recombinant retroviruses harboring the gene(s) of interest are used to introduce marker genes, such as the *E. coli* β -galactosidase (lacZ) gene, or oncogenes. The recombinant retroviruses are produced in packaging cell lines to produce culture supernatants having a high titer of virus particles
- 20 (generally 10^5 to 10^6 pfu/ml). The recombinant viral particles are used to infect cultures of the neural stem cells or their progeny by incubating the cell cultures with medium containing the viral particles and 8 μ g/ml polybrene for three hours. Following retroviral infection, the cells are rinsed and cultured in standard medium. The infected cells are then analyzed for the uptake and
- 25 expression of the foreign DNA. The cells may be subjected to selective conditions which select for cells that have taken up and expressed a selectable marker gene.

In another preferred embodiment, the foreign DNA is introduced using the technique of calcium-phosphate-mediated transfection. A calcium-phosphate precipitate containing DNA encoding the gene(s) of interest is prepared using the technique of Wigler et al. (1979) Proc. Natl. Acad. Sci. USA 76:1373-

5 1376. Cultures of the neural stem cells or their progeny are established in tissue culture dishes. Twenty four hours after plating the cells, the calcium phosphate precipitate containing approximately 20 μ g/ml of the foreign DNA is added. The cells are incubated at room temperature for 20 minutes. Tissue culture medium containing 30 μ M chloroquine is added and the cells
10 are incubated overnight at 37°C. Following transfection, the cells are analyzed for the uptake and expression of the foreign DNA. The cells may be subjected to selection conditions which select for cells that have taken up and expressed a selectable marker gene.

The following is presented by way of example and is not to be construed as a
15 limitation on the scope of the invention. Further, all references referred to herein are expressly incorporated by reference.

EXAMPLE 1

Preparation of Neural Crest Cells

For a given preparation 5-10 timed pregnant female Sprague-Dawley rats
20 (Simonson Laboratories, Gilroy, California) were killed by CO₂ asphyxiation. Embryos were removed and placed into Hank's Balanced Salt Solution (HBSS) (Gibco, Grand Island, New York) at 4°C for 2-4 hours. Under a dissecting microscope, at room temperature, a block of tissue from a region corresponding to approximately the caudal most 10 somites was
25 dissected from each embryo using an L-shaped electrolytically sharpened tungsten needle. Trunk sections were transferred in HBSS into one well of a

3 well depression slide that had been chilled to 4°C. Trunk sections were treated with collagenase (152 units/mg) (Worthington Biochemical, Freehold, New Jersey) made to a concentration of 0.75 mg/ml in Howard's Ringer's solution (per 1 liter of dH₂O: NaCl 7.2g; CaCl₂ 0.17g; KCl 0.37g) and sterilized, by passage through a 0.22 µm filter prior to use. The collagenase solution was exchanged at least 3 times and with each exchange the trunk sections were vigorously triturated by passage through a pasteur pipet. After incubation at 37°C for 20 minutes in humidified CO₂ atmosphere, the trunk sections were triturated very gently until most of the neural tubes were free and clean of somites and notochords. The collagenase solution was quenched by repeated exchanges with cold complete medium (described below). The neural tubes were plated onto fibronectin-coated (substrate preparation is described below) 60mm tissue culture dishes (Corning, Corning, New York) that had been rinsed with complete medium. After a 30 minute incubation to allow the neural tubes to attach, dishes were flooded with 5 ml of medium. After a 24 hour culture period, using an L-shaped electrolytically sharpened tungsten needle and an inverted phase contrast microscope equipped with a 4X objective lens, each neural tube was carefully scraped away from the neural crest cells that had migrated onto the substrate. Crest cells were removed by a 2 minute 37°C treatment with 0.05% Trypsin solution (Gibco). The cells were centrifuged for 4 minutes at 2000 r.p.m. and the pellet was resuspended into 1 ml of fresh complete medium. Typically the cells were plated at a density of 225 cells/100 mm dish.

Substrate Preparation

25 A. Fibronectin (FN) Substrate

Tissue culture dishes were coated with human plasma fibronectin (New York Blood Center, New York, New York) in the following way. Lyophilized fibronectin was resuspended in sterile distilled water (dH₂O) to a concentration of 10 mg/ml and stored at -80°C until used. The fibronectin

stock was diluted to a concentration of 250 mg/ml in Dulbecco's phosphate buffered saline (D-PBS) (Gibco). The fibronectin solution was then applied to tissue culture dishes and immediately withdrawn.

B. Poly-D-Lysine (PDL) and FN Substrate

- 5 Sterile poly-D-Lysine (PDL) was dissolved in dH₂O to as concentration of 0.5 mg/ml. The PDL solution was applied to tissue culture plates and immediately withdrawn. The plates were allowed to dry at room temperature, rinsed with 5 ml of dH₂O and allowed to dry again. Fibronectin was then applied, as described above, over the PDL.

10

EXAMPLE 2

Development of a Defined Medium for
the Growth of Rat Neural Crest Stem Cells

- A serum-free, chemically defined basal medium was developed based on the formulations of several existing defined media. This basal medium consists
15 of L15-CO₂ formulated as described by Hawrot, E. *et al.* (1979) Methods in Enzymology 58:574-583 supplemented with additives described by Bottenstein, J.E. *et al.* (1979) Proc. Natl. Acad. Sci. USA 76:514-517 and further supplemented with the additives described by Sieber-Blum, M. *et al.* (1985) Exp. Cell Res. 158:267-272. The final recipe is given here: to L15-
20 CO₂ add, 100 µg/ml transferrin (Calbiochem, San Diego, California), 5 µg/ml insulin (Sigma, St. Louis, MO), 16 µg/ml putrescine (Sigma), 20 nM progesterone (Sigma), 30 nM selenious acid (Sigma), 1 mg/ml bovine serum albumin, crystallized (Gibco), 39 pg/ml dexamethasone (Sigma), 35 ng/ml retinoic acid (Sigma), 5 µg/ml α-d, 1-tocopherol (Sigma), 63 µg/ml β-
25 hydroxybuyrate (Sigma), 25 ng/ml cobalt chloride (Sigma), 1 µg/ml biotin (Sigma), 10 ng/ml oleic acid (Sigma), 3.6 mg/ml glycerol, 100 ng/ml α-

melanocyte stimulating hormone (Sigma), 10 ng/ml prostaglandin E1 (Sigma), 67.5 ng/ml triiodothyronine (Aldrich Chemical Company, Milwaukee, Wisconsin), 100 ng/ml epidermal growth factor (Upstate Biotechnology, Inc., Lake Placid, New York), 4 ng/ml bFGF (UBI), and 20 ng/ml 2.55 NGF (UBI).

To allow the growth and regeneration of neural crest stem cells in feeder cell-independent cultures, it was necessary to supplement the basal medium with 10% chick embryo extract (CEE). This supplemented medium is termed complete medium.

CEE is prepared as follows: chicken eggs were incubated for 11 days at 38°C in a humidified atmosphere. Eggs were washed and the embryos were removed, and placed into a petri dish containing sterile Minimal Essential Medium (MEM with Glutamine and Earle's salts) (Gibco) at 4°C. Approximately 10 embryos each were macerated by passage through a 30 ml syringe into a 50 ml test tube (Corning). This typically produced 25 ml of volume. To each 25 ml was added 25 ml of MEM. The tubes were rocked at 4°C for 1 hour. Sterile hyaluronidase (1 mg/25 g of embryo) (Sigma) was added and the mixture was centrifuged for 6 hours at 30,000 g. The supernatant was collected, passed first through a 0.45 μ m filter, then through a 0.22 μ m filter and stored at -80°C until used.

At the low cell densities necessary for survival and proliferation of individual neural crest cells, either fetal calf serum (FCS, JR Scientific) or CEE was required, in addition to the basal medium, for clone formation. When FCS was used to supplement the medium, it was heat inactivated by treatment at 55°C for 30 minutes. FCS was stored at -20°C and passed through a 0.22 μ m filter prior to use.

CEE is preferred as a supplement, as in the presence of FCS, most of the cells derived from the neural crest exhibit a flattened, fibroblastic morphology and expression of LNGFR is extinguished. In the absence of both FCS and CEE, clone formation from neural crest cells was greatly
5 attenuated.

EXAMPLE 3

Isolation and Cloning of Multipotent Rat Neural Crest Cells

10 A. Identification of Antibody Markers Expressed by Neural Crest Cells

In order to identify and isolate rat neural crest cells, it was necessary to identify antibody markers that could be used to recognize these cells. When E10.5 neural tubes were explanted onto a fibronectin (FN) substratum, many of the neural crest cells that emigrated from the neural tubes over the next 24
15 hours expressed the low-affinity NGF receptor (LNGFR), recognized by monoclonal antibodies 192-Ig and 217c. The outgrowth of neural crest cells from the dorsal side of the explanted neural tube following 24 hours growth in culture is shown in Figure 1, panel A. Figure 1, panel B shows the expression of LNGFR (green florescence) and nest in (red fluorescence) in
20 neural crest cells.

Neural crest cells were labeled with antibodies as follows: For cell surface antigens, such as LNGFR, it was possible to label the living cells in culture. The cultures were incubated with primary antibody solution for 20 minutes at room temperature. The cultures were washed twice with L15 medium
25 (Gibco) supplemented with 1:1:2, fresh vitamin mix (FVM) (Hawrot, E. *et al.* (1979). *ibid*), and 1 mg/ml bovine serum albumin (L15 Air). The

cultures were then incubated for 20 minutes at room temperature with Phycoerythrin R conjugated secondary antibody (TAGO) at a dilution of 1:200 in L-15 Air. The cultures were then rinsed twice with L-15 Air and placed back in their original medium and examined with a fluorescence
5 microscope. Rabbit anti-LNGFR antiserum (Weskamp, G. *et al.* (1991) Neuron 6:649-663) was a kind gift of Gisela Weskamp, University of California, San Francisco and was used at a 1:1000 dilution. Monoclonal anti-NCAM antibody 5A5 (Dodd, J. *et al.* (1988) Neuron 1:105-116) and monoclonal anti-sulfatide antibody O₄ (Sommer, I. *et al.* (1981) Dev. Biol.
10 83:311-327) were obtained as hybridoma cells from the Developmental Studies Hybridoma Bank (Johns Hopkins University, Baltimore, Maryland) and prepared as described by the provider.

In order to label cells with antibodies directed against intracellular proteins, it was necessary to fix and permeabilize the cells prior to labeling. For most of
15 the immunocytochemistry, formaldehyde fixation was done. Formaldehyde solution 37% was diluted 1:10 into S-MEM with 1mM HEPES buffer (Gibco). Culture were treated for 10 minutes at room temperature with the 3.7% formaldehyde solution and then rinsed 3 times with D-PBS (Gibco).

For some intermediate filament proteins (NF and GFAP) formaldehyde
20 fixation was not possible. Cultures were fixed by treatment with a solution of 95% ethanol and 5% glacial acetic acid at -20°C for 20 minutes.

For the staining of cytoplasmic antigens, fixed cells were first treated with a blocking solution comprising D-PBS, 0.1% Tween-20 (Bio-Rad Laboratories, Richmond, California) and 10% heat inactivated normal goat serum (NGS)
25 for 15 minutes at room temperature. Primary antibodies were diluted with a solution of D-PBS, 0.1% Tween-20 and 5% NGS. The fixed cells were incubated overnight at 4°C in primary antibody solution then rinsed twice

with DPBS, 0.05% Tween-20. Fluorescent secondary antibodies were diluted with D-PBS, 1% NGS and applied to cells for 1 hour at room temperature. The cells were rinsed twice with D-PBS, 0.05% Tween-20. To prevent photobleaching, a solution of 8 mg/ml N-propyl gallate in glycerol
5 was placed over the stained cells prior to fluorescence microscopy.

Mouse monoclonal anti-GFAP, G-A-5 (Debus *et al.* (1983) Differentiation 25:193-203) was purchased from Sigma and used at a 1:100 dilution. Mouse monoclonal anti-NF200, SMI39 was purchased from Sternberger Monoclonals Inc., Baltimore, Maryland and used at a 1:100 dilution. SMI39
10 reactivity is equivalent to the 06-53 monoclonal antibody described by Sternberger, L.A. *et al.* (1983) Proc. Natl. Acad. Sci. USA 80:6126-6130. Purified rabbit antibodies to peripherin (preparation 199-6) was obtained from Dr. Linda Parysek, University of Cincinnati, Ohio and was used at a dilution of 1:500.

15 Flow-cytometric analysis indicated that greater than 70% of the neural crest cells show some LNGFR immunoreactivity (Figure 1, panel D). Approximately 25% of the neural crest cells expressed high levels of LNGFR. In some experiments, neural crest cells expressing high levels of LNGFR were further purified by labeling with 192-Ig (anti-LNGFR) and
20 fluorescence-activated cell sorting (FACS). For single cell analysis, however, it proved more convenient to plate the bulk neural crest cell population at clonal density, and then subsequently identify LNGFR-positive cells by live cell-labeling with 192-Ig.

Most or all of the neural crest cells also expressed nestin, an intermediate
25 filament protein found in CNS neuroepithelial cells. An individual neural crest cell co-expressing both nestin and LNGFR is shown in Figure 2, panels A-C. Panel A shows the individual neural crest cell in phase contrast.

Panels B and C show this cell following staining with both anti-LNGFR (panel B) and anti-nestin (panel C). Figure 2, panels D-F show that the clonal progeny of this nestin⁺, LNGFR⁺ neural crest cell also co-express nestin and LNGFR.

5 B. Cloning of Multipotent Neural Crest Cells

To define the developmental potential of individual neural crest cells, conditions were established that permit the growth of these cells in clonal culture. Figure 3 provides a flow chart depicting the following cell cloning experiments. In Figure 3, plating medium refers to the complete medium,
10 described above and differentiation medium refers to SCD medium, described below. Using an FCS-free, CEE-containing medium (complete or plating medium), single neural crest cells (Figure 4, panel A, phase contrast and panel B, LNGFR staining) were plated on a FN/PDL substratum and allowed to proliferate and differentiate. After 9-14 days, many of the clones founded
15 by single neural crest cells were large and contained cells with a neuronal morphology (Figure 4, panel C, phase contrast). Quantification indicated that > 60% of the clones contained a mixture of neuronal and non-neuronal cells (see below). These neuronal cells could be labeled by antibodies to pan-neuronal markers such as neurofilament (Figure 4, panel E, anti-NF160
20 staining) and high-polysialyic acid (PSA) NCAM (Figure 4, panel D, anti-NCAM staining), as well as by an antibody to peripherin, an intermediate filament protein that is preferentially expressed by peripheral nervous system (PNS) neurons (Figure 4, panel F). Importantly, these neurons did not express either nestin or LNGFR, indicating that they have lost the two
25 markers that characterize the undifferentiated neural crest cell.

The neuron-containing clones also contained non-neuronal cells. These cells continued to express LNGFR and nestin, in contrast to the neurons, and displayed an elongated morphology characteristic of Schwann cells. While

immature Schwann cells are known to express both LNGFR and nestin, these markers are insufficient to identify Schwann cells in this system since they are expressed by the neural crest precursor cell as well. Expression of more definitive Schwann cell markers was elicited by transferring the cells into a medium known to enhance Schwann cell differentiation. This medium, called Schwann cell differentiation (SCD) medium, contained both 10% FCS and 5 μ M forskolin, an activator of adenylate cyclase.

Figure 5 shows the expression of a Schwann cell phenotype by neural crest-derived glia. Clones plated initially on FN were allowed to grow for a week in complete medium, then transferred into SCD medium and allowed to grow for another 1-2 weeks prior to fixation and immunocytochemistry. Cells of two morphologies, one elongated and the other flattened can be seen in phase contrast (Panels A and D). To demonstrate concordant expression of three markers, LNGFR, O_4 and GFAP, two different double-labeling experiments were performed. Living cells were surface-labeled with monoclonal anti-LNGFR 192IgG (Panel B) and monoclonal O_4 IgM (Panel C) and postfixes. In parallel, other cells from the same clone were first surface-labeled with O_4 and then fixed with acid-ethanol, permeabilized and stained with anti-GFAP (IgG). Note that LNGFR⁺ cells (Panel B) are O_4 ⁺ and that most or all of the O_4 ⁺ cells are also GFAP⁺ (Panels E and F). The quality of the O_4 staining in (Panel E) appears different from that in (Panel C) because a redistribution of the antigen occurs following acid-ethanol fixation. In Panel C, the flattened O_4 ⁺ cells are more weakly stained for LNGFR (Panel B). Such flattening is indicative of myelination, and is consistent with the fact that Schwann cells undergoing myelination down-regulate LNGFR and up-regulate O_4 .

Following 5-10 days in SCD medium, most or all of the non-neuronal cells in the clones expressed glial fibrillary acidic protein (GFAP), an intermediate filament specific to glial cells, and sulfatide, a cell-surface glycolipid

recognized by the monoclonal antibody O₄. Triple-labeling of such "mature" clones with polyclonal anti-peripherin and monoclonal O₄ and anti-GFAP antibodies revealed that sulfatide and GFAP were not expressed by the peripherin-positive neurons and that these two glial markers were coincident in the non-neuronal cell population (Figure 6). Figure 6 shows a clone from a single founder cell in phase contrast (Panel A) which expresses LNGFR (Panel B). This clone was allowed to proliferate and differentiate in complete medium (containing CEE and lacking serum) and then transferred into SCD medium (containing serum and forskol in). After approximately 10 days, the culture was fixed and triple-labeled with rabbit anti-peripherin (Panels C and D, in green/yellow), anti-GFAP (IgG) (Panel C, in red) and O₄ (IgM) (Panel D, blue). Panels C and D are two separate fields from the same clone.

Although GFAP is expressed by astrocytes and sulfatide is expressed by oligodendrocytes in the CNS, the co-expression of these two markers in the same cell is unique to peripheral glial cells (Jessen, K.R. *et al.* (1990) Devel. 109:91-103 and Mirsky, R. *et al.* (1990) Devel. 109:105-116).

Therefore, these data indicate that single neural crest cells expressing nestin and LNGFR are able to give rise to clones of differentiated cells containing both peripheral neurons and glia. Differentiation to the neuronal phenotype involves both the loss of LNGFR and nestin expression, and the gain of neuronal markers such as neurofilament, high PSA-NCAM and peripherin. On the other hand, in the glial lineage LNGFR and nestin expression persist, and additional glial markers (GFAP and O₄) are acquired. All clones that produced neurons and glia also produced at least one other cell type that did not express any of the differentiation markers tested; the identity of these cells is unknown. Taken together, these data establish the multipotency of the rat neural crest cell identified and isolated by virtue of co-expression of LNGFR and nestin.

EXAMPLE 4Self-renewal of
Multipotent Neural Crest Cells *in vitro*

After 10 days in culture in medium supplemented with 10% CEE and on a
5 FN/PDL substrate, all of the neural crest cell clones that contained neurons
also contained non-neuronal cells expressing LNGFR and nestin (as described
above). In order to determine whether these cells were immature glia, or
multipotent neural crest cells that had undergone self-renewal, serial
subcloning experiments were performed. Figure 7 provides a flow chart
10 summarizing these serial subcloning experiments. In Figure 7, "plating
medium" refers to complete medium containing CEE and lacking FCS and
"differentiation medium" refers to SCD medium containing FCS and
forskolin.

For serial sub-cloning experiments, clones were harvested and replated as
15 follows. The primary clones were examined microscopically to ensure that
there were no impinging colonies and that the whole clone fits within the
inscribed circle. Using sterile technique throughout the procedure, glass
cloning cylinders (3mm id.) were coated on one end with silicone grease
(Dow Corning) and placed about the primary clone so that the grease formed
20 a seal through which medium could not pass. The cells were removed from
the cylinder by first treating them with 100 ml of 0.05% Trypsin solution
(Gibco) for 3 minutes at 37°C in a humidified 5% CO₂ incubator. At room
temperature 70 µl of the trypsin solution was removed and replaced with 70
µl of complete medium. The cells were resuspended into the 100 µl volume
25 by vigorous trituration through a pipet tip and the whole volume was diluted
into 5 ml of complete medium. The 5 ml was then plated onto 1 or 2 60mm
dishes which were placed in a humidified 5% CO₂ incubator for 2 hours at
which time the medium was exchanged for fresh complete medium. Single

founders cells were then identified and allowed to grow into secondary clones as described below.

Primary clones founded by LNGFR-positive progenitor cells were allowed to grow for 6 days (Figure 8, Panel A) on a PDL/FN substrate. At this time,
5 clones containing LNGFR-positive cells were identified by live cell surface labeling, and these clones were then removed from their original plates by trypsinization, as described above. The dissociated cells were then replated at clonal density under the same culture conditions as their founder cells. Individual secondary founder cells were identified by labeling live cells with
10 192-Ig and their positions marked (Figure 8, Panels B and B' show two individual secondary founder cells; Panels C and C' show the clonal progeny of these individual cells at day 17). Both non-neuronal, neurite bearing cells are visible in the clones (Figure 8, panels C and C').

A clone derived from secondary founder cells, such as that shown in Figure
15 8, was transferred into SCD medium to allow the expression of Schwann cell markers. After approximately 10 days, the subclone was fixed, and double-labeled for NF160 and GFAP (Figure 9, Panel A shows the clone in phase contrast; Panel B shows labeling with anti-NF160; Panel C shows labeling with anti-GFAP). The apparent labeling of neurons in panel C is an artifact
20 due to bleed-through into the fluorescein channel of the Texas Red fluorochrome used on the goat anti-rabbit secondary antibody in panel B.

Additionally, following 10 days of secondary culture, living subclones were scored visually for the presence of neurons and glia by double labeling with 192-Ig (anti-LNGFR) and 5A5, a monoclonal antibody to high PSANCAM.

25 Single neural crest cells isolated from primary clones were able to proliferate and generate clones containing both neurons and non-neuronal cells, probably

glia. Quantitative analysis of clones derived from 16 different primary and 151 secondary founders after ten days in plating medium indicated that over 30% of the total secondary founder cells gave rise to clones containing neurons (N), glia (G) and other (O) cells (Table I, N+G+O). Of the
5 remaining 70% of the founder cells, however, almost 50% failed to form clones and died; thus of the clonogenic (*i.e.*, surviving) founders, 54% were of the N+G+O type (Table I). To confirm that these mixed clones indeed contained glia or glial progenitors, they were transferred to SCD medium and allowed to develop for an additional 7 days, then fixed and double-stained for
10 neurofilament and GFAP expression. As was the case for the primary clones, this treatment caused expression of GFAP in a high proportion of non-neuronal cells in the clones (Figure 9), confirming the presence of glia. These data indicate that primary neural crest cells are able to give rise at high frequency to progeny cells retaining the multipotency of their progenitors,
15 indicative of self renewal. However, in several cases secondary clones containing only neurons were found (Table I, N only), and many of the secondary clones contained glia and other cells but not neurons (Table I, G+O). This observation suggests that in addition to self-renewal, proliferating neural crest cells may undergo lineage restriction in vitro as
20 well to give rise to glial or neuronal progenitor cells which are characterized by the capacity to divide and self-renew but are restricted to either the neuronal or glial lineage.

-51-

Table I

Sub-Clone Phenotype total#(%)

<u>Primary Clone ID</u>	<u># of 2° Founders</u>	<u>N only</u>	<u>N+G+O</u>	<u>G+O</u>	<u>O</u>	<u>No clone found</u>
1.1	21	0	15 (71)	0	0	6 (29)
1.18	6	0	1 (17)	1 (17)	2 (33)	2 (33)
1.24	5	1 (20)	0	1 (20)	2 (40)	1 (20)
2.6	7	0	0	1 (14)	1 (14)	5 (72)
2.18	7	0	0	1 (14)	0	6 (86)
3.14	20	0	2 (10)	4 (20)	0	14 (70)
3.18	4	0	1 (25)	0	0	3 (75)
4.5	1	0	1 (100)	0	0	0
4.8	9	0	0	1 (11)	2 (22)	6 (67)
4.14	10	0	2 (20)	3 (30)	1 (10)	4 (40)
5.2	15	1 (7)	8 (53)	0	0	6 (40)
6.1	13	0	2 (15)	2 (15)	0	9 (70)
6.2	17	1 (6)	2 (12)	4 (24)	0	10 (58)
6.17	2	0	1 (50)	0	0	1 (50)
8.2	5	0	4 (80)	0	0	1 (20)
8.5	9	0	4 (44)	0	0	5 (56)
Mean \pm s.e.m.						
% total founders		2.1 \pm 1.3	31 \pm 7.9	10 \pm 2.6	7.4 \pm 3.3	49 \pm 6
% clono- genic founders		3.1 \pm 1.8	54 \pm 11	29 \pm 8	15 \pm 6	

EXAMPLE 5Substrate Composition Influences the
Developmental Fate of Multipotent Neural Crest Cells

The foregoing experiments indicate that neural crest cells grown on a
5 PDL/FN substrate generate clones containing both peripheral neurons and
glia. When the same cell population is grown at clonal density on a substrate
containing FN only, the resulting clones contain glia and "other" cells but
never neurons (Figures 10 and 11, Panels D,E,F). Figure 10 provides a flow
chart summarizing the following experiments which demonstrate the substrate
10 effect on the fate of mammalian neural crest cells. Figure 11 shows the
immunoreactivity of cells stained for various markers.

On FN alone, G+O clones are obtained containing non-neuronal cells
expressing high levels of LNGFR immunoreactivity, but neither NCAM⁺ nor
neurite-bearing cells (Figure 11, panels E,F). By contrast on PDL/FN, the
15 clones contain both LNGFR⁺, NCAM⁻ non-neuronal crest cells and LNGFR⁻,
NCAM⁺ neurons (Figure 11, panels B,C). Quantification indicated that on
FN alone, 70-80% of the clones are of the G+O phenotype and none of the
N+G+O phenotype (Figure 12, panel A), whereas on PDL/FN 60% of the
clones are of the N+C+O and only 20% are of the GAO phenotype (Figure
20 12, panel B). These data indicate that the composition of the substrate affects
the phenotype of neural crest cells that develop in culture.

To rule out the possibility that the foregoing results could be explained
simply by the failure of neurogenic crest cells to adhere and survive on a FN
substrate, a different experiment was performed in which all the crest cells
25 were initially cloned on a FN substrate. Figure 13 provides a flow chart
summarizing these experiments. These experiments were performed to
demonstrate that differences in attachment and/or survival do not account for

differences in eventual clone composition. Subsequently, one group of cells was exposed to PDL as an overlay in liquid media (0.05 mg/ml) after 48 hrs, while a sister culture was retained on FN alone as a control (Figure 13).

Clones expressing LNGFR were identified by live cell surface labeling at the
5 time of the PDL overlay and the development of only LNGFR⁺ clones was further monitored. After two weeks, the cultures were transferred to SCD medium for an additional 10 days of culture, and their phenotypes then scored as previously described.

By contrast to clones maintained on FN, where no neurons developed, many
10 of the clones exposed to a PDL overlay contained neurons at the end of the culture period (Figure 14, panel A). Moreover, virtually none of the clones were of the G+O phenotype after the PDL overlay. These data indicate that an overlay of PDL is able to alter the differentiation of neural crest cells even if they are initially plated on an FN substrate. Moreover, they suggest that at
15 least some of the N+G+O clones derived by conversion of founder cells that would have produced G+O clones on FN. However, because of the increased cytotoxicity obtained from the PDL overlay, it was not possible to rule out the possibility that many of the cells that would have produced G+O clones simply died. To address this issue, the PDL overlay was performed
20 on a parallel set of cultures at day 5 rather than at 48 hrs. Under these conditions, virtually all of the LNGFR⁺ clones survived and differentiated. 60% of these clones contained neurons, whereas 35% contained GAO (Figure 14, panel B). By contrast, greater than 90% of the clones maintained on FN developed to a G+O phenotype. Since little or no clone death was
25 obtained under these conditions, and since a majority of the clones contained neurons following the PDL overlay at day 5, these data suggest that PDL converts presumptive G+O clones into N+G+O clones. However the fact that 35% of the clones became G+O following PDL overlay at days, whereas virtually none did so when the overlay was performed at 48 hrs

(Fig. 14, compare G+O, hatched bars, in panels A and B), suggests that some clones might become resistant to the effect of PDL between 48 hrs and days.

EXAMPLE 6

5 Substrate Influences Latent Developmental Potential of Neural Crest Cells

To demonstrate more directly that the substrate can alter the developmental fate of neural crest cells, a serial subcloning experiment was performed. Clones were established on FN, and after 5 days the progeny of each clone
10 were subdivided and cloned onto both FN and PDL/FN substrates. Following 10 days of culture in standard medium, the clones were shifted to SCD medium for an additional week to ten days and then fixed, stained and scored for the presence of neurons and Schwann cells. Five of seven primary clones founded on FN gave rise to secondary clones containing neurons when
15 replated onto a PDL/FN substrate at days (Table II). On average, $57 \pm 17\%$ of the secondary clones contained neurons. By contrast, none of the sister secondary clones replated onto FN contained neurons (Table II). These data confirm that the PDL/FN substrate is able to alter the fate of neural crest cell clones initially grown on FN. They also reveal that the "neurogenic
20 potential" of neural crest cells is retained, at least for a period of time, on FN even though overt neuronal differentiation is not observed. This suggested that FN is non-permissive for overt neuronal differentiation under these culture conditions. In support of this idea, when primary clones established on PDL/FN were replated onto FN, none of the secondary clones contained
25 neurons, whereas 100% (5/5) of the primary clones gave rise to neuron-containing secondary clones when replated onto PDL/FN (Table II). Moreover, on average $93 \pm 7\%$ of the secondary clones derived from each

primary clone contained neurons on PDL/ FN, indicating that most or all of the clonogenic secondary crest cells retained neurogenic potential under these conditions.

While this experiment indicated that at least some neural crest clones retain neurogenic potential on FM, not all clones exhibited this capacity. This could indicate a heterogeneity in the clonogenic founder cells that grow on FN, or it could indicate a progressive loss of neurogenic potential with time in culture on FM. To address this issue, a second experiment was performed in which primary clones were replated at day 8 rather than at day 5. In this case, a more dramatic difference was observed between primary clones established on FM versus on PDL/FN. Only 1/6 primary FM clones replated at day 8 gave rise to any secondary clones containing neurons on PDL/FN, and in this one case only 17% of the secondary clones contained neurons (Table II). By contrast, 6/6 primary PDL/FN clones gave rise to neuron-containing secondary clones when replated on PDL/FN at day 8, and 52±7% of these secondary clones contained neurons (Table II). These data suggest that neurogenic potential is gradually lost by neural crest cells cultured on FM, but retained to a much greater extent by the same cells grown on PDL/FN. Thus the composition of the substrate influences not only the overt differentiation of the neural crest cells, but also their ability to maintain a latent developmental potential over multiple cell generations.

Table II

1° Substrate	<u>% Neuronal</u>			<u>% Neuronal</u>		
	<u>FN</u>			<u>pDL/FN</u>		
2° Substrate	<u>FN</u>	<u>pDL/FN</u>		<u>FN</u>	<u>pDL/FN</u>	
Day 5 Replating	0/7	5/7	57±17	0/5	5/5	93±7
Day 8 Replating	0/6	1/6	17	0/6	6/6	52±7

EXAMPLE 7Identification of
Neural Crest Stem Cells by Transplantation

Neural crest stem cells are identified by two general criteria: by their
5 antigenic phenotype, and by their functional properties. These functional
properties may be assessed in culture (*in vitro*), as described above, or they
may be assessed in an animal (*in vivo*). The above examples described how
the self-renewal and differentiation of neural crest stem cells can be assayed
in vitro, using clonal cell cultures. However, these properties may also be
10 determined by transplanting neural crest cells into a suitable animal host.
Such an assay requires a means of delivering the cells and of identifying the
transplanted cells and their progeny so as to distinguish them from cells of
the host animal. Using standard techniques, it is possible to deliver neural
crest cells to a developing mammalian or avian embryo or to any tissue or
15 compartment of the adult animal (*e.g.*, brain, peritoneal cavity, etc.).

For example, neural crest cell cultures are prepared as described earlier.
After a suitable period in primary or secondary culture, neural crest cells are
identified by live cell-labeling with antibodies to LNGFR, and removed from
the plate using trypsin and a cloning cylinder, as described in previous
20 examples. The cells are diluted into serum-containing medium to inhibit the
trypsin, centrifuged and resuspended to a concentration of 10^6 - 10^7 cells per
milliliter. The cells are maintained in a viable state prior to injection by
applying them in small drops (ca. 10 μ l each) to a 35 mm petri dish, and
evaporation is prevented by overlaying the droplets with light mineral oil.
25 The cells are kept cold by keeping the petri dishes on ice. For injections into
mouse embryos, pregnant mothers at embryonic day 8.5 - 9.0 are
anaesthetized and their uterus exposed by an incision into the abdomen.
Neural crest cells are drawn into a sharpened glass micropipette (with a

sealed tip and hole in the side to prevent clogging during penetration of tissues) by gentle suction. The pipette is inserted into the lower third of the deciduum and a volume of approximately 0.5 μ l is expelled containing approximately 1000 cells. The micropipette is withdrawn and the incision is
5 sutured shut. After an additional 3-4 days, the mother is sacrificed, and individual embryos are removed, fixed and analyzed for the presence and phenotype of cells derived from the injected neural crest cells.

To identify the progeny of the injected cells, it is necessary to have a means of distinguishing them from surrounding cells of the host embryo. This may
10 be done as follows: rat neural crest cells are injected into a mouse embryo (following suitable immunosuppression of the mother or using a genetically immunodeficient strain such as the SCID strain of mice), the injected cells are identified by endogenous markers such as Thyl or major histocompatibility complex (MHC) antigens using monoclonal antibodies
15 specific for the rat Thyl or MHC antigens. Alternatively, an exogenous genetic marker is introduced into the cells prior to their transplantation as a means of providing a marker on or in the injected cells. This is as follows: neural crest cells in culture are incubated with a suspension of replication-defective, helper-free retrovirus particles harboring the lacZ gene, at a titer
20 of 10^5 - 10^6 pfu/ml in the presence of 8 μ l/ml polybrene for four hours. The cells are then washed several times with fresh medium and prepared for injection as described above. The harvested embryos are then assayed for expression of β -galactosidase by whole mount staining according to standard procedures. The blue cells (indicating expression of the lacZ gene) will
25 correspond to the progeny of the injected neural crest cells. This procedure can be applied to any tissue or any stage of development in any animal suitable for transplantation studies. Following whole-mount staining, embryos bearing positive cells are embedded in freezing medium and sectioned at 10-20 μ m on a cryostat. Sections containing blue cells are

selected, and then counterstained for markers of mature neurons and glia using specific antibodies, according to standard techniques, and immunoperoxidase or alkalinephosphatase histochemistry. The identification of lacZ + (blue) cells expressing neuronal or glial markers indicates that the progeny of the injected neural crest cells have differentiated appropriately. Thus, this technique provides a means of identifying mammalian neural crest stem cells through transplantation studies to reveal the function of said stem cells.

EXAMPLE 8

10 Genetic-Engineering of Neural Crest Stem Cells (NCSCs)

A. Retroviral infection of NCSCs

In this method, NCSCs are infected with a replication-incompetent, recombinant retrovirus harboring the foreign gene of interest. This foreign gene is under the control of the long terminal repeats (LTRs) of the retrovirus, in this case a Moloney Murine Leukemia Virus (MoMuLv) (Cepko *et al.* (1984) Cell 37:1053-1062). Alternatively, the foreign gene is under the control of a distinct promoter-enhancer contained within the recombinant portion of the virus (*i.e.*, CMV or RSV LTR). In this particular example, the *E. coli* β -galactosidase gene was used, because it provides a blue histochemical reaction product that can easily be used to identify the genetically-engineered cells, and thereby determine the transformation efficiency.

Rat NCSC cultures were established as described above. Twenty-four hours after replating, the cells were exposed to a suspension of β -galactosidase-containing retrovirus (Turner *et al.* (1987) Nature 328:131-136) with a titer

of approximately 10^5 - 10^6 pfu/ml in the presence of 8 μ g/ml polybrene.

Following a 3 hr exposure to the viral suspension, the cultures were rinsed and transferred into standard medium. After three days of growth in this medium, the transformed cells were visualized using the X-gal histochemical reaction (Sanes *et al.* (1986) EMBO J. 5:3133-3142) Fig. 15, Panel A shows the NCSC culture three days after infection with the lacZ containing retrovirus, after fixation and staining using the X-gal reaction. β -galactosidase-expressing cells are indicated by the solid arrows. Non-expressing cells in the same microscopic field are visualized by phase contrast microscopy (B), and are indicated by open arrows. The blue, β -galactosidase⁺ cells represented approximately 5-10% of the total cells in the culture as visualized by phase-contrast microscopy (Fig. 15, Panel B).

B. Calcium-Phosphate-Mediated Transfection of NCSCs

In this method, NCSCs are transfected with an expression plasmid using the calcium phosphate method (Wigler *et al.* (1979) Proc. Natl. Acad. Sci. USA 76:1373-1376). As in the previous example, the β -galactosidase gene was used to facilitate visualization of the transfected cells.

In this case, the vector pRSVlacZ was used, in which the β -galactosidase gene (lacZ) is under the control of the Rous Sarcoma Virus (RSV) LTR, and the SV40 intron and poly A-addition site are provided at the 3' end of the gene (Johnson *et al.* (1992) Proc. Natl. Acad. Sci. USA 89:3596-3600).

NCSCs were established in 35 mm tissue culture dishes. 24 hr after plating, a calcium phosphate precipitate containing approximately 20 μ g/ml of pRSVlacZ was prepared. 123 μ l of this precipitate was added to each dish, and incubated at room temperature for 20 minutes. Two ml of standard medium containing 30 μ M chloroquine was then added to each dish and incubation was continued overnight at 37°C. The next day, the medium was

replaced and incubation continued for a further two days. The cultures were then fixed and assayed for β -galactosidase expression by the standard X-gal reaction. Approximately 10% of the NCSCs expressed the lacZ reaction product.

5 C. Immortalization of NCSCs

NCSC cultures are established as described above. The cultures are exposed, in the presence of 8 μ g/ml polybrene, to a suspension of retrovirus harboring an oncogene preferably selected from the immortalizing oncogenes identified herein. These retroviruses contain, in addition to the oncogene sequences, a
10 gene encoding a selectable marker, such as *hisD*, driven by the SV40 early promoter-enhancer (Stockschlaeder, M.A.R. *et al.* (1991) Human Gene Therapy 2:33). Cells which have taken up the *hisD* gene are selected for by growth in the presence of L-histidinol at a concentration of 4 mM. Alternatively, selection can be based upon growth in the presence of
15 neomycin (500 μ g/ml). NCSCs are infected with the above retroviruses which are concentrated to a titer of greater than 10^6 pfu/ml by centrifugation. The virus is applied to the cells in two sequential incubations of 4-8 hours each in the presence of 8 μ g/ml polybrene.

Following infection, the cells are grown in the presence of 4 mM L-histidinol
20 or 500 μ g/ml neomycin (G418) for 5-10 days. Cells which survive the selection process are screened for expression of LNGFR by live-cell labeling using the monoclonal antibody 192 Ig as described above. Colonies containing a homogeneous population of LNGFR+ cells are cloned using a cloning cylinder and mild trypsinization, and transferred into duplicate
25 FN/pDL-coated 96-well plates. After a short period of growth, one of the plates is directly frozen (Ramirez-Solis, R. *et al.* (1992) Meth. Enzymol., in press). The cells in the other plate are replated onto several replicate 96-well plates, one of which is maintained for carrying the lines. The cells on the

other plates are fixed and analyzed for the expression of antigenic markers. Successful immortalization is indicated by (1) the cells homogeneously maintain an antigenic phenotype characterized by LNGFR+, nestin+, lin- (where "lin" refers to lineage markers characteristic of differentiated neuronal or glial crest derivatives, including neurofilament, peripherin, hi PSA-NCAM, GFAP, O4 and P₀); and (2) the cell population is phenotypically stable over several weeks of passage (as defined by lack of differentiation to morphologically- and antigenically-recognizable neurons and/or glia). The ability of the lines to differentiate is tested by transferring them to conditions that promote differentiation (omission of CEE in the case of neurons and addition of serum and 5 μ M forskolin for Schwann cells). Maintenance of the ability to differentiate is a desirable, although not necessary, property of the constitutively-immortalized cells.

EXAMPLE 9

15 Generation of Monoclonal Antibody to Mouse LNGFR

Mouse monoclonal antibodies specific to LNGFR from primates (Loy *et al.* (1990), J. Neuosci. Res. 27:657-664) and rat (Chandler *et al.* (1984) J. Biol. Chem. 259:6882-6889) have been produced. No monoclonal antibodies to mouse LNGFR have been described. We have produced rat monoclonal antibodies to mouse LNGFR. These antibodies recognize epitopes present on the surface of living cells such as Schwann cells, making them suitable for use in immunologic isolation of multipotent neural stem cells (such as neural crest stem cells) and their differentiated derivatives (as well as neural progenitor cells from the CNS) from murine species. The isolation of such cells from mice is particularly desirable, as that species is the experimental organism of choice for genetic and immunological studies or human disease.

To generate monoclonal antibodies to mouse LNGFR, a genomic DNA fragment encoding the extracellular domain (ligand binding domain) of that protein was expressed in *E. coli*, as a fusion protein with glutathione-S-transferase (Lassar et al. (1989) Cell 58:823-831). Briefly, a probe for the
5 extracellular domain based on either of the known DNA sequences for rat and human LNGFR is used to screen a mouse genomic library. A cloned insert from a positively hybridizing clone is excised and recombined with DNA encoding glutathione with appropriate expression regulation sequences and transfected into *E. coli*. The fusion protein was affinity-purified on a
10 glutathione-Sepharose column, and injected into rats. Sera obtained from tail bleeds of the rats were screened by surface-labeling of live Schwann cells isolated from mouse sciatic nerve by standard procedures (Brookea *et al.* (1979) In Vitro 15:773-778. Surface labeling was with labelled goat anti-rat antibody Following a boost, fusions were carried out between the rat spleen
15 cells and mouse myeloma cells. Supernatants from the resulting hybridoma cultures were screened using the live Schwann cell assay. Positive clones were re-tested on NIH 3T3 fibroblasts, a mouse cell line that does not express LNGFR, and were found to be negative. The use of this live cell assay ensures that all antibodies selected are able to recognize LNGFR on the
20 surface of living cells. Moreover the assay is rapid, simple and more efficient than other assays such as ELISA, which require large quantities of purified antigen.

Approximately 17 independent positive hybridoma lines were identified and subcloned. An example of the results obtained with the supernatant from one
25 such line 19 shown in Figure 16. A culture of mouse sciatic nerve Schwann cells was labeled with one of the rat anti-mouse LNGFR monoclonal antibodies and counterstained with DAPI to reveal the nuclei of 611 cells. The left panel (A) shows that most of the cells are labeled on their surface with the anti-LNGFR antibody (red staining; solid arrows), the right panel

(B) reveals all the cell nuclei on the plate, and shows a few cells not labeled by the anti-LNGFR antibody (blue staining; open arrows; compare to left panel). These unlabeled cells most likely represent contaminating fibroblasts which are known not to express LNGFR. These cells provide an internal control which demonstrates the specificity of the labeling obtained with the anti-LNGFR antibody.

EXAMPLE 10

O Cells are Smooth Muscle Cells

To determine whether O cells could be smooth muscle ("SM") cells, cultures of neural crest cells containing these cells were stained with a monoclonal antibody to smooth muscle actin ("SMA"), a marker of smooth muscle cells (Skalli et al (1966) J. Cell Biol. 103:2787-2796). The cultures were counter-stained with anti-p75 to identify the neural crest stem cells. The anti-SMA antibody labeled a significant number of cells (Fig. 17B, open arrows), and these cells did not express p75 on their surface and were clearly distinct from the p75-expressing neural crest stem cells (Fig. 17B, closed arrow). However, clonal analysis indicated that both p75⁺, SMA⁻ cells and p75⁻, SMA⁺ cells derived from a p75⁺ neural crest stem cell progenitors (see below).

To establish that individual neural crest stem cells could generate neurons, glia and smooth muscle cells, a clonal analysis was performed. Individual p75⁺ neural crest stem cells were identified and allowed to develop for two weeks in culture. The resultant clones were then fixed and triply-labeled with antibody to peripherin (to detect neurons), GFAP (to detect glia) and SMA (to detect smooth muscle cells). As shown in Fig. 18, within the same clone it was possible to identify neurons (Figs. 18A, 18B, arrowhead), glia (Figs. 18C, open arrows) and smooth muscle cells (Fig. 18C, closed arrow),

confirming that the neural crest stem cell is able to generate all three lineages in our culture system.

The foregoing experiments were carried out in standard medium lacking fetal bovine serum. Previously, we observed that the addition of fetal bovine
5 serum to this medium at early times of culture resulted in the extinction of LNGFR expression. Taken together with the foregoing observation that SMA⁺ cells are LNGFR⁻, we asked whether cells grown in standard medium + fetal bovine serum expressed smooth muscle markers. The results indicate that virtually all cells obtained in SM + fetal bovine serum express high levels
10 of SMA (Figs. 20A, 20B). To further establish their identity as smooth muscle cells, these cells were also stained with two other markers of smooth muscle: desmin (Lazarides, et al (1978) Cell 14:429-438) and calponin (Gimona et al (1990) FEBS Lett. 274:159-162). The SMA⁺ cells were also labeled by anti-desmin antibody (Fig. 3C) and by anti-calponin (Figs. 3A, B).
15 These data confirm that the O cells are indeed smooth muscle cells, and also show that fetal bovine serum contains one or more substances able to drive virtually all neural crest stem cells into the smooth muscle lineage.

Differentiated smooth muscle cells have been isolated and cultured from the vasculature, for example, Chamley-Campbell *et al* (1990) Phys. Rev. 59:1-
20 61, but previously it has not been possible to obtain the *de novo* differentiation of such cells from an undifferentiated progenitor. The data presented above identify neural crest stem cells as progenitors of smooth muscle, as well as of neurons and glia, and indicate that they can be induced to differentiate to smooth muscle in culture using fetal bovine serum. Such
25 differentiation occurs at the expense of neuronal and glial differentiation, which does not occur in the presence of fetal bovine serum (Stemple *et al.* (1992), Cell 71:973-985. Thus, neural crest stem cells should be useful for identifying smooth muscle differentiation factors present in fetal bovine

serum, as well as for identifying other growth, survival or differentiation factors for smooth muscle present in other sources.

EXAMPLE 11

5 Neural Crest Stem Cells Preferentially Differentiate to Neurons or Smooth Muscle Cells

NCSCs grown at clonal density in standard culture medium undergo symmetrical, self-renewing divisions for at least 5-6 days *in vitro*. Stemple and Anderson (1992) Cell 71:973-985. Neurons do not begin to differentiate in such cultures until 10-15 days of incubation. Moreover, clones containing
10 only neurons are never observed; rather the neurons differentiate together with nonneuronal cells such as glia. Stemple and Anderson (1992) Cell 71:973-985.

To determine if and how neural crest stem cells respond to growth factors from the TGF- β superfamily neural crest stem cells were grown in 1.6 nM
15 recombinant bone marrow phogenic protein 2 ("rBMP2"). Many neuron-only colonies (identified by their neurite-bearing morphology and expression of peripherin) developed within 3-4 days (Figures 21A and 21B). At this dose, ~ 50% of the colonies contained only neurons; 20%-25% contained neurons (about as many per colony as in the neuron-only colonies) as well as large
20 flat cells; the remainder consisted only of such flat cells. Thus, 75% of colonies grown in rBMP2 contained neurons after 4 days. By contrast, none of the colonies grown in the absence of rBMP2 contained any neurons at this time point (Figures 21E and 21F). Glial cells were not detected at any time in BMP2-containing cultures. The phenotype of the large, flat cells is
25 described below. Comparable results were obtained using rBMP4 (data not shown), which is known to have virtually indistinguishable biological

activities from BMP2 in most assays examined. Kingsley (1994) Genes Dev. 8:133-146.

Most of the neurons that developed in rBMP2 stained positively with monoclonal antibody B2 (Figure 21D), which is only expressed by autonomic neurons. Anderson et al. (1991) N. Neurosci. 11:3507-3519. However, these neurons did not express catecholamine biosynthetic enzymes such as dopamine β -hydroxylase or tyrosine hydroxylase, at any concentration of the factor tested. These data suggest that rBMP2 promotes the differentiation of autonomic neurons, which are either nonsympathetic or which require additional signals (Groves et al.(1995) Development 121:887-901) to express markers characteristic of the sympathetic sublineage (for review, see Patterson and Nawa (1993) Cell 72/Neuron 10(Suppl.):123-137).

As overall neuronal differentiation was not apparent until 3-4 days after addition of rBMP2, we sought evidence for an earlier influence of this factor on neurogenesis. To do this, we examined expression of MASH1, whose expression precedes that of neuronal markers by several days both *in vivo* (Lo et al.(1991) Genes Dev. 5:1524-1537) and *in vitro* (Shah et al. (1994) Cell 77:349-360). At 12 hr after addition of rBMP2 to NCSCs, over 70% of the colonies (many of which were still single cells) expressed MASH1 (Figures 25A and 25B); by 24 hr, ~85% of the colonies were MASH1. The effects of rBMP2 were apparent even by 6 hr, the earliest time tested, when ~30% of the colonies expressed MASH1. By contrast, at these time points very few of the NCSCs in control medium were MASH1 (Figures 25C and 25D). Rather, MASH1 is expressed by NCSCs in control cultures only after 7-8 days (Shah et al. (1994) Cell 77:349-360). Moreover, within such control colonies, MASH1 is expressed by subsets of cells; by contrast, within rBMP2-treated colonies most or all cells expressed MASH1. These data indicate that in the presence of rBMP2 the majority of NCSCs express

MASH1, and do so on a far earlier schedule than under controlled conditions. Shah et al.(1996) Cell 85:331-343. Moreover, they support the idea that the expression of MASH1 in autonomic neuronal precursors *in vivo* may reflect its induction by BMP2 derived from neighboring tissues. Shah et
5 al.(1996) Cell 85:331-343.

A subset of the colonies in rBMP2 also contained large, flat cells that suggested they could be a mesectodermal derivative of the neural crest, such as smooth muscle (Chamley-Campbell et al.(1979) Physiol. Rev. 59:1-61; Ito and Shah et al.(1996) Cell 85:331-343. Many of the flat cells expressed
10 α SMA, a well-characterized SM marker (Owens (1995) Physiol. Rev. 75:487-517) (Figure 22C, green fluorescence, and data not shown). Further, most of these flat cells expressed calponin, another SM-specific protein that may regulate contractility (Owens, 1995) (Figure 22C, red fluorescence). Of all nonneuronal cells observed in rBMP2, 93% expressed α SMA, calponin,
15 or both. The remaining cells displayed a similar SM-like morphology (Figure 22A) despite their lack of expression of these two SM-specific markers. These data therefore suggest that most or all of the flat cells observed in rBMP2 are SM cells at various stages of differentiation.

Other growth factors from the TGF- β superfamily were screened for their
20 effects on neural crest stem cells. In recombinant TGF β 1 ("rTGF β 1") virtually all neural crest stem cell colonies differentiated to SM cells (Figure 22D). Of the colonies, $82.4\% \pm 0.6\%$ (mean \pm SEM, $n = 2$) consisted exclusively of cells that were α SMA $^{+}$, calponin $^{+}$, or both (Figure 22F; 12% has at least one α SMA $^{+}$ or calponin $^{+}$ cell together with SM-like, marker-
25 negative cells, while $5.6\% \pm 1.8\%$ of the colonies contained only marker-negative but SM-like cells. Less than 1% of the colonies contained any low affinity nerve growth factor receptor-positive (LNGFR $^{+}$) NCSCs. No neurons or glial cells were observed to develop under these conditions. In

cultures grown in the absence of TGF β 1 for a similar period, 95% of the colonies consisted primarily of undifferentiated NCSCs, although some SM cells were present. TGF β 2 and TGF β 3 yielded similar results as TGF β 1 (data not shown).

- 5 The fact that BMP2 produced neurons and some SM cells, while TGF β 1 produced only SM cells, could simply reflect the different concentrations at which these related factors were initially used. However, dose-response experiments (Figure 23) did not support this idea; there were no doses at which the factors elicited identical responses, or at which BMP2 elicited a
- 10 homogeneous response. Thus, exclusively SM or neuronal differentiation was not observed at any concentration BMP2; rather, the proportion of both neuronal and SM colonies increased as a function of BMP2 dose (Figures 23A and 23B). Similarly, varying the concentration of TGF β 1 over three orders of magnitude did not cause the appearance of mixed (i.e., neuronal +
- 15 SM) colonies at any dose (Figure 23C and data not shown). These data suggest that the mixed response observed with BMP2 cannot be explained by a suboptimal or excess concentration of the factor used.

EXAMPLE 12

20 Instructive (Not Selective) effect of
BMP2 and TGF- β on Neural Crest Stem Cells

A clonal analysis was performed in order to distinguish whether BMP2 and TGF β 1 act to influence differentiation by multipotent neural crest stem cells, or rather to support survival of subpopulations of pre-committed neuronal or SM precursors, respectively. Individual NCSCs were identified shortly after

25 plating, growth factors were added to some, and their subsequent survival and differentiation assessed after 4 days. Selective survival of subsets of

clones was not observed. Daily observation of the cultures indicated that none of them contained neurons prior to death; in fact, many contained cells with a SM-like morphology. These observations indicated that in the presence of rBMP2 or TGF β 1, multipotent neural crest cells that would eventually have generated neurons, glia, and SM cells (in control medium) instead generated only neurons and SM cells, or SM cells alone, such that BMP2 and TGF β 1 acted instructively on the founder cell population. Shah et al. (1996) Cell 85:331-343. In order to exclude the possibility that these factors were acting selectively on the clonal progeny of the founder cells, sequential observations of live, identified clones, were made every 24 hr for the 4-day incubation period (Figure 24). Significant cell death did not within clones in either the presence or absence of the growth factors. To the contrary, in rBMP2, many instances were observed in which a founder cell divided several times and all of its progeny then differentiated into neurons (Figure 24B). Similarly, in rTGF β 1 many cases were documented in which a founder cell divided to produce a clone of SM-like cells without any noticeable cell death (Figure 24C). The behavior of the clones in rBMP2 and rTGF β 1 was in clear contrast to that observed in control medium over the same culture period, in which neural crest stem cells divided to produce clones containing neural crest stem cell-like cells (Figure 24A). Stemple and Anderson, (1992) Cell 71:973-985. No death of SM-like or other nonneuronal cells within neuron-only clones that developed in rBMP2 was detected, by criteria of either pycnotic nuclei or cell carcasses (usually visible on the substrate following death). Moreover, neurons were never observed to differentiate and then die, in either control medium or in TGF β 1.

The results from serial observation indicate reduced clone size in TGF β 1 and rBMP2 which is most likely due to inhibited or slowed proliferation.

Whether such effects on proliferation are a cause or a consequence of differentiation remains to be determined; however, TGF β 1 is known to

inhibit proliferation of SM cells in low density cultures. (Majack (1987) J. Cell Biol. 105:465-471.

- 5 Although neurons eventually differentiate in the absence of rBMP2, this factor not only accelerates neurogenesis: half of the clones grown in rBMP2 contain only neurons; by contrast no such clones are ever observed in control conditions. Sequential observation of individual clones (Figure 24) makes it unlikely that this is due to the interclonal death of nonneuronal cells that are initially generated despite the presence of rBMP2.

WHAT IS CLAIMED IS:

1. A method for producing a population of mammalian neurons or smooth muscle cells comprising contacting at least one mammalian neural stem cell with a growth factor wherein said growth factor is a member of the transforming growth factor- β ("TGF- β ") superfamily of growth factors.
2. A method according to claim 1 wherein said population of cells produced comprises mammalian neurons.
3. A method according to claim 2 wherein said growth factor is bone marrow phogenic protein 2 ("BMP-2").
4. A method according to claim 2 wherein said growth factor is bone marrow phogenic protein 4 ("BMP-4").
5. A method according to claim 2 wherein said stem cell comprises a neural crest stem cell.
6. A method according to claim 1 wherein said population of cells produced comprises mammalian smooth muscle cells.
7. A method according to claim 6 wherein said growth factor is TGF- β -1.
8. A method according to claim 6 wherein said growth factor is TGF- β -2.
9. A method according to claim 6 wherein said growth factor is TGF- β -3.

10. A method according to claim 6 wherein said growth factor is bone marrow phogenic protein 2 ("BMP-2").
11. A method according to claim 6 wherein said growth factor is bone marrow phogenic protein 4 ("BMP-4").
- 5 12. A method according to claim 6 wherein said stem cell comprises a neural crest stem cell.
13. A method according to claim 2 further comprising detecting the differentiation of said stem cell to said population of mammalian neurons.
- 10 14. A method according to claim 14 further comprising detecting the differentiation of said stem cell, wherein said population of neurons are autonomic neurons.
- 15 15. A method according to claim 14 wherein said detecting is with an antibody specific for a marker for autonomic neurons.
- 15 16. A method according to claim 14 wherein said detecting is with antibody B2, specific for a marker for autonomic neurons.
17. A method according to claim 15 wherein said detecting is with an antibody specific for neurofilament.
18. A method according to claim 15 wherein said detecting is with an antibody specific for peripherin.
- 20 19. A method according to claim 6 further comprising detecting the differentiation of said stem cell to said population of smooth muscle cells.

20. A method according to claim 20 wherein said detecting is with an antibody specific for a marker for smooth muscle cells.

21. A method according to claim 20 wherein said detecting is with
5 an antibody specific for α -SMA.

22. A method according to claim 20 wherein said detecting is with an antibody specific for calponin.

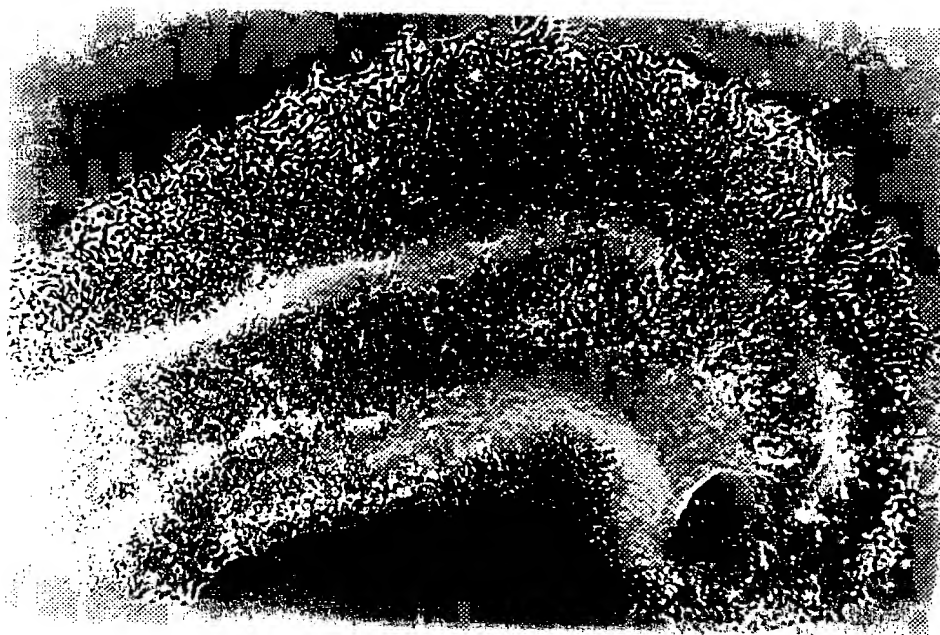


FIG. 1A

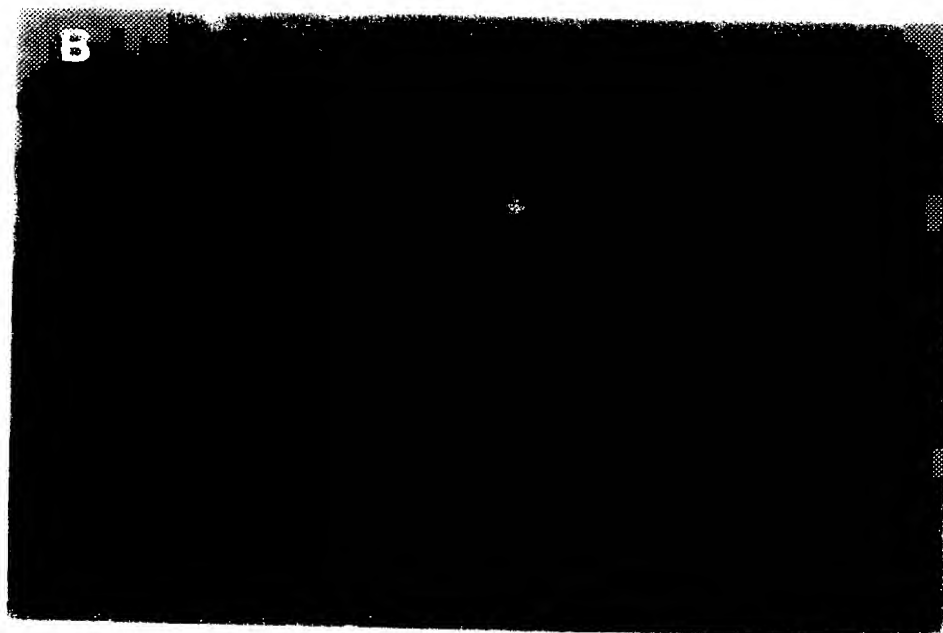


FIG. 1B

FIG. 1C (not shown) (part of FIG. 1)

2 / 28

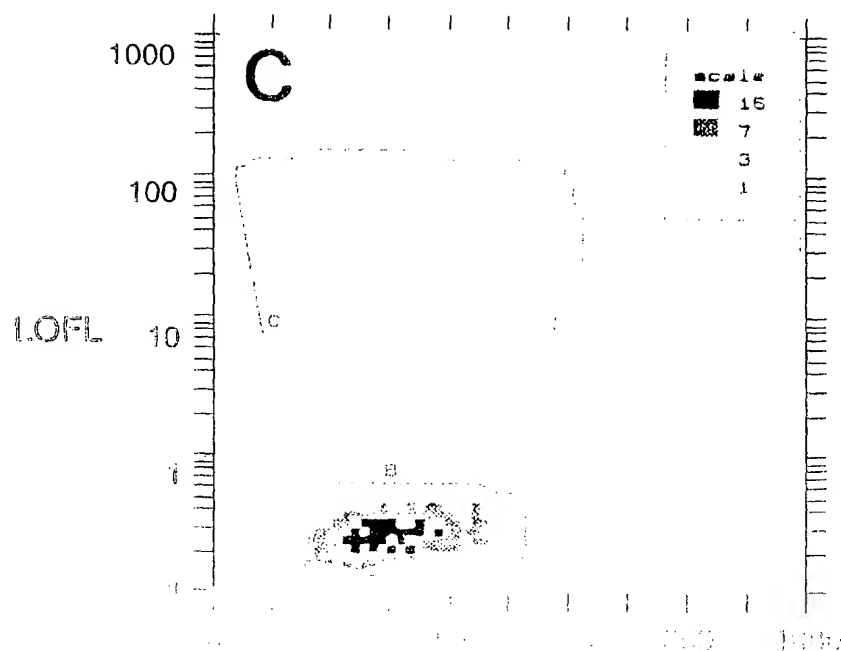


Fig. 7C

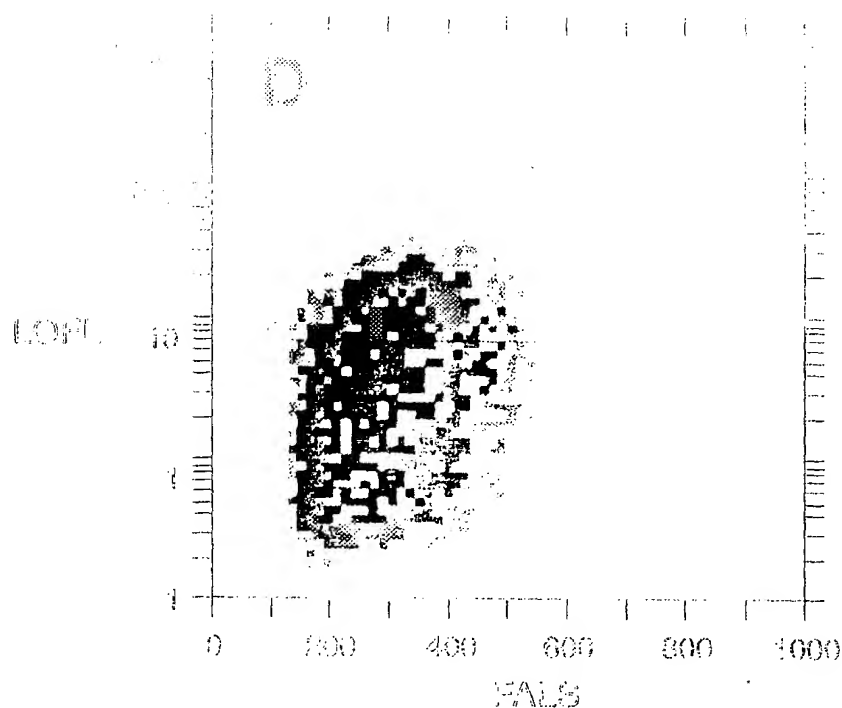


Fig. 7D

FIG. 7C and FIG. 7D

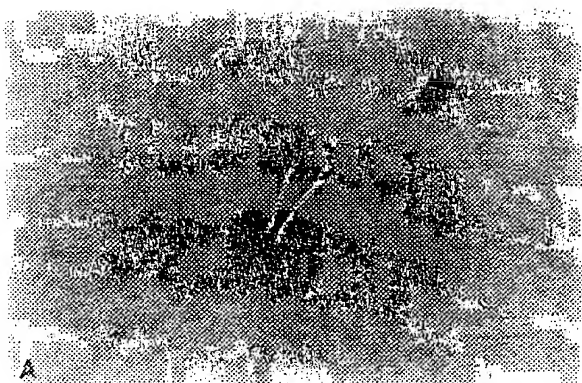


FIG. 2A

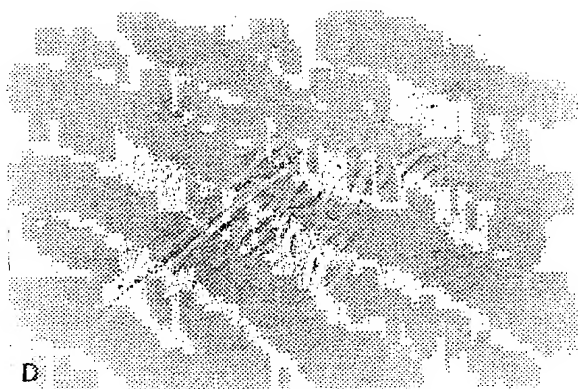


FIG. 2D



FIG. 2E

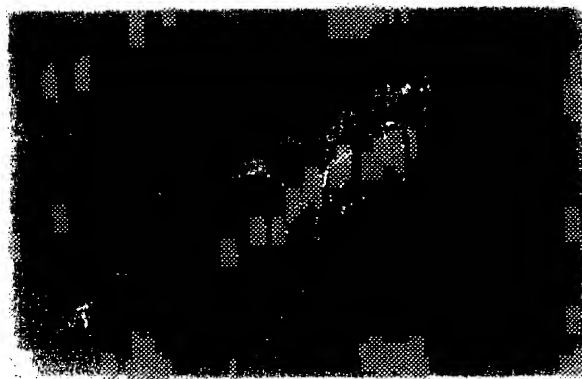


FIG. 2F



FIG. 2C

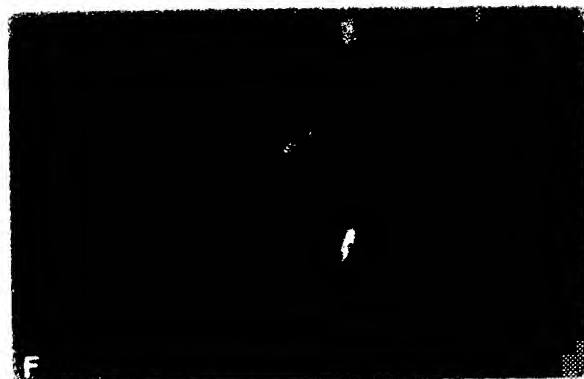
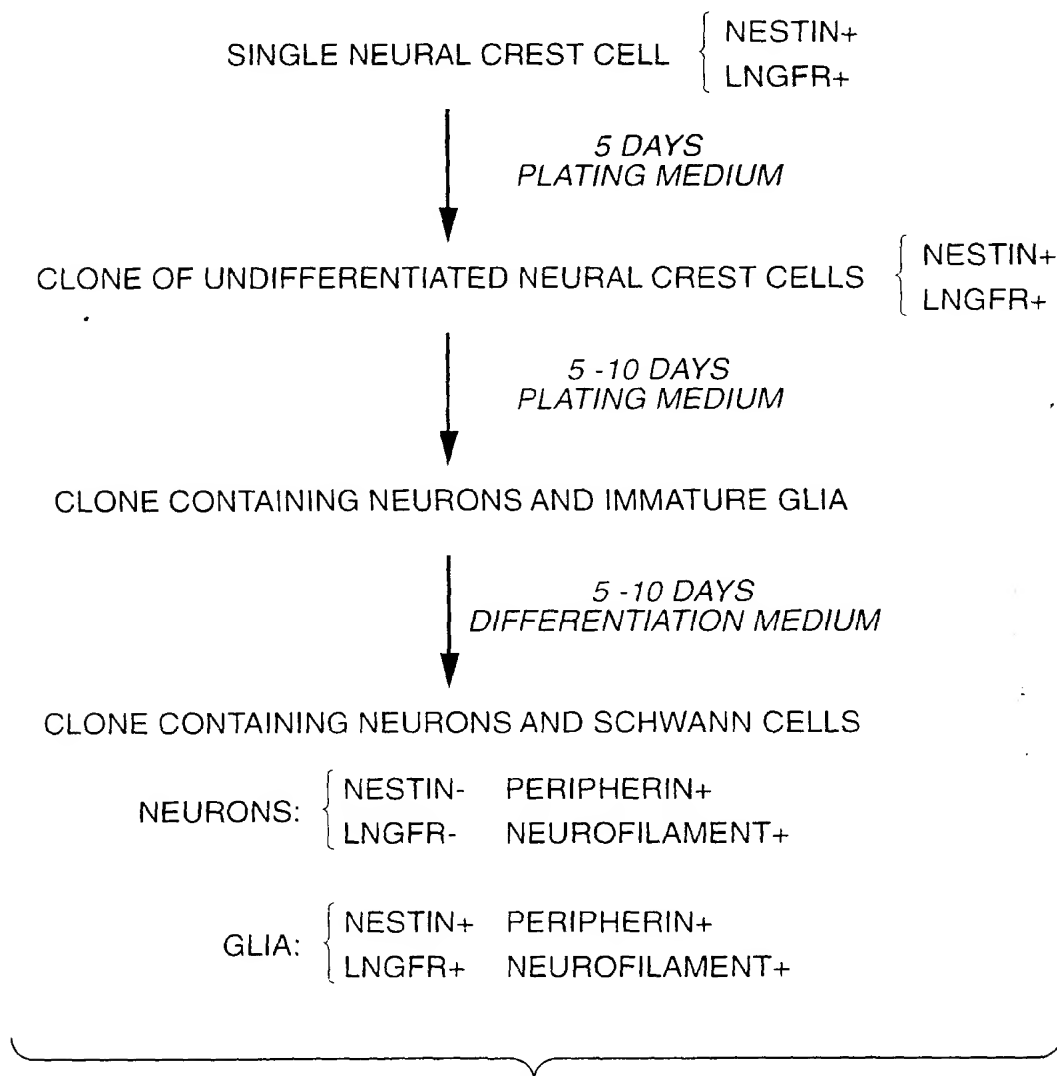


FIG. 2F

FIG. 2A-D: 100X; FIG. 2E-F: 500X

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MULTIPOTENCY OF MAMMALIAN NEURAL CREST CELLS

**FIG. 3**

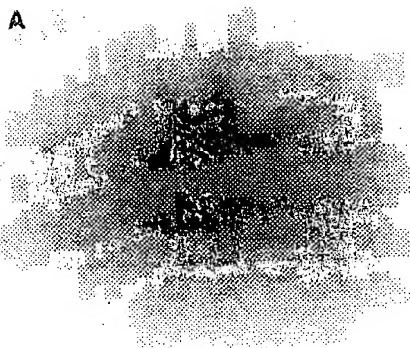


FIG. 4A

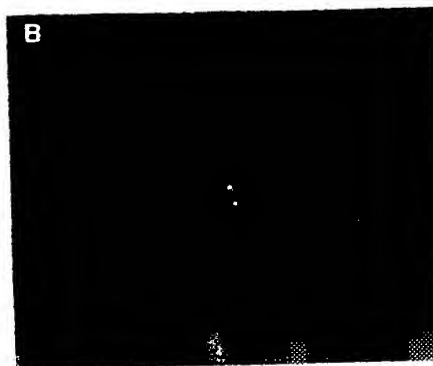


FIG. 4B



FIG. 4C

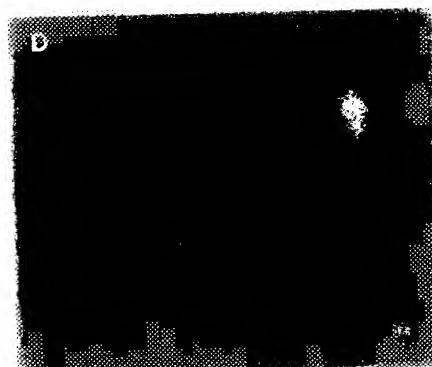


FIG. 4D

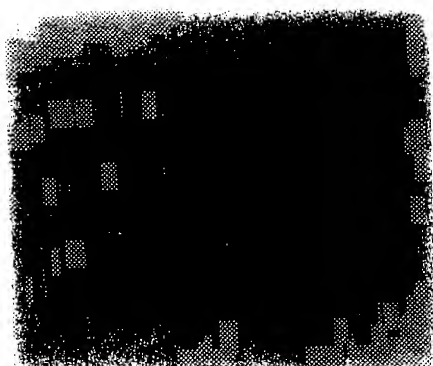


FIG. 4E

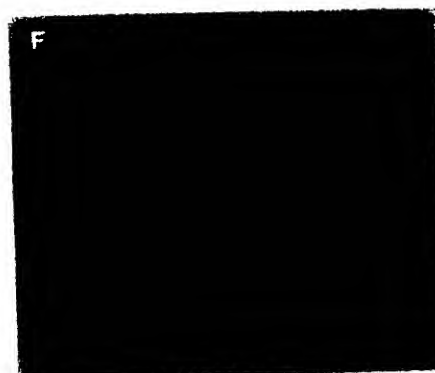


FIG. 4F

SUBSTITUTES OF FIG. 4A (25)

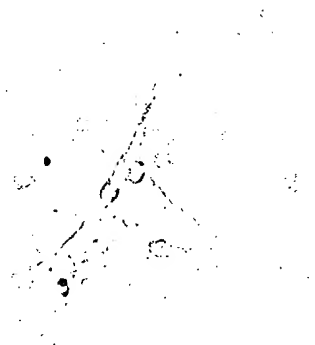


FIG. 5A

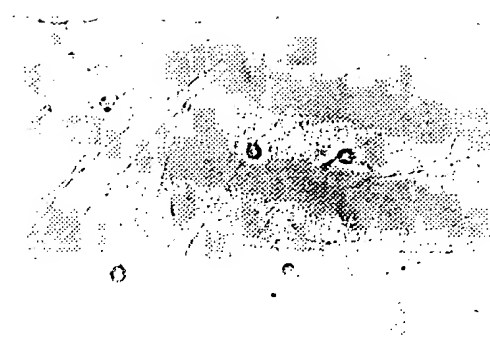


FIG. 5D

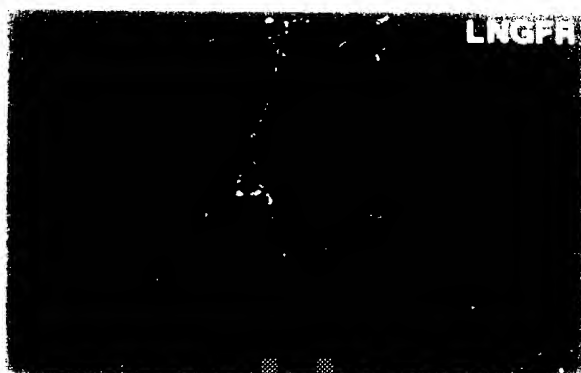


FIG. 5B

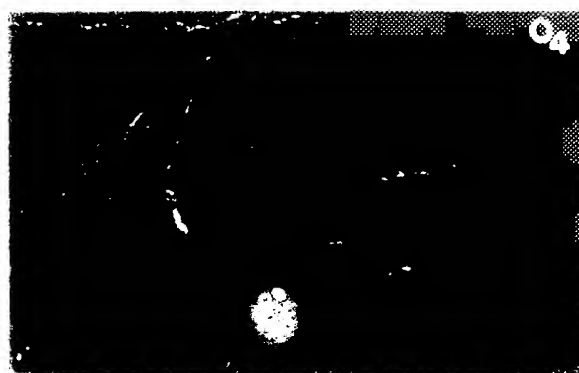


FIG. 5E

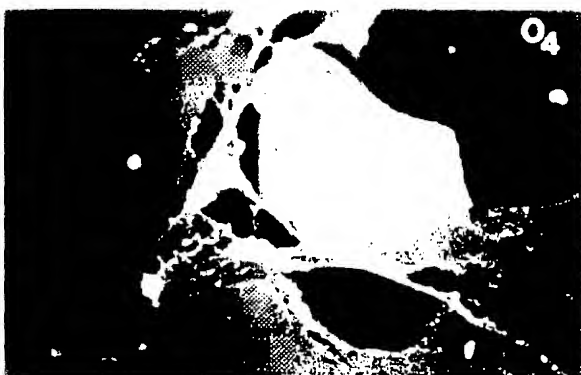


FIG. 5C



FIG. 5F

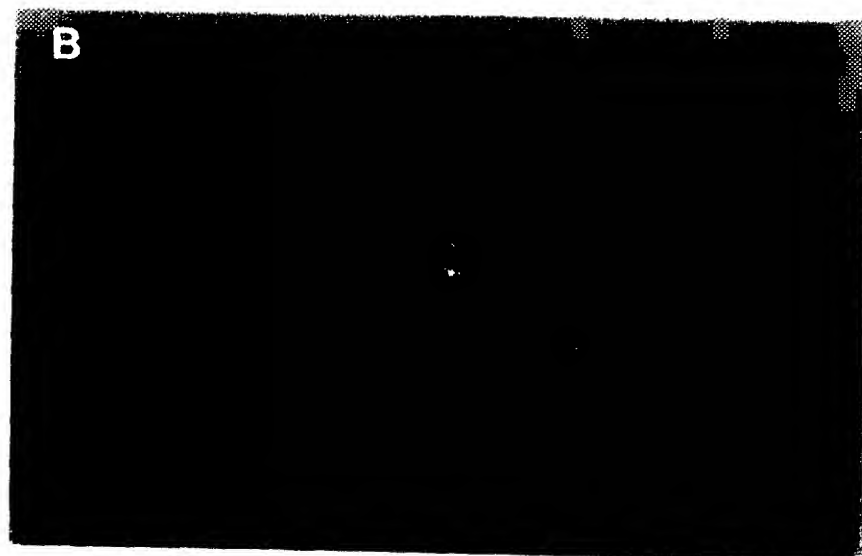
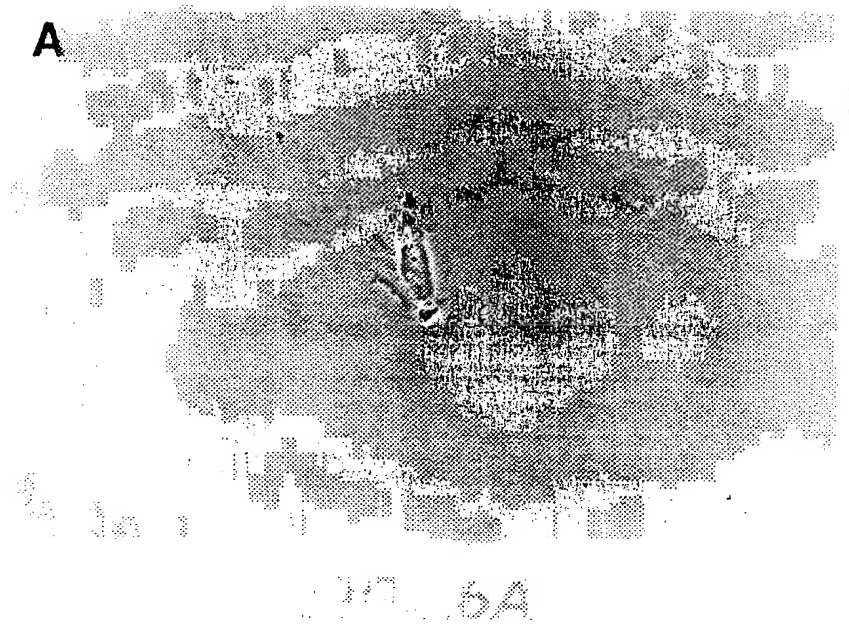


FIG. 6B

SUBSTITUTE SHEET (RULE 26)

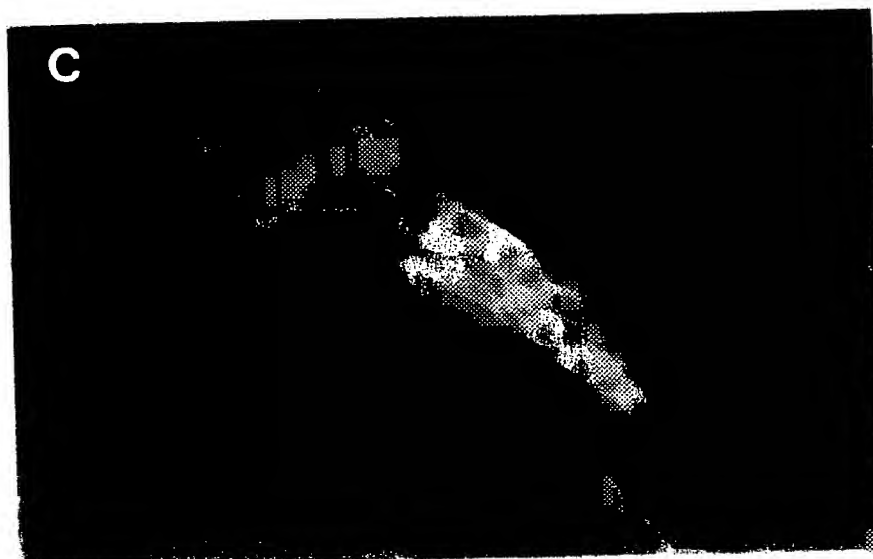


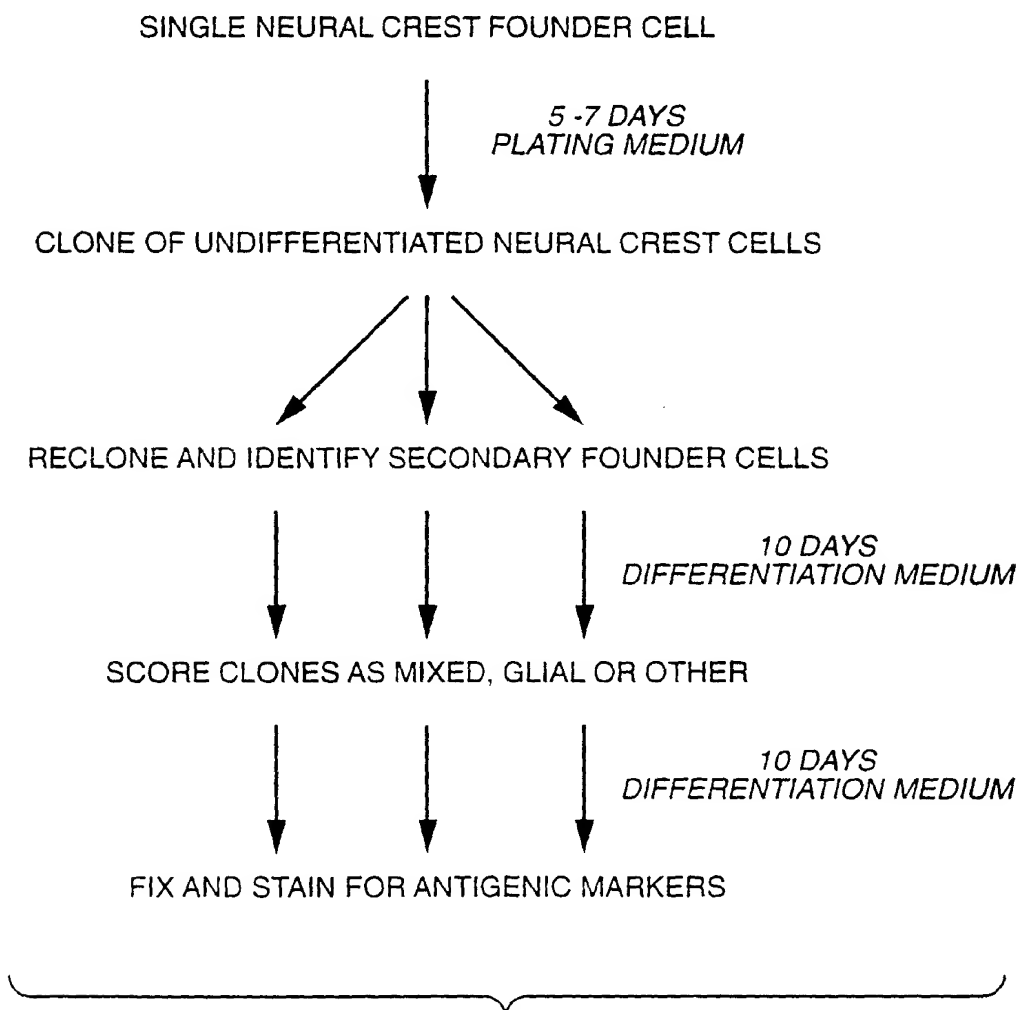
FIG. 6C



FIG. 6D

FIG. 6C and FIG. 6D (PRL. 10)

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SELF-RENEWAL OF MAMMALIAN NEURAL CREST CELLS**FIG. 7**

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1° CLONE (DAY 7)

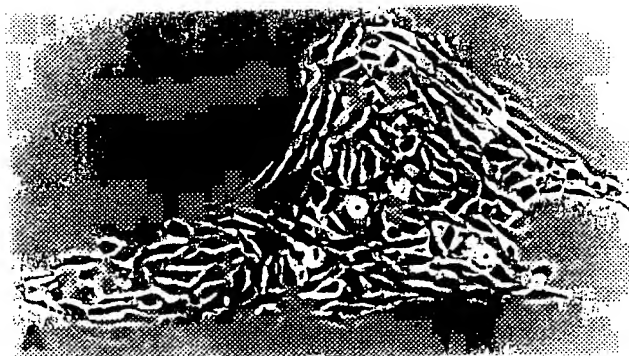


FIG. 8A

2° FOUNDERS

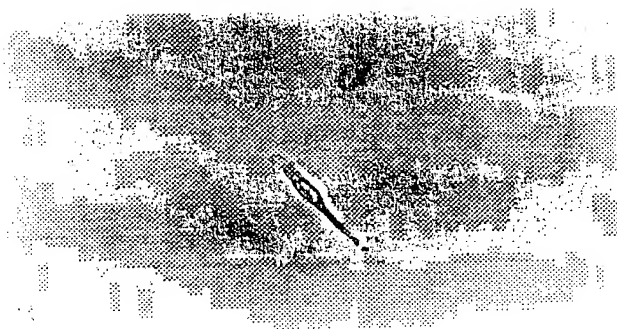


FIG. 8B

2° FOUNDERS



FIG. 8C

2° CLONES (DAY 17)



FIG. 8C

2° CLONES (DAY 17)

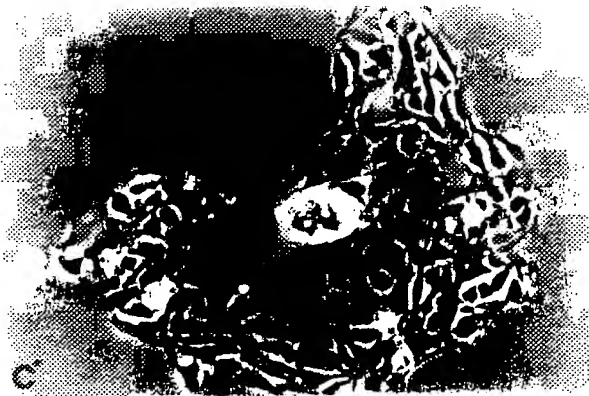


FIG. 8C'

SUBSTITUTE SHEET (RULE 26)

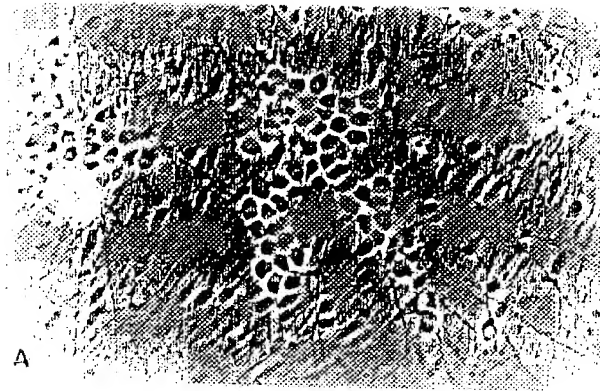


FIG. 9A



FIG. 9B

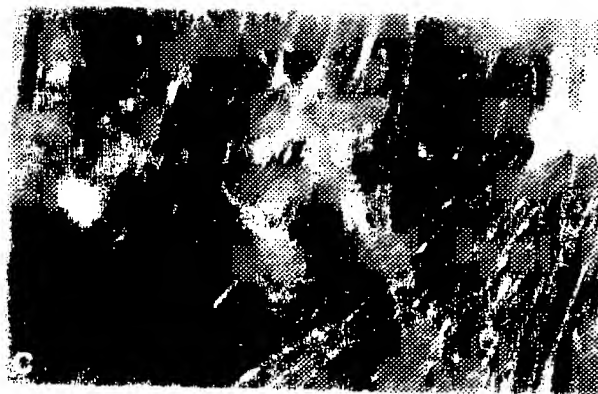


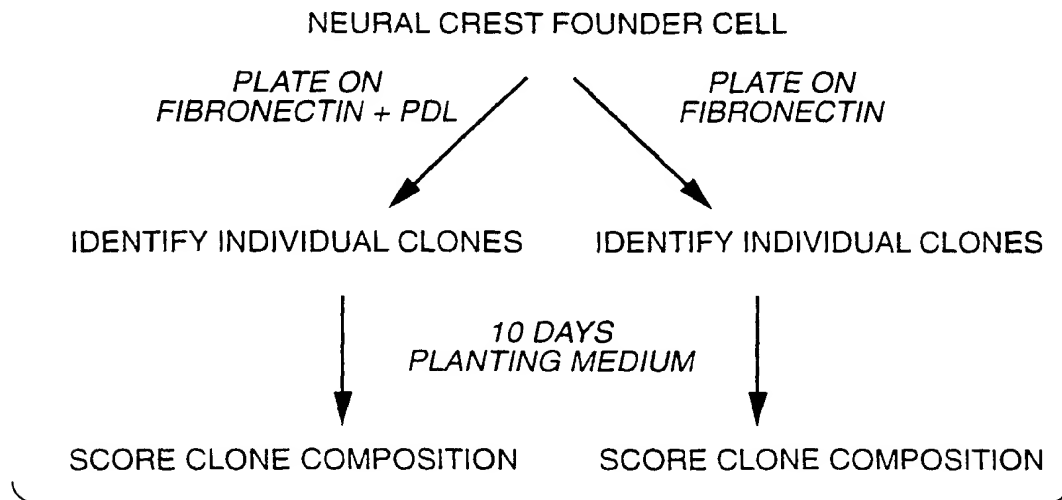
FIG. 9C

GFAP

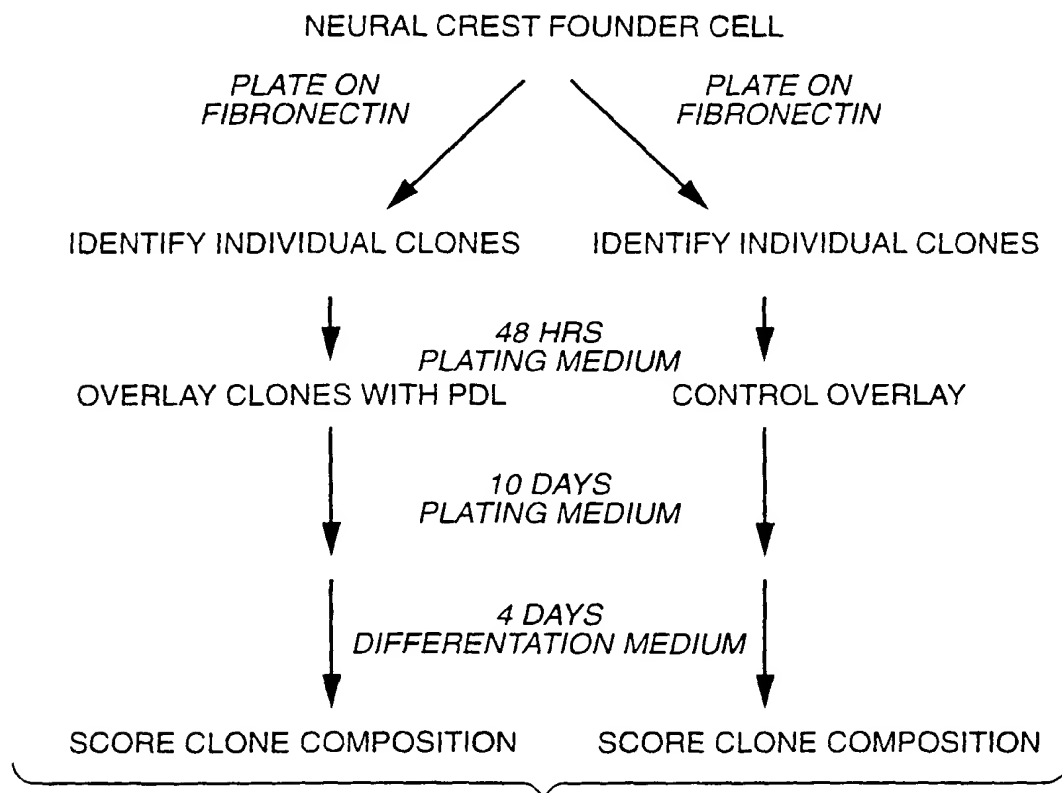
FIG. 9A-C: GFAP (GLIAL FIBRILLARY ACIDIC PHOSPHOPROTEIN) STAINING OF TISSUE SECTIONS (H&E, 20X)

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SUBSTRATE EFFECT ON FATE OF MAMMALIAN NEURAL CREST CELLS

**FIG. 10**

INSTRUCTIVE EFFECT OF SUBSTRATE ON CREST CELL FATE

**FIG. 13**

SUBSTITUTE SHEET (RULE 26)

pDL / FN

FN



A

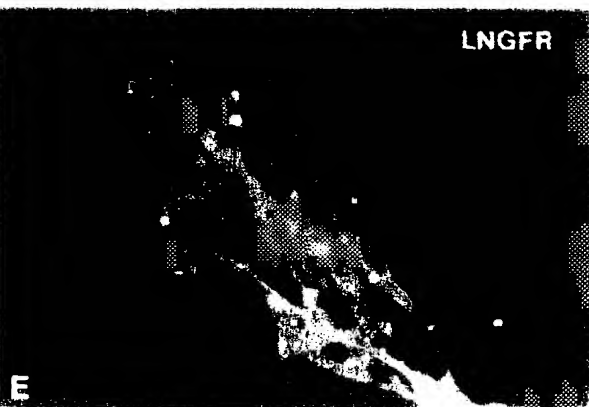
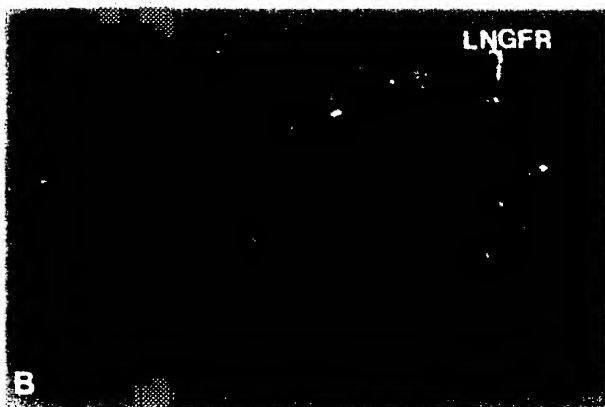
D

FIG. 11A

FIG. 11D

LNGFR

LNGFR



B

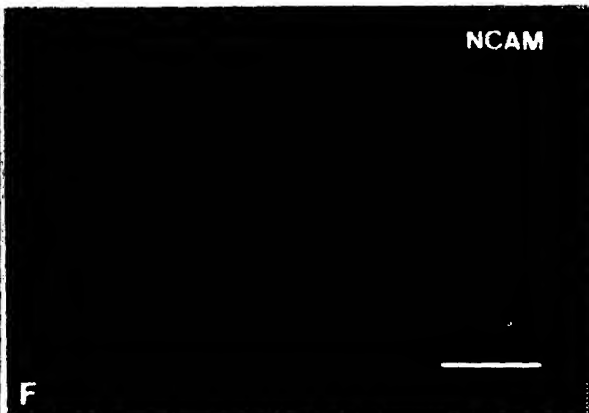
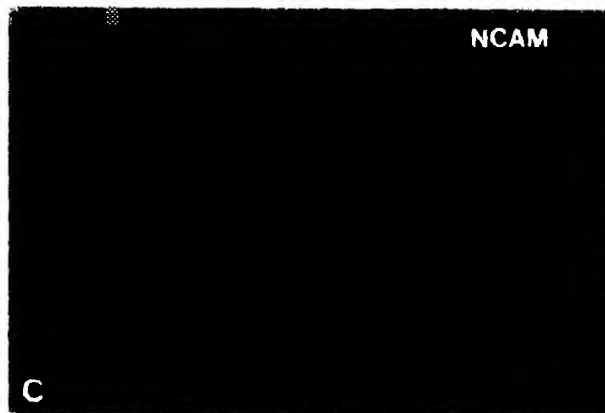
E

FIG. 11B

FIG. 11E

NCAM

NCAM



C

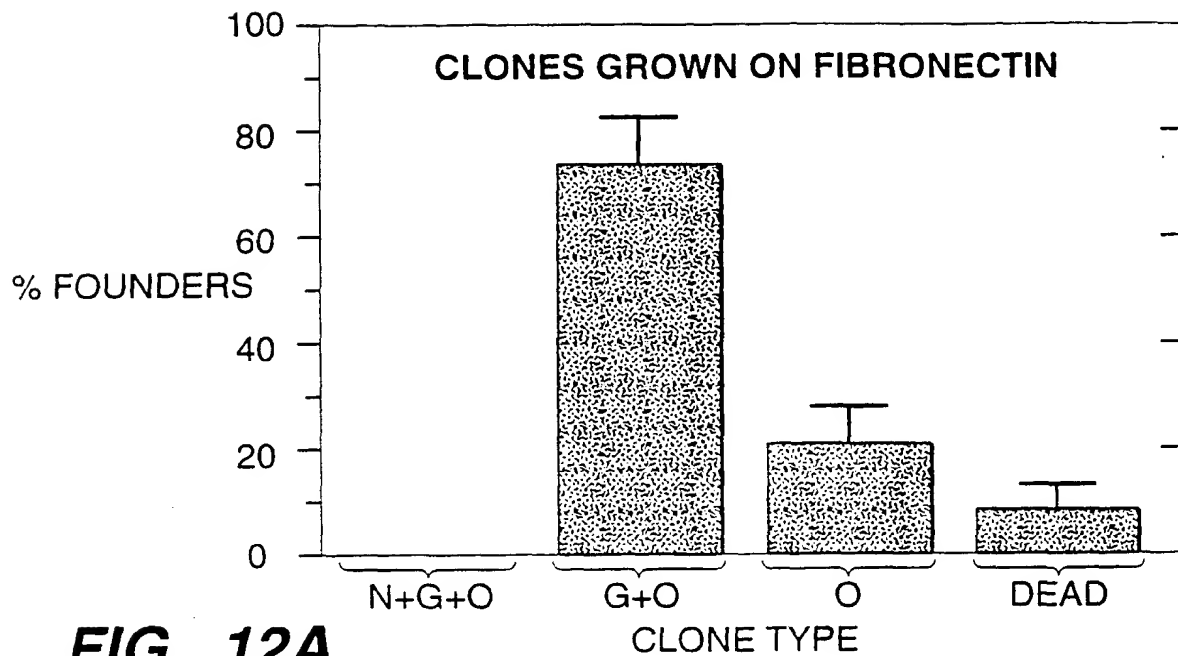
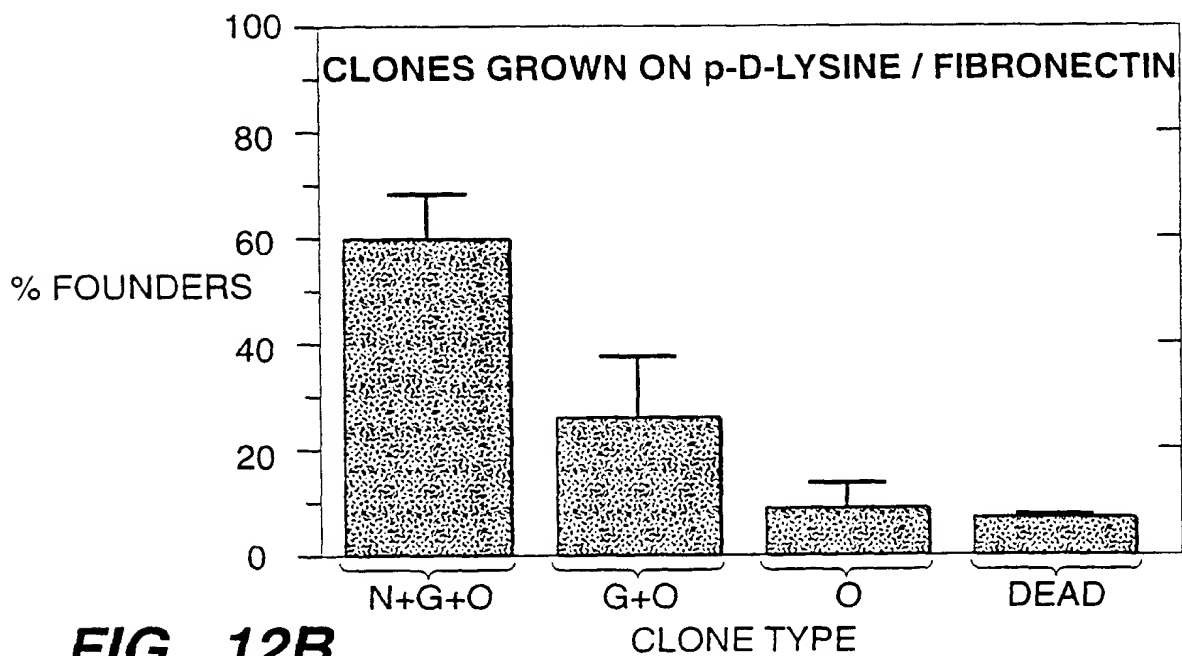
F

FIG. 11C

FIG. 11F

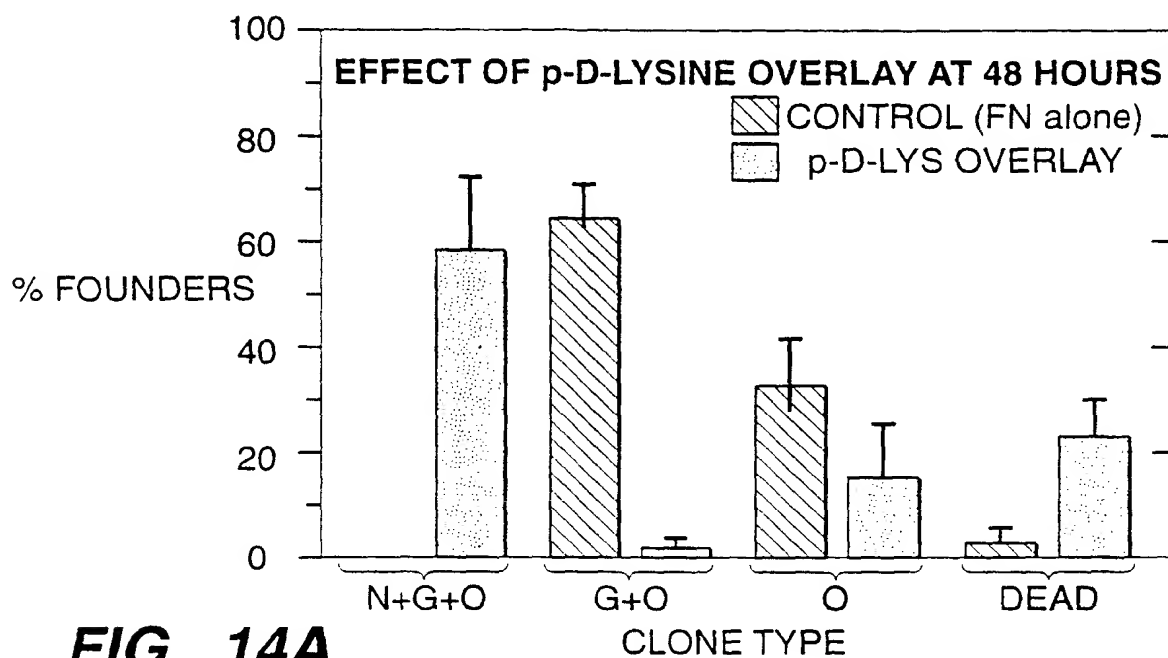
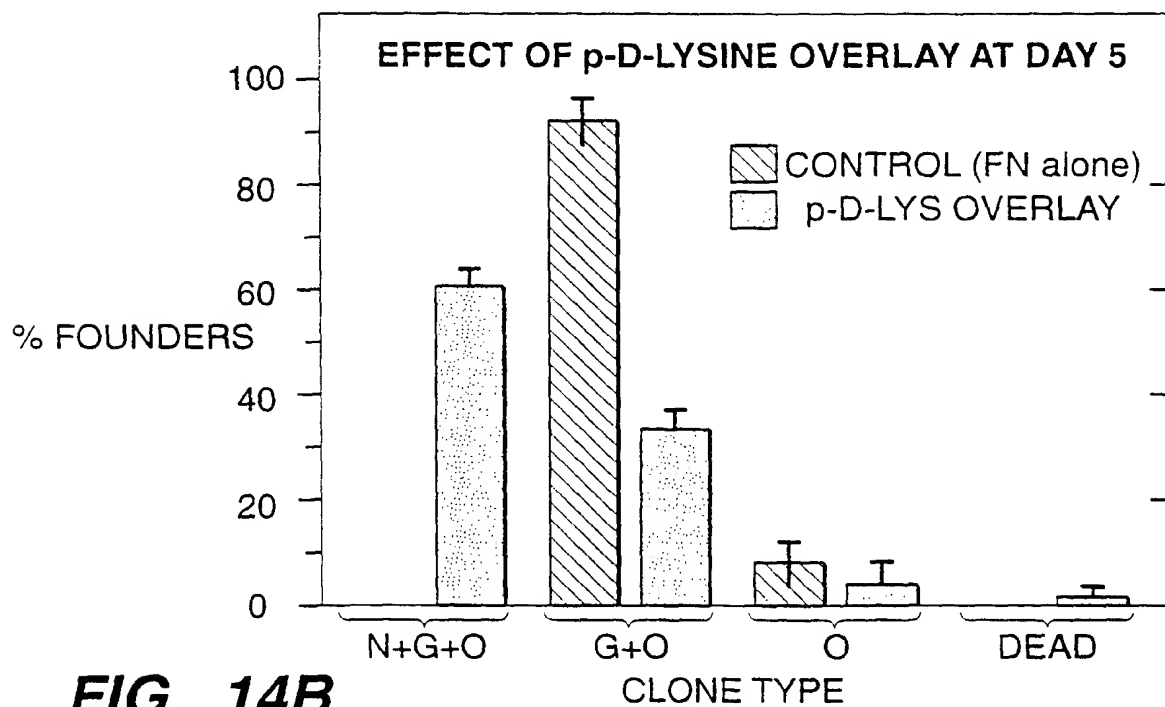
EXTRACTIVE OF THE INVENTION (PAGE 25)

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**FIG. 12A****FIG. 12B**

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**FIG. 14A****FIG. 14B**

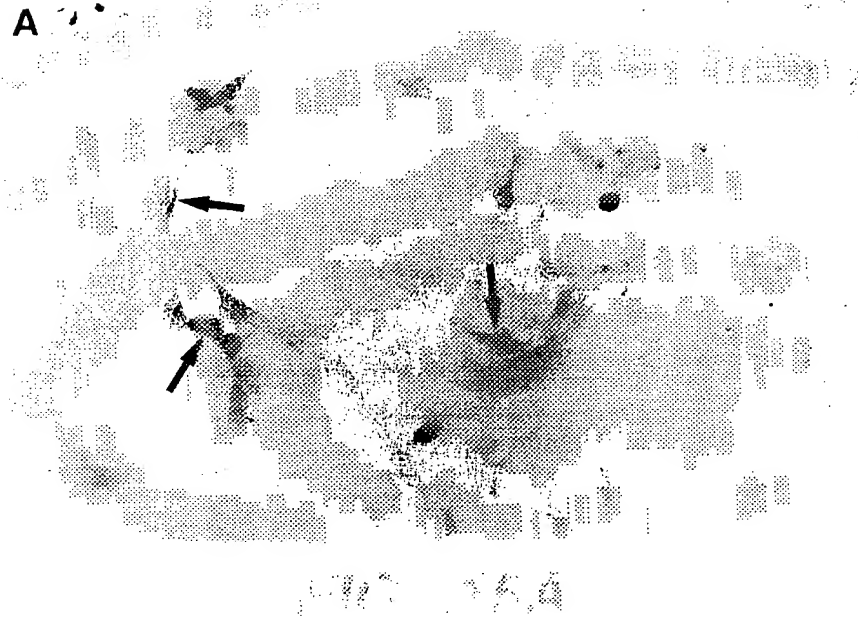


FIG. 15B

ALTERNATIVE SELECTION (FIG. 15B)

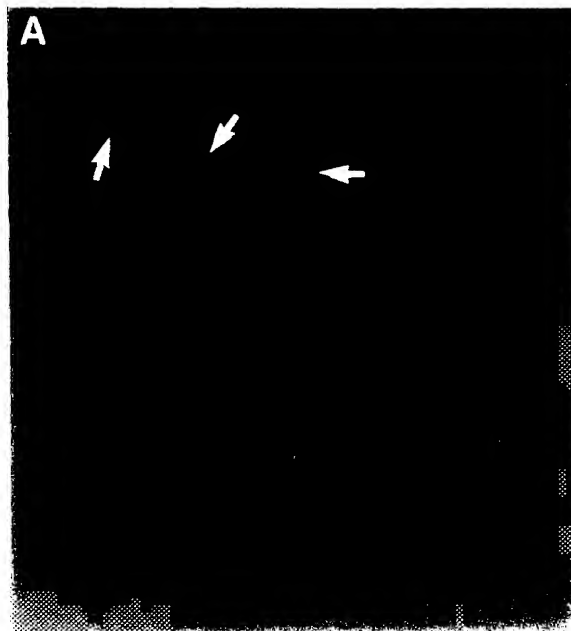


FIG. 16A

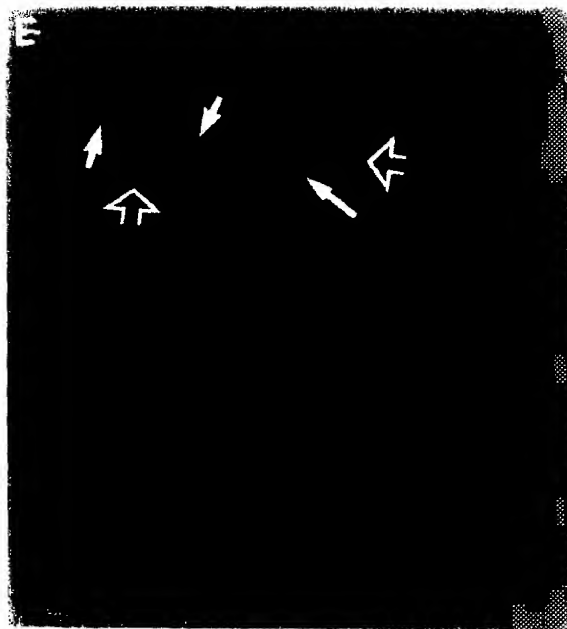


FIG. 16B

OBSTITUTE SHEET (RULE 26)

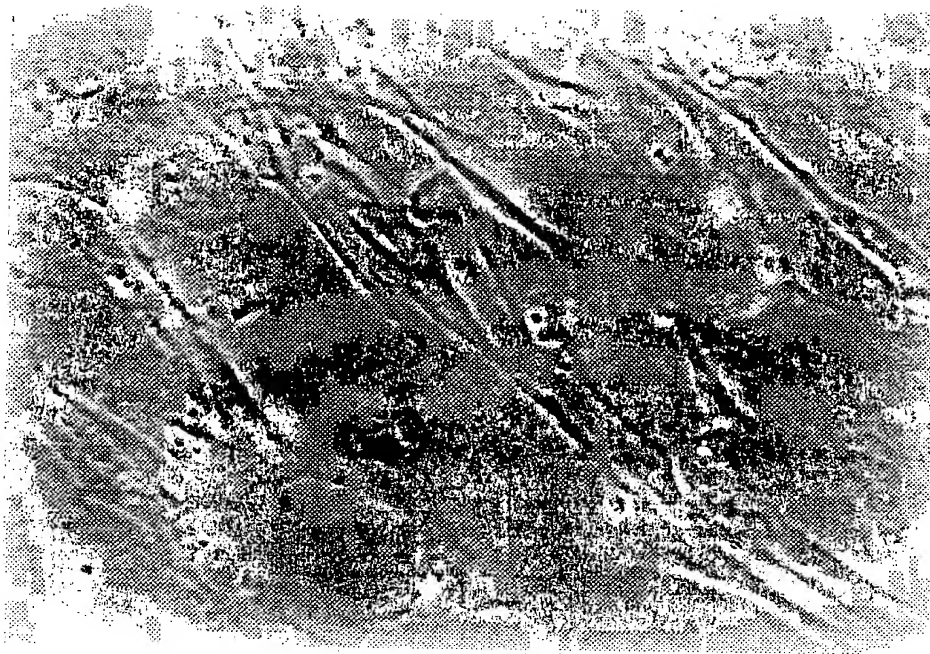


FIG. 17A

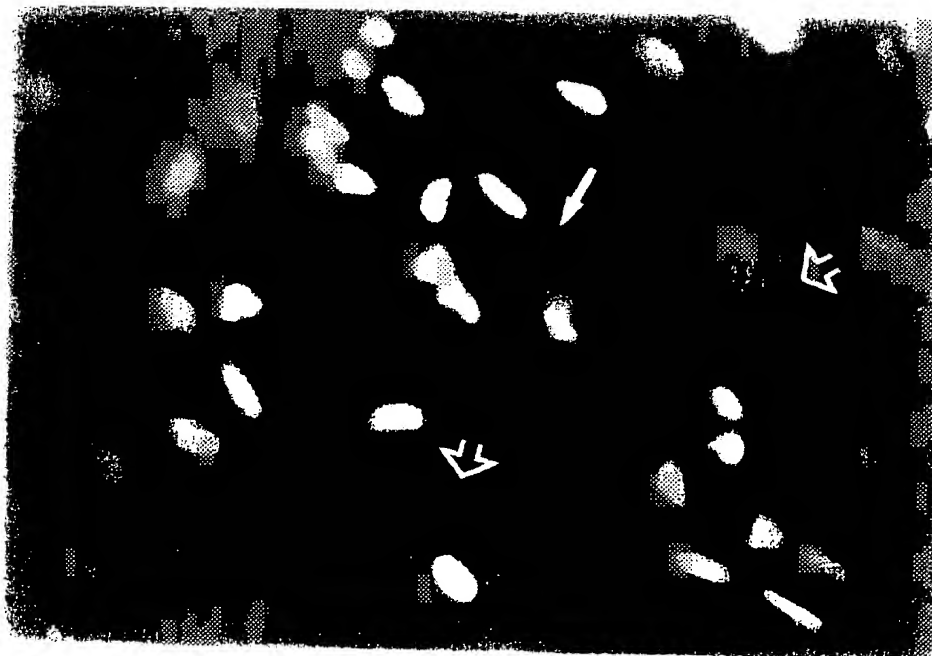


FIG. 17B

SPOROZOOITE (SHEET) (MAG. 25X)



FIG. 18A

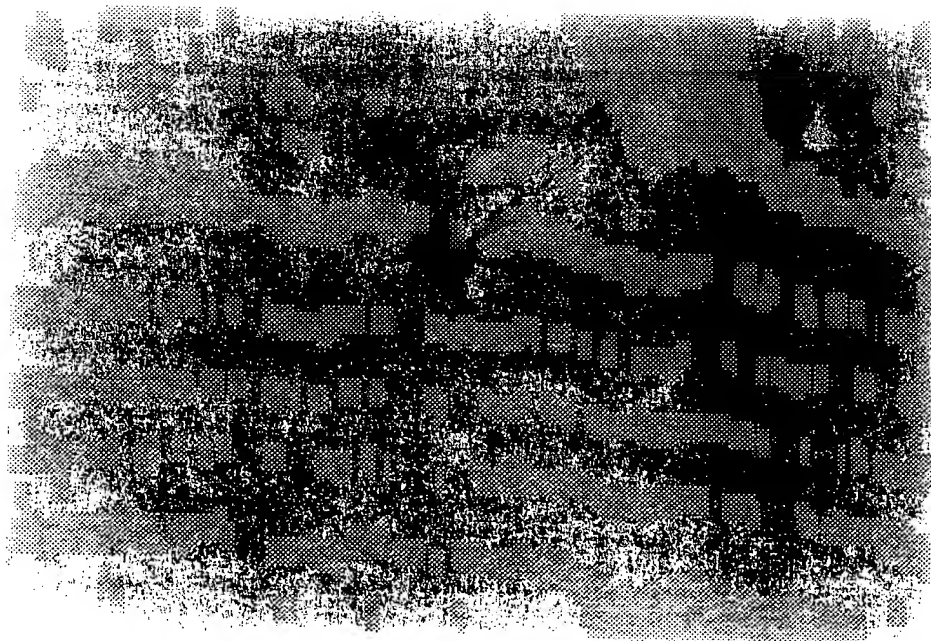


FIG. 18B

JOHN J. HENRY, JR. (ATTORNEY)

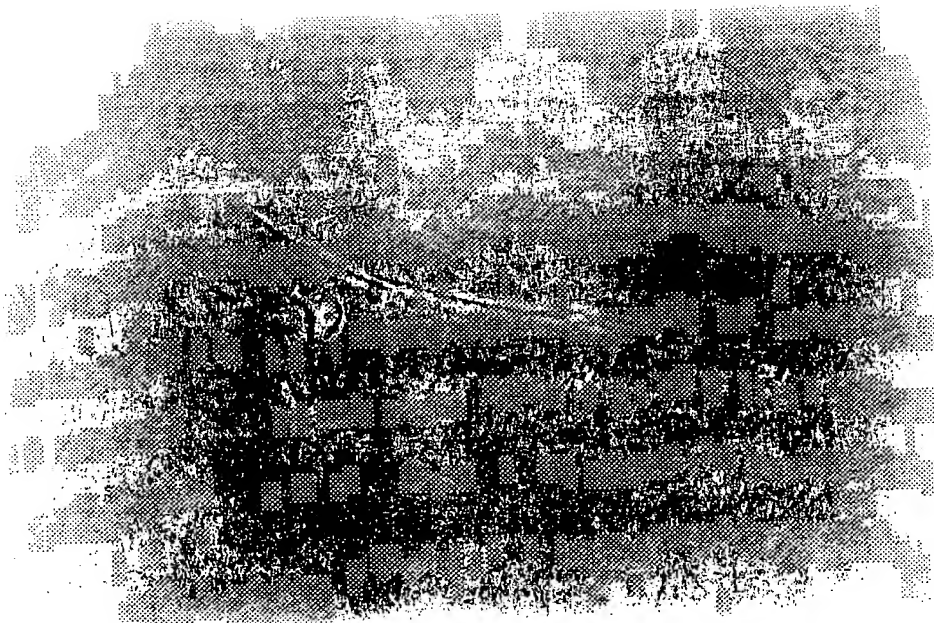
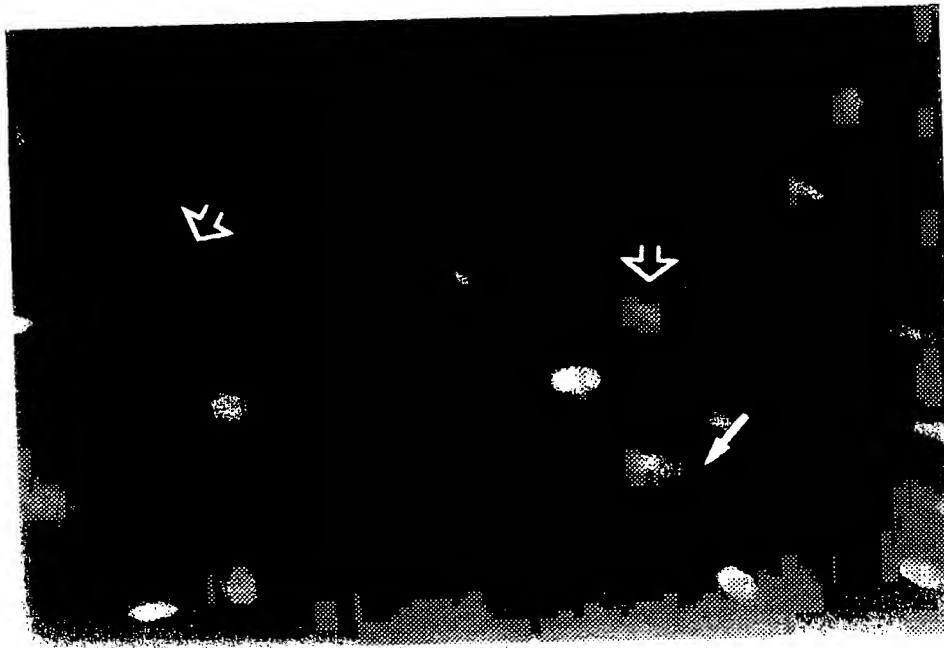


FIG. 19A

WO 93/03601 (P. 10/28)

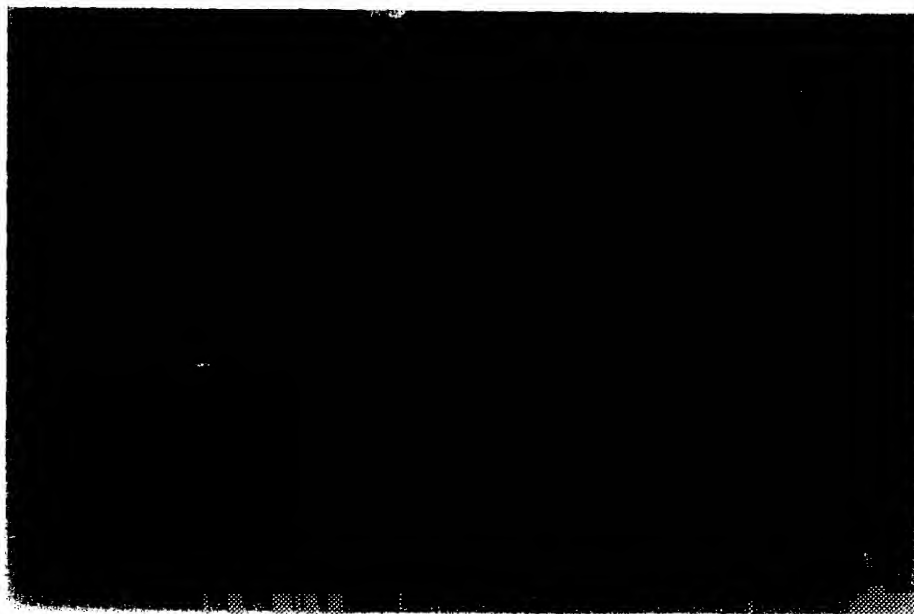


FIG. 19B



FIG. 19C

FIG. 19C (continued)

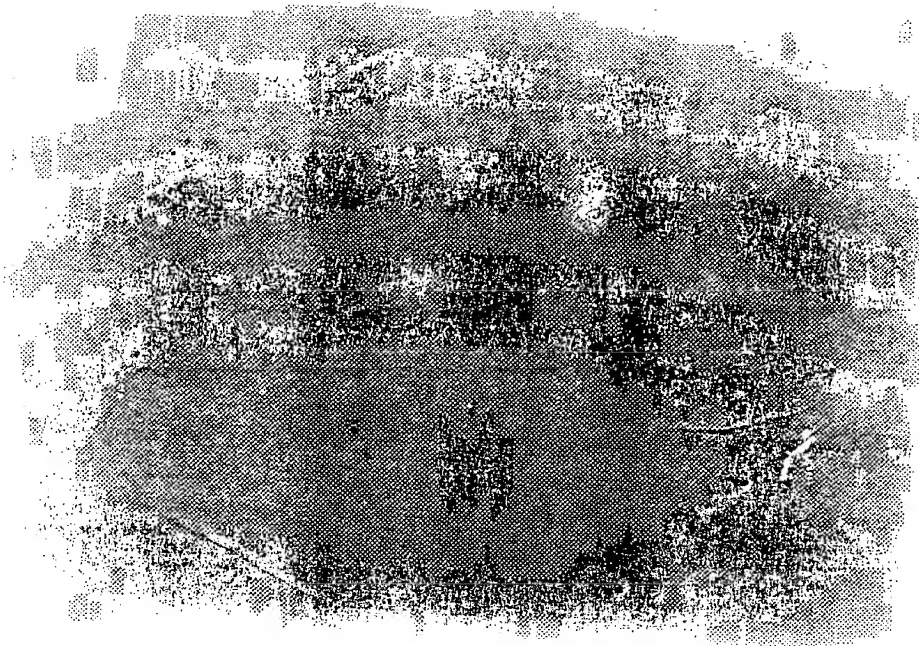


FIG. 20A



FIG. 20B

WFO 9848001A1 1A1

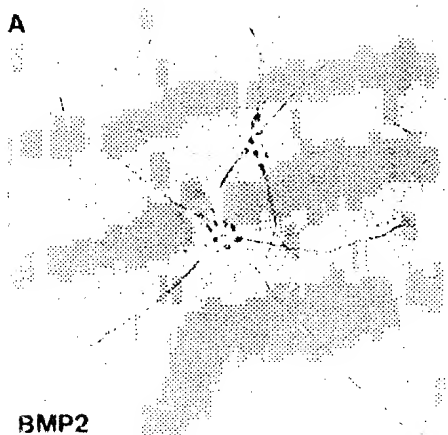


FIG. 21A

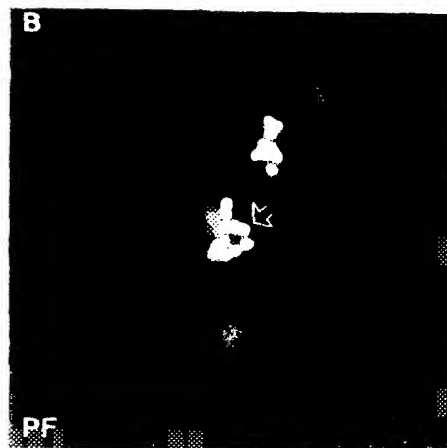


FIG. 21B



FIG. 21C

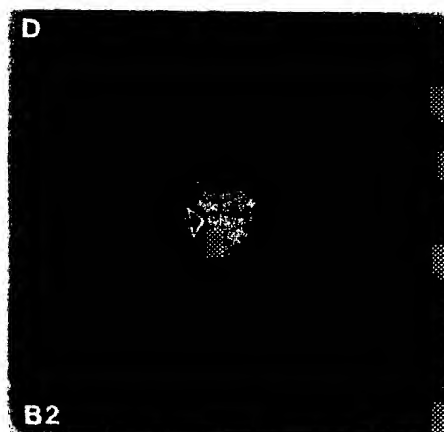


FIG. 21D



FIG. 21E

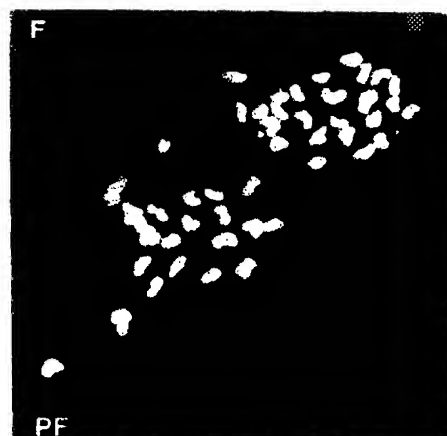


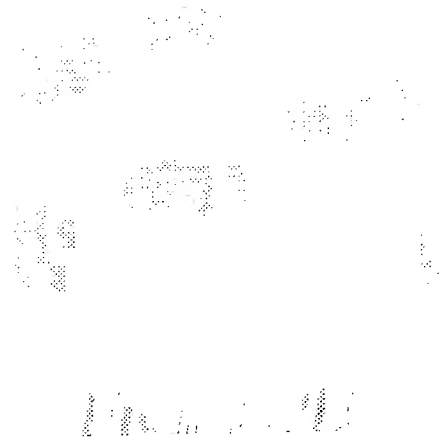
FIG. 21F



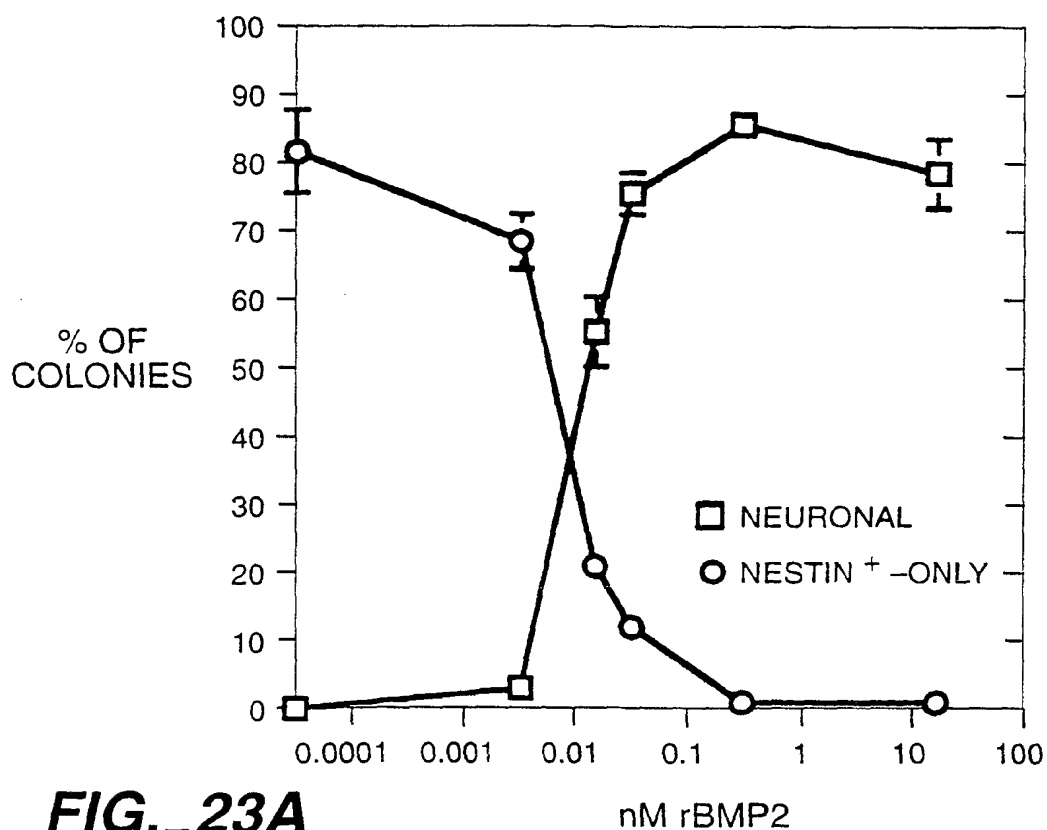
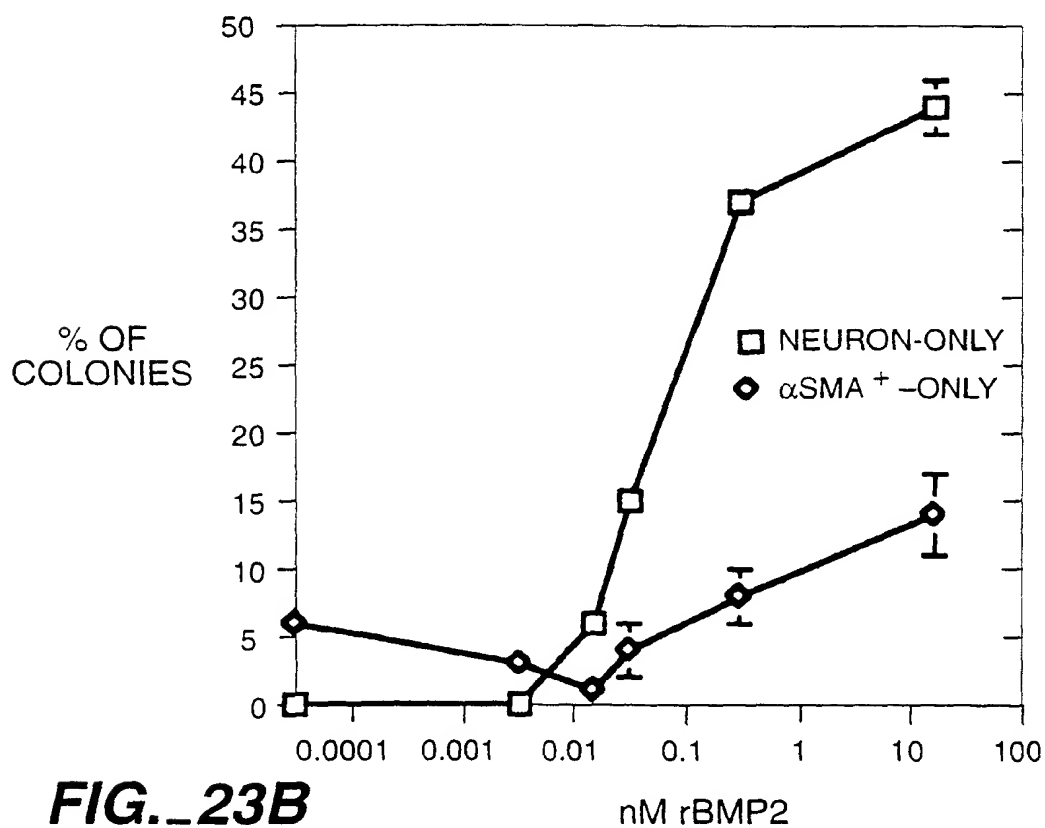
FIG. 22A



FIG. 22D

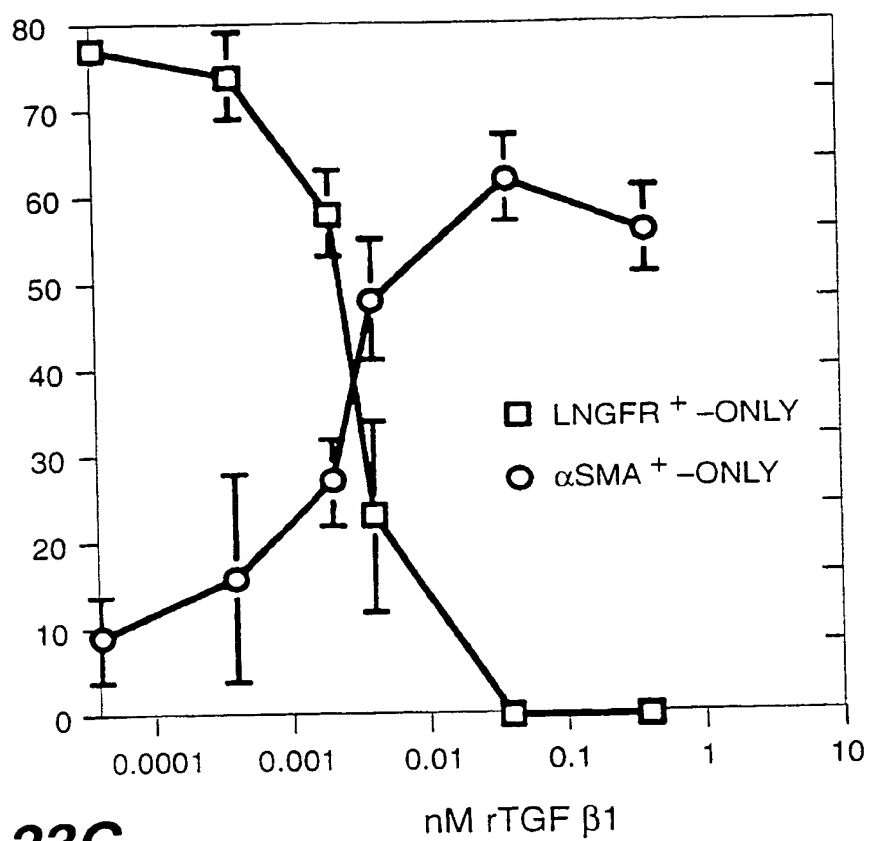


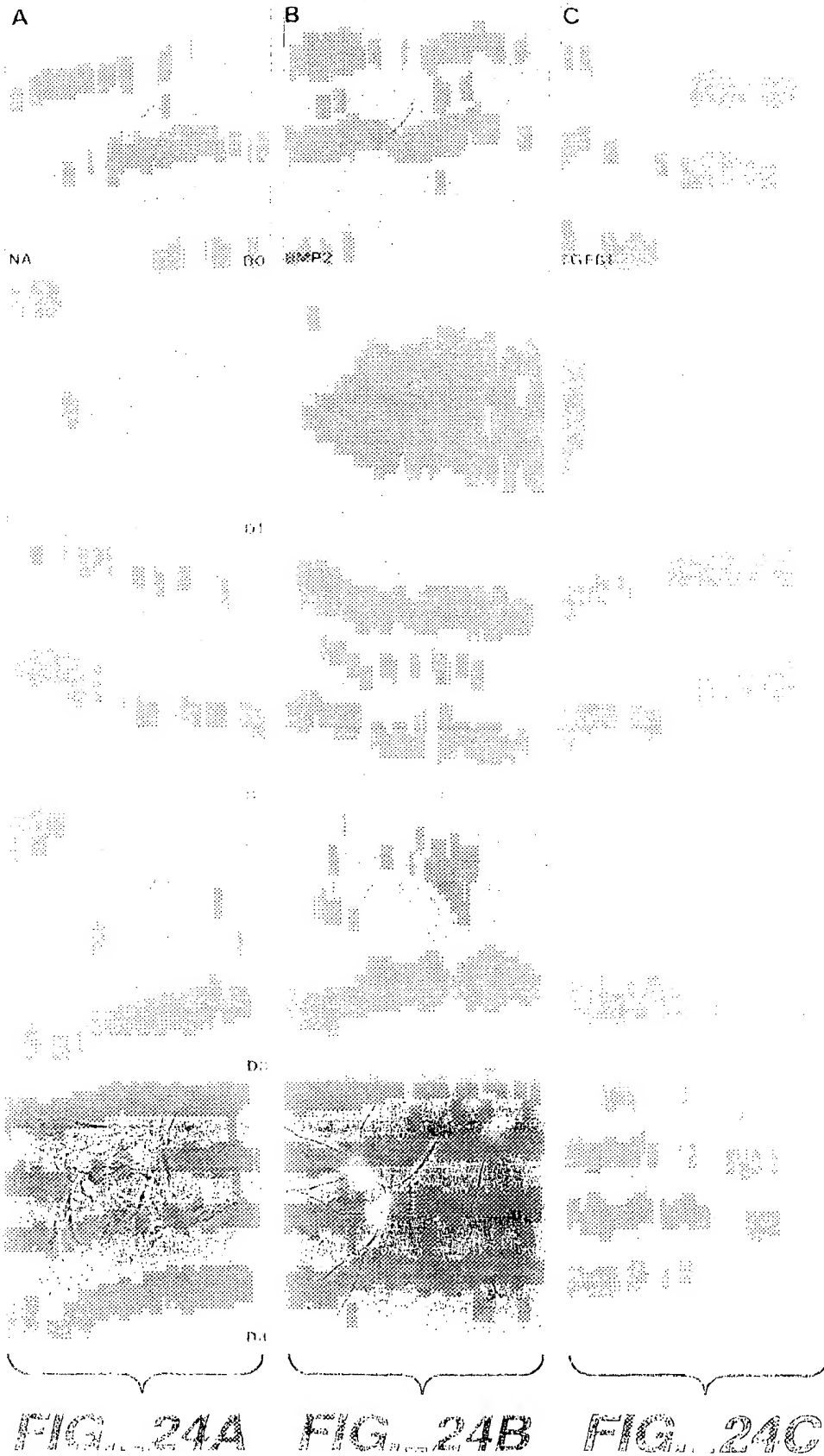
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**FIG. 23A****FIG. 23B**

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**FIG._23C**



SHOOTING RANGE (MILE 20)



BMP2

FIG. 25A

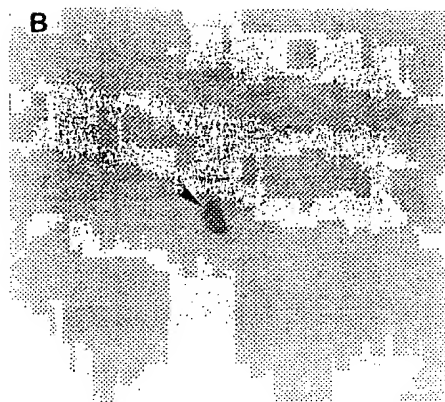


FIG. 25B



NA

FIG. 25C

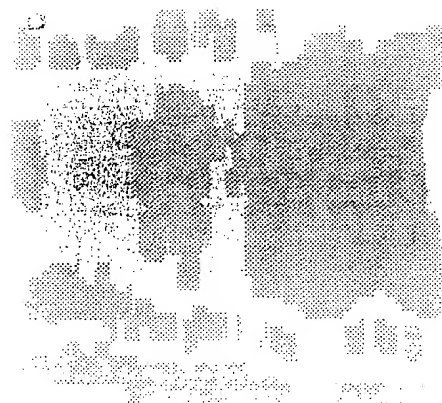


FIG. 25D

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/08364

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12N5/06 C12N5/08 G01N33/50

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
X	GADSON P F JR ET AL: "Differential response of mesoderm- and neural crest -derived smooth muscle to TGF -beta1: regulation of c-myb and alpha1 (I) procollagen genes." EXPERIMENTAL CELL RESEARCH, (1997 FEB 1) 230 (2) 169-80. XP002074386 see the whole document ---	1-22
X	MOSES H L ET AL: "Regulation of differentiation by TGF -beta." CURRENT OPINION IN GENETICS AND DEVELOPMENT, (1996 OCT) 6 (5) 581-6. XP002074387 see the whole document ---	1-12
	-/--	

☒ Further documents are listed in the continuation of box C

☒ Patent family members are listed in annex

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- "E" earlier document but published on or after the international filing date
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- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

13 August 1998

Date of mailing of the international search report

28/08/1998

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Authorized officer

Moreau, J

INTERNATIONAL SEARCH REPORT

Int. Patent Application No

PCT/US 98/08364

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
X	ZHANG J M ET AL: "Mitogenic and anti-proliferative signals for neural crest cells and the neurogenic action of TGF -beta1." DEVELOPMENTAL DYNAMICS, (1997 MAR) 208 (3) 375-86, XP002074388 see the whole document ---	1-12
X	SHAH N M ET AL: "Alternative neural crest cell fates are instructively promoted by TGFbeta superfamily members." CELL, (1996 MAY 3) 85 (3) 331-43, XP002074389 cited in the application see the whole document ---	1-22
A	WO 94 02593 A (CALIFORNIA INSTITUTE OF TECHNOLOGY) 3 February 1994 cited in the application see the whole document ---	1-22
P.X	SHAH N M ET AL: "Integration of multiple instructive cues by neural crest stem cells reveals cell-intrinsic biases in relative growth factor responsiveness." PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES OF AMERICA, (1997 OCT 14) 94 (21) 11369-74, XP002074390 see the whole document ---	1-12
P.X	DATABASE MEDLINE US NATIONAL LIBRARY OF MEDICINE (NLM), BETHESDA, MD, US LO L ET AL: "MASH1 maintains competence for BMP2 -induced neuronal differentiation in post-migratory neural crest cells." XP002074391 see abstract & CURRENT BIOLOGY, (1997 JUN 1) 7 (6) 440-50, -----	1-12

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/08364

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9402593 A	03-02-1994	AU 678988 B	19-06-1997
		AU 4837593 A	14-02-1994
		CA 2140884 A	03-02-1994
		EP 0658194 A	21-06-1995
		JP 8500245 T	16-01-1996
		NZ 256154 A	24-02-1997
		US 5654183 A	05-08-1997
		US 5589376 A	31-12-1996
		US 5693482 A	02-12-1997
		US 5672499 A	30-09-1997

Form PCT/ISA/210 (patent family annex) (July 1992)